



Canadian Lawyers Liability Assurance Society

2019/2020 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Fasken Martineau DuMoulin LLP

2. Address of principal office: 333 Bay Street, Suite 2400, Bay Adelaide Centre
Box 20, Toronto ON M5H 2T6

Phone: (416) 366-8381 Fax: (416) 364-7813

3. Address, phone and fax numbers of other office(s):
Please refer to section entitled "Question #3"

4. Management or service companies, date(s) established and services provided:
Please refer to section entitled "Addendum to Appendix D"

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☒ yes ☐ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

Please refer to Addendum to Appendix D page 7 - FMD Partners, G.P. / FMD et Associés SENC

-
-
6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

The Firm's name has not changed since July 1st, 2011 Application

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete? Please refer to Appendix A

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:

Please refer to section by region/office sent separately

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

-
9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law: **Please refer to section entitled "Question #9"**

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____	%	<u>31.3</u>	%
b) Criminal Law	_____	%	<u>0.0</u>	%
c) Family Law	_____	%	<u>0.0</u>	%
d) Intellectual Property	_____	%	<u>5.2</u>	%
e) Labour Law	_____	%	<u>12.9</u>	%
f) Litigation	_____	%	<u>25.0</u>	%
g) Real Estate	_____	%	<u>8.8</u>	%
h) Securities Law	_____	%	<u>7.8</u>	%
i) Tax Matters	_____	%	<u>3.3</u>	%
j) Wills, Estates, Trust	_____	%	<u>1.3</u>	%
k) Other (please specify)	_____	%	<u>2.6</u>	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

Please refer to section by region/office sent separately
If "yes", please provide full details: _____

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
Please refer to Appendix D

12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
Please refer to section by region/office sent separately

13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.** **Please refer to section by region/office sent separately**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application. **Please refer to Appendix G**

16. Please complete Appendix H to provide underwriting information with respect to cyber liability. **Please refer to Appendix H**

17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro. **Please refer to Appendix I**

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: Marc Ducharme, Chief Administrative Officer

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: April 1, 2019

Fiscal 2019 Billings by Speciality/Practice Group

All Offices

		February 2017 to January 2019		F2018	F2019
		F2018	F2019	%	%
		Fees Billed (Actual Value)	Fees Billed (Actual Value)		
A	Corporate & Commercial Other (please specify)	197,553,391.70	231,489,332.56	42.2%	44.8%
B	Criminal Law	-	-	0.0%	0.0%
C	Family Law	-	-	0.0%	0.0%
D	Intellectual Property	28,931,494.56	24,005,710.27	6.2%	4.6%
E	Labour Law	58,599,881.70	60,407,804.64	12.5%	11.7%
F	Litigation	74,903,247.06	87,156,363.24	16.0%	16.9%
G	Real Estate	25,914,483.88	27,856,483.37	5.5%	5.4%
H	Securities Law	38,775,622.87	33,839,332.33	8.3%	6.6%
I	Tax Matters	10,209,411.16	14,486,742.36	2.2%	2.8%
J	Wills, Estates, Trust	14,137,391.02	16,200,039.06	3.0%	3.1%
K	Other (please specify)	19,356,791.70	20,865,050.34	4.1%	4.0%
		468,381,715.66	516,306,858.16	100.0%	100.0%

-
-
9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	_____	%	31.3	%
b) Criminal Law	_____	%	0.0	%
c) Family Law	_____	%	0.0	%
d) Intellectual Property	_____	%	5.2	%
e) Labour Law	_____	%	12.9	%
f) Litigation	_____	%	25.0	%
g) Real Estate	_____	%	8.8	%
h) Securities Law	_____	%	7.8	%
i) Tax Matters	_____	%	3.3	%
j) Wills, Estates, Trust	_____	%	1.3	%
k) Other (please specify)	_____	%	2.6	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: Fasken Martineau DuMoulin LLP

	<u>Merger/Name Change Date</u>	<u>Notes</u>
▪ Kitamura Green	July 1, 1987	
▪ Campbell, Godfrey & Lewtas	November 1, 1989	(inactive but not dissolved)
▪ Fasken & Calvin	November 1, 1989	(inactive but not dissolved)
▪ Fasken Campbell Godfrey	February 1, 2000	(inactive)
▪ Martineau Walker ("MW")	February 1, 2000	(inactive)
▪ Russell & DuMoulin ("RD")	February 1, 2000	(inactive)
▪ Gagnon Lafleur & Associates	1981	Predecessor firm of MW
▪ Lazarovitz, Cannon, Lemelin, Rourke	1983	Predecessor firm of MW
▪ Lyall McKercher Hanna	1989	Predecessor firm of RD
▪ Day & Co.	November 1, 2002	Predecessor firm of SS
▪ PharmaLaw	October 1, 2004	Predecessor firm of SS
▪ Stringer and Saul	January 1, 1978 *	Predecessor firm of SS
▪ Stringer Saul & Justice	1979 *	Predecessor firm of SS
▪ Stringer Saul	May 1, 1985	Predecessor firm of SS
▪ Walker Martineau Stringer Saul	Sept. 1, 1990	Predecessor firm of SS
▪ Stringer Saul	May 1, 1991	Predecessor firm of SS
▪ Stringer Saul LLP ("SS")	February 1, 2007	Predecessor firm of FMSS
▪ Johnston & Buchan	1992	Predecessor firm of J&B
▪ Johnston, Buchan & Dalfen	1994	Predecessor firm of J&B
▪ Johnston & Buchan	2001	Predecessor firm of J&B
▪ Johnston & Buchan LLP ("J&B")	April 1, 2007	Predecessor firm of FMD
▪ Fasken Martineau Stringer Saul LLP ("FMSS")	September 11, 2008	Name changed to Fasken Martineau LLP (name to be used in UK only)
▪ Gravel, Leclerc & Associates S.E.L.A.S. (GL)	September 1, 2009	Name changed to Fasken Martineau S.E.L.A.S.
▪ Gravel, Leclerc & Partners	September 1, 2009	Predecessor firm of GL
▪ Bell Dewar and Hall	September 1, 2009	Predecessor firm of Bell Dewar Inc.
▪ Bell Dewar Inc.	February 1, 2013	Bell Dewar Inc. (a South African Corporation) and any and all predecessors
▪ Gravel, Leclerc & Granger S.E.P. (a French Partnership)		

FMD = Fasken Martineau DuMoulin LLP

* Precise date of name change cannot be verified.

Note: A predecessor firm is one in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

FIRM-WIDE 2019

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019Name of Firm: Fasken Martineau DuMoulin LLP

	<u>CANADA</u>				Beijing	<u>OUTSIDE OF CANADA</u> ^{/5}	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>		London	South Africa
a) No. of Lawyers ^{/1}	<u>88</u>	<u>23</u>	<u>284</u>	<u>227</u>	<u></u>	<u>11</u>	<u>67</u>
b) No. of Patent & Trademark Agents ^{/2}	<u>1</u>	<u>0</u>	<u>0</u>	<u>5</u>	<u></u>	<u>0</u>	<u></u>
c) No. of Non-lawyer Consultants ^{/3}	<u>2</u>	<u>0</u>	<u>5</u>	<u>16</u>	<u></u>	<u>0</u>	<u></u>
d) No. of Paralegals	<u>27</u>	<u>5</u>	<u>46</u>	<u>32</u>	<u></u>	<u>0</u>	<u>2</u>
e) No. of Other Employees	<u>166</u>	<u>33</u>	<u>349</u>	<u>294</u>	<u></u>	<u>8</u>	<u>58*</u>
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	<u>59</u>	<u>18</u>	<u>0</u>	<u>7</u>	<u>4</u>	<u>0</u>	<u>1</u>

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.^{/2} These are not lawyers.^{/3} Please complete Appendix C if individuals are reported under this category.^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Includes 10 candidates attorneys

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Beijing Office - 2019

APPENDIX C ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019 (Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

* Consultant in Business Development

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: _____
 Insurance Carrier: _____
 Policy Number: _____
 Period of Insurance: _____
 Retroactive Date: _____
 Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: _____
 Insurance Carrier: _____
 Policy Number: _____
 Period of Insurance: _____
 Retroactive Date: _____
 Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

SECTION A

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance</u> ^{/1}	<u>% of Time Docketed</u> ^{/2}
			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP – London

NOT APPLICABLE

SECTION A

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance</u> ^{/1}	<u>% of Time Docketed</u> ^{/2}
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

N/A for Calstar

APPENDIX C
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{1/}	% of Time Docketed ^{2/}
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	Type of Exposure:
Insurance Carrier:	Insurance Carrier:
Policy Number:	Policy Number:
Period of Insurance:	Period of Insurance:
Retroactive Date:	Retroactive Date:
Limits: \$_____ per claim, \$_____ aggregate	Limits: \$_____ per claim, \$_____ aggregate

^{1/} If underlying insurance is purchased, please complete Section B.

^{2/} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ¹	% of Time Docketed ²
<u>Government Relations Consultant</u>	<u>2</u>	<u>Ontario</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<u>Occupational Health & Safety Consultant</u>	<u>3</u>	<u>Ontario</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ _____ per claim, \$ _____ aggregate

¹ If underlying insurance is purchased, please complete Section B.

² PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

* * Cathy Chandler
 * * David Marchione
 * * Carla Oliver
 * * James Villeneuve
 * * Michael Harris

SOUTH AFRICA

APPENDIX C

ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019 (Excluding Patent & Trademark Agents)

DuMoulin LLP

Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
<u>NONE.</u>		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

on the underlying insurances purchased and attach a copy of the policies:

<p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____ per claim, \$ _____ aggregate</p>	<p>Type of Exposure: _____</p> <p>Insurance Carrier: _____</p> <p>Policy Number: _____</p> <p>Period of Insurance: _____</p> <p>Retroactive Date: _____</p> <p>Limits: \$ _____ per claim, \$ _____ aggregate</p>	<p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
---	---	--

d, please complete Section B.

ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE SUPERVISION OF A LAWYER.

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP (Appendix C - Page 1 of 2)

SECTION A

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance</u> ^{/1}	<u>% of Time Docketed</u> ^{/2}
Notary	1	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Translators	10	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Other (see note 1) M-E.A	1	Qc	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Other (see note 2) A-M.B	1	Qc	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Other (see note 3) R.C	1	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: **Notaries**

Insurance Carrier: **FARP-CNQ**

Policy Number: **(Pending)**

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: **Translators**

Insurance Carrier: **La Capitale**

Policy Number: **(pending)**

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2018
(Excluding Patent & Trademark Agents)

Name of Firm: Fasken Martineau DuMoulin LLP (Appendix C - Page 1 of 2)

SECTION A

<u>Professional Service Provided or Type of Profession</u>	<u># Person in Same Profession</u>	<u>Location (Province)</u>	<u>Client Contact</u>	<u>Advise Clients</u>	<u>Supervised by Lawyers</u>	<u>Underlying Insurance</u> ^{/1}	<u>% of Time Docketed</u> ^{/2}
Notary	1	Qc	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Translators	10	Qc	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Other (see note 1) M-E.A	1	Qc	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Other (see note 2) A-M.B	1	Qc	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Other (see note 3) R.C	1	Qc	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: **Notaries**
Insurance Carrier: **FARP-CNQ**
Policy Number: **(Pending)**
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

Type of Exposure: **Translators**
Insurance Carrier: **La Capitale**
Policy Number: **(pending)**
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

CLLAS 2019-2020
QUEBEC REGION
ATTACHED - APPENDIX C

Note 1)

Marie-Ève Asselin, Director Marketing & Business Development (Lawyer, QC Bar 2001, no insurance FARBQ)

Note 2)

Anne-Marie Breton, Director Compliance (Lawyer Qc Bar 2005, no insurance FARBQ)

Note 3)

Raymond Chrétien, Strategic Advisor

Note 4)

Julie Girouard, Assoc. Director Professional Development (Lawyer, QC Bar 2006, no insurance FARBQ)

Note 5)

Marie-Claude Forest, Manager Marketing & Business Development (Lawyer, QC Bar, no insurance FARBQ)

Note 6)

Chantal Moisan, Michael Corriveau, Paralegals (Lawyer, Qc Bar 1993, 2015, no insurance FARBQ)

Note 7)

FASKEN MARTINEAU DuMOULIN LLP

Addendum to Appendix D

Firms Included for the Purpose of Full Disclosure as at March 31, 2019 – See Comments Describing Relationship

Firms	Addresses	Comments On Relationships
<p>Fasken Martineau DuMoulin LLP</p> <p><i>www.fasken.com</i></p> <p>Toronto – Phone (416) 366-8381 Fax (416) 364-7813</p> <p>Montreal – Phone (514) 397-7400 Fax (514) 397-7600</p> <p>Quebec City – Phone (418) 640-2000 Fax (418) 647-2455</p> <p>Vancouver – Phone (604) 631-3131 Fax (604) 631-3232</p> <p>Surrey - Phone (604) 631-3131 Fax (604) 631-3232</p> <p>Calgary – Phone (403) 261-5350 Fax (403) 261-5351</p> <p>Ottawa – Phone (613) 236-3882 Fax (613) 230-6423</p> <p>London UK– Phone 011-44-20-7917-8500 Fax 011-44-20-7917-8555</p>	<p>Locations: - <i>Toronto, Montreal, Ottawa, Quebec City, Calgary, Vancouver, Surrey, London U.K. and Johannesburg S.A..</i></p> <p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p> <p>The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9</p> <p>140, Grande Allée Est, Suite 800 Québec, Quebec G1R 5M8</p> <p>550 Burrard Street, Suite 2900 Vancouver, B.C. V6C 0A3</p> <p>Station Tower 13401 - 108 Avenue, Suite 1800 Surrey, B.C. V3T 5T3</p> <p>First Canadian Centre 350 7th Avenue SW, Suite 3400 Calgary, Alberta T2P 3N9</p> <p>55 Metcalfe Street, Suite 1300 Ottawa, ON K1P 6L5</p> <p>125 Old Broad Street, 15th floor London EC2N 1AR United Kingdom</p>	<p>An Ontario limited liability partnership formed on February 1, 2000 by partners of Fasken Campbell Godfrey, Martineau Walker and Russell & DuMoulin. (Designated internally as the “National” firm)</p>

<p>Johannesburg SA – Phone + 27 (11) 586-6000 Fax + 27 (11) 586-6104(5)</p> <p>(A Paris office, formerly at 32 avenue de l’Opéra. 75002 Paris was closed on December 31, 2015.)</p> <p>(A Yellowknife office, formerly at Suite 702, Northwest Tower 5201 Franklin (50th) Avenue Yellowknife, NT X1A 3S9, was closed on November 1, 2004.)</p> <p>(A New York City office, formerly at 590 Madison Avenue 21st Floor New York, New York 10022, was closed on April 30, 2008.)</p>	<p>Inanda Greens, Building 2 54 Wierda Road West Sandton</p> <p>(Postal address) : P.O. Box 652057 Benmore 2010 South Africa</p>	
<p>Fasken Martineau DuMoulin International LLP (formerly Fasken Martineau DuMoulin LLP, which was designated internally as “International”)</p>	<p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p>	<p>An Ontario limited liability partnership. It has a partnership interest in Fasken Martineau LLP and owns Fasken Martineau DuMoulin (Pty) Ltd.. Its partners are the Canadian equity partners of Fasken Martineau DuMoulin LLP, equity members of Fasken Martineau LLP and certain lawyers of Fasken Martineau DuMoulin (Pty) Ltd. and Bell Dewar Inc.</p>
<p>Fasken Martineau LLP (formerly Fasken Martineau Stringer Saul LLP)</p>	<p>125 Old Broad Street, 15th floor London EC2N 1AR United Kingdom</p>	<p>Fasken Martineau DuMoulin International LLP operated a London U.K. office from which it practiced Canadian law. On February 1, 2007 the name of Stringer Saul LLP, a limited liability partnership incorporated and registered in England and Wales, was changed to Fasken Martineau Stringer Saul LLP. Partners of Fasken Martineau DuMoulin International LLP</p>

		who were resident in the London UK office and two partners of Fasken Martineau DuMoulin LLP resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and Fasken Martineau DuMoulin International LLP. Subsequently, the name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP.
Johnson & Buchan LLP <i>(inactive)</i>	55 Metcalfe Street, Suite 1300 Ottawa, Ontario K1P 6L5	On April 1, 2007 the partners of Johnson & Buchan LLP became partners of Fasken Martineau DuMoulin LLP and of Fasken Martineau DuMoulin International LLP.
Fasken Martineau S.E.L.A.S. (formerly Gravel, Leclerc & Associés S.E.L.A.S.) <i>(inactive)</i>	32 avenue de l'Opéra 75002 Paris	Fasken Martineau S.E.L.A.S. is a corporation incorporated in France that is authorized to practice law. Formerly Gravel, Leclerc & Associés S.E.L.A.S., it carried on its practice under the name Fasken Martineau between September 1, 2009 and December 31, 2015, when it ceased operations.
Fasken Martineau DuMoulin LLP (formerly Fasken Martineau) <i>(dissolved)</i>	590 Madison Avenue 21 st Floor New York, New York 10022	Fasken Martineau DuMoulin LLP, a New York limited liability partnership (designated internally as the "U.S." firm) operated a New York City office from which it practiced Canadian law. The New York office was closed on April 30, 2008 and the U.S. firm has been dissolved.
Fasken Martineau DuMoulin (Pty) Ltd.	Inanda Greens, Building 2 54 Wierda Road West Sandton (Postal address) : P.O. Box 652057 Benmore 2010 South Africa	Fasken Martineau DuMoulin (Pty) Ltd., a South African limited liability company, established the Johannesburg office opened in November 2003 to practice Canadian and UK law.
LexEmpower Legal Consulting (Pty) Ltd. <i>(inactive/in liquidation)</i>		LexEmpower Legal Consulting (Pty) Ltd. was a South African limited company structured to qualify under the "Black Economic Empowerment" legislation and operate an office in Johannesburg to practice UK law. It is inactive.

		We no longer control of this entity and understand that it is in liquidation.
Fasken Campbell Godfrey <i>(inactive)</i>	Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6	The Ontario partnership that operated the Toronto office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Bell Dewar Inc.	Inanda Greens, Building 2 54 Wierda Road West Sandton Postal Address P O Box 652057 Benmore 2010 South Africa	As of February 1 st , 2013 the firm of Bell Dewar Inc. merged with Fasken Martineau DuMoulin (Pty) Ltd in South Africa and continued its practice under the name Fasken Martineau.
Martineau Walker <i>(inactive)</i>	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9 140, Grande Allée Est, Suite 800 Québec, Quebec G1R 5M8	The Quebec partnership that operated the Montreal and Quebec City offices practices prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Russell & DuMoulin <i>(inactive)</i>	550 Burrard Street, Suite 2900 Vancouver, B.C. V6C OA3	The B.C. partnership that operated the Vancouver office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Carters Professional Corporation www.carters.ca www.charitylaw.ca	Box 440 211 Broadway Orangeville, Ontario L9W 1K4	We have a formal but non-partnership affiliation with Carters Professional Corporation for the purposes of client referrals, business development and practice support. Terrance S. Carter is a counsel to Fasken Martineau DuMoulin LLP.

Hacker Gignac Rice (Relationship terminated December 31, 2006)	518 Yonge Street Midland, Ontario L2R 2C5	We had a formal but non-partnership affiliation with Hacker Gignac Rice for the purposes of client referrals, business development and practice support. This affiliation was terminated on December 31, 2006.
Sims Clement Eastman <i>(relationship terminated April 1, 2001)</i>	700-22 Frederick Street P.O. Box 578, Station C Kitchener, Ontario N2G 4A2	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2001.
Cohen Highley Vogel & Dawson <i>(relationship terminated December 1, 2000)</i>	1 London Place 11 th Floor 255 Queen's Avenue London, Ontario N6A 5R8	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2000.
Lockington, Lawless, Fitzpatrick <i>(relationship terminated December 31, 2006)</i>	332 Aylmer Street, North P.O. Box 1146, Station Main Peterborough, Ontario K9J 7H4	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2006.
Goudreau Gage Dubuc (formerly Goudreau Gage Dubuc & Martineau Walker) <i>(association terminated January 1, 2007)</i>	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9	A Montreal patent and trademark firm, whose members include lawyers, that operated from the premises of Martineau Walker (subsequently Fasken Martineau DuMoulin LLP) under a formal non-partnership association that terminated in 2007.
Berardino & Harris	Suite 14 1075 West Georgia Street Vancouver, British Columbia V6E 3C9	Berardino & Harris (B&H) was a partnership of some former partners of Russell & DuMoulin. B&H handled certain matters previously handled by Russell & DuMoulin. In some instances B&H became the solicitors of record on existing matters, while on others Fasken Martineau DuMoulin LLP became the solicitors of record with B&H acting as counsel. B&H was a fully independent firm.
Perkins Coie LLP <i>www.perkinscoie.com</i>	1201 Third Avenue 49 th Floor Seattle, Washington	Russell & DuMoulin and Perkins Coie for several years maintained an informal strategic alliance solely for the purpose of a non-exclusive referral

	U.S.A. 98101-3098	arrangement, not referred to as an association. This arrangement continues between Fasken Martineau DuMoulin LLP and Perkins Coie.
Vincent T. K. Cheung, Yap & Co. <i>(relationship terminated January 31, 2000)</i>	15th Floor Alexandra House 16-20 Chater Road Hong Kong	A former Hong Kong representative under an informal relationship that was terminated in 2000.
Dr. Dongdong Huang <i>(relationship terminated June 24, 2002)</i>	Vancouver office address	A lawyer qualified in B.C. who conducted his practice from the Vancouver premises of Fasken Martineau DuMoulin LLP under a Memorandum of Understanding intended to promote China business development and provide support services without being a partnership, an association or and employee/employer relationship. This arrangement was terminated in 2002.
Pan Pacific Law Offices/ Weston Pan Pacific Consulting Ltd/ John D. Weston <i>(relationship terminated August 28, 2000)</i>	Suite 1466 144 Min Chuan East Road Section 3 Taipei, Taiwan 1850 South West Marine Drive Vancouver, British Columbia V6P 6B2	A Taiwan law firm/ a Hong Kong company registered in Taiwan as an extra-territorial company/ a B.C. lawyer, founder and beneficial owner of both. A formal association agreement existed with Russell & DuMoulin and for a time with Fasken Martineau DuMoulin LLP. The arrangement was terminated in 2000.
Orange Chari Pillay (formerly Orange & Associates and formerly John R. S. Orange, Patent and Trademark Agent) <i>(relationship terminated in 2000)</i>	Toronto Dominion Bank Tower 46.C. Box 190 Toronto-Dominion Centre Toronto, Ontario M5K 1H6	Under a Patent Agency Consultancy Agreement dated January 18, 1996, Orange Chari Pillay (“OCP”) provided patent agency services at the premises of Fasken Martineau DuMoulin LLP (previously the premises of Fasken Campbell Godfrey) on his/their behalf and in association with Fasken Martineau DuMoulin LLP (previously Fasken Campbell Godfrey). FMD Ontario Services Limited Partnership (and previously Fasken Campbell Godfrey Inc.) sublet premises and provided other management services to OCP. In early 2000 OCP provided notice under the agreement to exercise its termination rights and it left the premises of Fasken Martineau DuMoulin LLP on April 20, 2000.

Various U.S. firms	Various	From time to time Fasken Martineau DuMoulin LLP has, or has had, informal arrangements with a small number of U.S. firms the objective of which is to foster relationships that may lead to cross-border referrals, such as introducing each other's partners in similar practice areas to one another, attempting to organize joint presentations to each other's clients, jointly authoring articles on particular areas of law from Canadian and US perspectives, and the like. These relationships involve no revenue sharing or referral fees and are even more informal than the arrangement with Perkins Coie LLP described above.
FMD Partners, G.P. / FMD et Associes, S.E.N.C.	<p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p> <p>The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9</p>	FMD Partners, G.P. / FMD et Associés, S.E.N.C. is an Ontario general partnership, the members of which are FMD National Inc., Alexandre Abecassis, Raymond Chretien, Serge Lapointe and Johann Gest. The partnership provides trade mark and patent agent services and other consulting services primarily to the Quebec offices of Fasken Martineau DuMoulin LLP.
Fasken Business Consulting (Asia) Inc.	<p>Unit 2401-31, 24F China World Office 2 No.1 Jian Guo Men Wai Avenue Chao Yang District, Beijing</p>	

NOTE: In the case of terminated relationships, the name and address above are those which applied at the time of the relationship.

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Fasken Martineau DuMoulin LLP

- The Named Insured under the CLLAS policies is Fasken Martineau DuMoulin LLP. There is an endorsement to the Primary Policy listing a number of additional Named Insureds.
- Fasken & Calvin and Campbell Godfrey & Lewtas merged on November 1, 1989 and practised under the name Fasken Campbell Godfrey.
- Fasken Campbell Godfrey had entered into a partnership with Martineau Walker of Montreal and Davis and Company of Vancouver known as Fasken Martineau Davis. Davis and Company later withdrew and the partnership continued under the name of Fasken Martineau.
- On September 1, 1999, Fasken Martineau ("Canadian Partnership") formed a US subsidiary partnership also called Fasken Martineau ("US Partnership") to carry out the practice of Canadian law from a New York office. The Firm commenced practising US law from both the Toronto and New York offices in 2004.
- On February 1, 2000, Fasken Campbell Godfrey merged with Martineau Walker and Russell & DuMoulin of Vancouver and practises under the name of Fasken Martineau DuMoulin LLP.
- After the merger, Fasken Martineau ("US Partnership") and Fasken Martineau ("Canadian Partnership") changed their names to Fasken Martineau DuMoulin LLP ("US Partnership") and Fasken Martineau DuMoulin LLP ("International Partnership") respectively. On February 1, 2007, Fasken Martineau DuMoulin LLP ("International Partnership") changed its name to Fasken Martineau DuMoulin International LLP.
- The Firm now has offices in Toronto, Montreal, Ottawa, Quebec City, Vancouver, Surrey and Calgary in Canada, London, England, and Johannesburg, South Africa. An office was opened in Yellowknife on November 4, 2002 and was closed on November 30, 2004. The New York office was closed on April 30, 2008 and Fasken Martineau DuMoulin LLP ("US Partnership") was subsequently dissolved.
- The Johannesburg, South Africa office was established in 2003 by Fasken Martineau DuMoulin (Pty) Ltd., a subsidiary of Fasken Martineau DuMoulin International LLP, to practise both Canadian and U.K. law. The entity merged with Bell Dewar Inc. on February 1, 2013.
- Under the supervision of the Johannesburg office, a subsidiary company, LexEmpower Legal Consulting (Pty) Ltd. (formerly Capstone 686 (Proprietary) Limited) was structured to qualify under the "Black Economic Empowerment" legislation and provide corporate and legal advisor services commencing on or about July 1, 2004 practising both Canadian and U.K. law. This corporation has now ceased active business. The firm no longer has control of this entity and we understand that it is now under liquidation.
- Effective February 1, 2007, the name of Stringer Saul LLP, a UK limited liability partnership, was changed to Fasken Martineau Stringer Saul LLP, the partners resident in the Firm's London, UK office and 2 partners

resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP on September 11, 2008.

- Effective April 1, 2007, the firm opened an office in Ottawa, and the partners of Johnston & Buchan LLP joined the firm as partners of Fasken Martineau DuMoulin LLP.
- On September 1, 2009, the Paris firm Gravel, Leclerc & Associes S.E.L.A.S., a professional services corporation established under French law, merged with the Firm. Gravel, Leclerc was acquired by Fasken Martineau LLP and continued its practice under the name Fasken Martineau S.E.L.A.S. Certain lawyers of Gravel, Leclerc also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The Paris office was closed effective December 31, 2015.
- There is an endorsement on the policy recognizing the partnerships, associations and arrangements identified in this Appendix D and those in the attached addendum.
- Martineau Walker merged with Gagnon Lafleur & Associates in 1981 and Lazarovitz, Cannon, Lemelin, Rourke in 1983.
- Russell & DuMoulin merged with Lyall McKercher Hanna in 1989.
- On February 1, 2013, the South African firm of Bell Dewar Inc. (predeceased by Bell Dewar and Hall), a professional services firm established under South African law, merged with Fasken Martineau DuMoulin (Pty) Ltd. and continues its practice under the name Fasken Martineau. Certain lawyers of Bell Dewar Inc. also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP.

Coverage is provided to the predecessor firms namely, Fasken & Calvin; Campbell, Godfrey & Lewtas; Kitamura Green; Gagnon Lafleur & Associates; Lazarovitz, Cannon, Lemelin, Rourke; and Lyall McKercher Hanna. Coverage is also provided to the predecessor firms of Stringer Saul LLP namely, Day & Co. and PharmaLaw, to the predecessor firms of Johnston & Buchan LLP namely, Johnston & Buchan and Johnston, Buchan & Dalfen, to the predecessor firm of Gravel, Leclerc & Associes S.E.L.A.S., namely Gravel, Leclerc and Partners, and to the predecessor firm of Bell Dewar Inc. in South Africa, namely Bell Dewar and Hall.

(ATTACH ADDENDUM TO FMD'S APPENDIX D RETURNED WITH THEIR JULY 1, 2017 RENEWAL APPLICATION)

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
n/a		

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office
n/a		

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
n/a			

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: N/A
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: N/A
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: N/A
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: N/A
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

* Derek Linfield

** Abayomi Akinjide, Thomas Wexler, Karim Maalioun, Laura Bradley, Rachel Yurkowski and Maurice Wong

*** Al Gourley, Jodi Katz, Vanessa McMin and Reena Modha

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Does not apply
to Calgary.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW &
PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time In the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$_____ per claim, \$_____ annual aggregate

SOUTH AFRICA

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
JOHANNESBURG		67.	

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: PROFESSIONAL INDEMNITY - REFER ATTACHMENT.

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Fasken Martineau DuMoulin LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Antoine Guilmain	Montréal	30%
Youssef Fichtali	Montréal	15%
Julie Uzan-Naulin*	Montréal	100%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

*: Hiring date: 2019/03/11

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

Type of Exposure: _____

Insurance Carrier: _____

Policy Number: _____

Period of Insurance: _____

Retroactive Date: _____

Limits: \$_____ per claim, \$_____ annual aggregate

CLLAS 2019-20
QUEBEC REGION
ANNEX «A »
DISCLOSURE TO APPENDIX « E »

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

We regularly represent foreign clients with respect to Canadian law who insist in their mandate letters that we submit our contract for professional services to the application of foreign laws and/or to the authority of foreign courts.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As at December 31, 2018
LSBC



Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2000-100	James Carphin	Emma Suen and Momo Sun et al	3/17/00	11/19/99	10/31/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-018	John M. McCormick	Bondholders of The Loewen Group Inc.	9/22/00		3/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-043	David Kington	A&B Sound Ltd.	12/19/00		4/30/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-044	Robert M. Lonergan	Nelson Marketing International Inc.	12/08/00		5/30/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-046	Thora Sigurdson	Quesnel River Pulp Company	12/27/00		9/28/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-047	Art Bensler	General Motors of Canada Limited	12/28/00		5/31/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-048	George Hungerford	Great Pacific Forum Inc.	12/22/00		12/31/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-058	Gary W. Ott	George Weston Limited	2/07/00		7/31/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-107	David C. Harris	Commonwealth Trust	4/11/01		11/28/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-113	Richard Berrow	Insurance Corporation of B.C.	5/02/01		6/17/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-114	Elaine E. Reynolds	Richard Genest	4/30/01		6/30/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-006	Helen H. Low	Peter Wong	7/29/01		8/26/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-008	Jennifer L. Harry	Detlef Heiss, Bernhard Heiss, Dirk Heiss et al	7/31/01		12/16/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-029	John S. McKercher	Nastec Holdings Inc.	8/31/01		12/31/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-031	Andrew I. Nathanson	Jian Xiang Xu et al	9/04/01		10/30/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-050	Steven Lukas	Mentor Tzeng	10/15/01		5/31/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-073	Michael D. Parrish	Orica Canada Inc.	11/20/01		5/29/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-095	Frances Boyle	Janet Allan	12/28/01		3/31/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-152	Brian Leslie Child	American Boardsports Co. Inc./ABCI Holdings et al	3/27/02		6/30/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-155	Helen H. Low	Roy Harris	4/15/02		3/31/09	\$0	\$1,608	\$0	\$1,608	\$0	\$0	\$0	\$0	\$0
CLLAS2002-157	Geoff/Tracey Cowper/Cohen	Michael J. Hordo	4/02/02		3/31/05	\$0	\$50,213	\$0	\$50,213	\$0	\$0	\$0	\$0	\$0
CLLAS2002-231	James D. Piers	Sharon Jack	6/28/02		9/30/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-232	David Wotherspoon	Geoff Lyster	6/28/02		1/31/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-254	Michael D. Parrish	Terry Alexander	4/04/02		5/31/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-255	Laureen Ann Fenlon	Tarryn Oliver	4/30/02		12/30/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-038	John Lyster	3653 Investments Limited	8/06/02		4/27/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-039	Simon Coval	Marioff Corporation OY	7/26/02		4/29/03	\$84,000	\$10,380	\$0	\$99,380	\$0	\$0	\$0	\$0	\$0
CLLAS2003-042	James D. Piers	Sharon Jack	7/02/02		3/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-102	Richard/Bill Olson/Martin	Yao Tsai Company Ltd.	12/31/02		3/31/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-104	William Westeringh	TBA	12/30/02		2/03/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-105	Lorene Ann Novakowski	YMCA	12/30/02		11/30/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-106	William T. Morley	Libertha Wong	12/30/02		2/20/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-141	Richard J. Berrow	Jason Beazley/ICBC et. al	3/13/03		12/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-144	Simon R. Coval	Masonville Plastics (B.C.) Ltd.	3/24/03		7/20/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-145	George W. Hungerford	Peter Young Pak Wat	2/13/03		3/31/05	\$8,500	\$8,920	\$0	\$17,420	\$0	\$0	\$0	\$0	\$0
CLLAS2003-148	Allan P. Seckel	De Cotiis Management Group	1/29/03		10/26/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-176	Thomas R. Manson	Vector Holdings Ltd.	5/02/03		12/15/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-219	Andrew D. Borrell	TD Waterhouse Securities	5/22/03		1/28/04	\$139,745	\$0	\$0	\$139,745	\$0	\$0	\$0	\$0	\$0
CLLAS2003-220	William A. Randall	Avalon Capital Corporation	4/22/03		10/30/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2004-068	Laing Brown	Canada Life Insurance Company	12/31/03		9/19/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-104	Elizabeth B. Lyall	Canada Life Insurance Company	12/22/03		3/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-129	William T. Morley	Juliann Kuchocki	3/10/04		12/28/07	\$0	\$44,429	\$0	\$44,429	\$0	\$0	\$0	\$0	\$0
CLLAS2004-147	Doran John Ingalls	A.G. Hein Ltd.	1/28/04		5/31/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-219	Frank S. Schober	Lloyd Callahan	6/07/04		3/30/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-013	Frank Schober	Matisse Investment Management Ltd.	8/16/04		4/29/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-027	James G. Carphin	Estate of Marian Miletich	9/28/04		4/28/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-055	Geoffrey Cowper	Markham Hilton and Monteval Days (not clients)	11/19/04		5/31/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-062	Leo Amighetti	David Radler	12/31/04		12/29/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-130	Anne Kober	Scott 72 Centre LP	2/15/05		10/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-143	Doran John Ingalls	668158 B.C. Ltd.	3/04/05		5/16/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-147	Jennifer Harry	Amex Bank of Canada	3/10/05		12/31/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-156	Robert Paul Fairweather	Western Forest Products	3/21/05		6/20/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-170	Marcel Peerson	Spuzzum Enterprises Ltd.	4/25/05		6/29/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-179	Kevin Price	Newco	4/22/05		10/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-190	Ron Ezekiel	Canadian Pacific Railway	5/19/05		6/27/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-205	Doran J. Ingalls	GEOS Corporation	5/18/05		12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-206	Lorene Ann Novakowski	YMCA	5/20/05		5/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-007	Robert Millar	James B. Panther	7/21/05		12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-018	John F. Grieve	Campbell Saunders Ltd.	9/19/05		12/31/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-041	Roger Kuypers	The Finishing Touch Doors and Moulding Limited	11/15/05		4/09/14	\$135,000	\$199,589	\$0	\$334,589	\$0	\$0	\$0	\$0	\$0
CLLAS2006-050	Lori/Patricia Price/Janzen	Dr. Nevio Cimolai	12/22/05		5/31/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-060	Lynne Charbonneau	HILLSBOROUGH RESOURCES LTD.	11/25/05		1/08/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-061	Doran Ingalls	Symphonetics Corporation	12/12/05		6/27/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-062	Doran Ingalls	Mobidia Inc ("WAVE")	12/12/05		4/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-063	Peter Finley	360 Networks Corporation	12/08/05		12/31/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-064	Michael D. Parrish	Emil Clermont	12/29/05		8/24/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-069	Richard J. Berrow	City of Richmond	12/29/05		1/31/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-070	Richard J. Berrow	Oceanic Bank and Trust Ltd.	12/30/05		8/30/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-080	Paul Fairweather	West Bay Sonship Yacht Ltd. (West Bay)	1/09/06		12/29/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-086	Alexis Kerr	Royal Bank of Canada	1/30/06		3/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-087	Georald Ingborg	Greg Sinitsin/Polaris Minerals Corp.	2/07/06		8/24/07	\$13,000	\$0	\$0	\$13,000	\$0	\$0	\$0	\$0	\$0
CLLAS2006-111	Randal Dhaliwal	Toronto Dominion Bank (Loan to Ronnie Trading)	12/29/05		11/15/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-125	Edgar Frechette	St. George's School	4/10/06		12/29/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-134	Edgar Frechette	Aspen Foundation and Diamond Foundation	4/10/06		12/29/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-135	Alison Oxtoby	The England Group	5/10/06		5/30/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-136	Andrew Borrell	Linda and Pedro Liong	5/10/06		5/31/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-166	Ian Cassie	Safety-Klean Acquisition	6/19/06		10/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-012	Ian Cassie	Weston Foods	8/15/06	3/08/06	12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2007-025	Kibben M. Jackson	Don Adams	9/26/06		5/30/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-026	Peter H. Finley	Terminal City Club Inc.	9/26/06		8/24/07	\$0	\$10,285	\$0	\$10,285	\$0	\$0	\$0	\$0	\$0
CLLAS2007-032	Mark D. Andrews	Royal Bank of Canada	8/30/06		12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-063	Prentice Durbin	myZone Media Inc.	12/22/06		4/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-064	Tracey Cohen	James Chang	12/22/06		11/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-071	Susan Paish	British Columbia Chamber of Commerce (Ladysmith)	12/21/06		10/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-093	Peter H. Finley	438336 B.C. Ltd/Donato De Cotiis	3/07/07		12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-108	Elizabeth B. Lyall	Law Society of British Columbia	1/09/07		2/27/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-145	Blair/Georald Horn/Ingborg	MetroBridge Networks Corporation	6/30/07		5/30/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-045	Andrew Ian Nathanson	KPMG Inc. (re Commonwealth Trust Comp)	11/22/07		11/27/12	\$0	\$5,808	\$0	\$5,808	\$0	\$0	\$0	\$0	\$0
CLLAS2008-058	Iain Mant	Ford Nicholson	12/05/07		12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-072	Robert A. Millar	Annacis Enterprises Ltd and Dean Dricos	12/21/07		10/29/10	\$10,000	\$13,187	\$0	\$18,187	\$0	\$0	\$0	\$0	\$0
CLLAS2008-073	David Wotherspoon	Rene Rey Swiss Chocolate Ltd.	12/24/07		12/30/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-078	George Hungerford	Dr. Peter Chan	12/27/07		11/28/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-081	David Martin	Tri Power Developments et al	12/27/07		7/31/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-084	Peter H. Finley	Edward Chapman Limited	12/31/07		8/31/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-142	Andrew Jackson	Scott Wilson	5/26/08		5/31/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-156	Georald Ingborg	GLG Life Tech Corporation	6/20/08		4/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-169	Andrew Nathanson	Shannon Murrin	6/25/08		7/31/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-039	Marina Pratchett	Steve Lang	10/23/08		12/15/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-057	Peter Finley	Salient Developments (Water) Ltd.	11/21/08		12/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-058	Mark Andrews	Canada Nickel Canada	11/25/08		12/15/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-072	Andrey Schmidt	Torfinn Djukastein	12/19/08		12/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-073	Benjamin Lee	Investec Asset Management Limited (UK)	12/22/08		4/08/16	\$0	\$4,708	\$0	\$4,708	\$0	\$0	\$0	\$0	\$0
CLLAS2009-074	Lisa Kerr	Philpott, Evitt and Company Limited	12/19/08		10/31/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-076	Charlotte Pamela Bell	Sacre-Coeur Minerals (Irwin A. Olian)	12/24/08		4/30/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-095	Avon Mersey	Carolynn Millard	1/19/09		9/30/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-111	Robert Quon	The Dells Holdings Ltd.	3/02/09		7/31/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-130	Daniel Polonenko	University of Manitoba	4/21/09		5/04/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-131	Daniel Polonenko	Medical Ventures Inc., (now Neovasc Inc.)	4/21/09		5/04/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-132	Daniel Polonenko	British Columbia Institute of Technology (BCIT)	4/21/09		5/04/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-138	Helen Low	Kenneth Stepa, Dan Stepa and Carolyn Wetzel	4/17/09		6/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-145	Charles Willms	Ronald A. Bray/Kristina R. Bray and Guy B. Ingram	5/14/09		5/27/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-030	Daniel Polonenko	Heart Force Medical Inc.	9/30/09		6/30/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-057	Frank Schober	The Law Brothers Company LLC	11/05/09		12/17/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-066	Mark/Kieran Andrews/Siddall	Freightliner Ltd.	11/26/09		3/30/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-071	Anna Laing	Brian Arnason	12/22/09		12/27/13	\$0	\$90	\$0	\$90	\$0	\$0	\$0	\$0	\$0
CLLAS2010-072	Andrew P. Jackson	Royal Bank of Canada, refinancing of Harrison Land	12/22/09		3/31/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-073	William T. Morley	Young Seo Kang	12/22/09		5/06/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2010-084	Keith E. Spencer	CopperLeaf Technologies Inc.	12/31/09		11/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-088	Paul C. Wilson	Salvation Army	12/31/09		4/08/13	\$81,456	\$20,311	\$0	\$101,767	\$0	\$0	\$0	\$0	\$0
CLLAS2010-118	Matthew Ghikas	Terasen Gas Inc. ("TGI)	3/18/10		9/30/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-153	Marina Pratchett	Westland Air Conditioning	5/04/10		12/30/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-171	Doran Ingalls	Various Clients (USPTO and ViroForce)	6/16/10		3/27/13	\$178,450	\$821,550	\$0	\$1,000,000	\$271,263	\$251,341	\$0	\$0	\$522,604
CLLAS2010-194	Doran John Ingalls	Exro Technologies Inc.	6/30/10		2/23/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-004	Kibben Jackson	Adanac Milybdenum Corporation	7/28/10		12/29/11	\$0	\$5,585	\$0	\$5,585	\$0	\$0	\$0	\$0	\$0
CLLAS2011-035	Prentice Durbin	CopperLeaf Technologies Inc.	10/28/10		6/29/11	\$50,000	\$0	\$0	\$50,000	\$0	\$0	\$0	\$0	\$0
CLLAS2011-059	Steve Lukas	Tri-M and Nupak	12/02/10		3/30/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-060	David Wotherspoon	Meridian Medical Inc.	12/03/10		5/26/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-067	Alison Oxtoby	Irfhan Rajani	12/17/10		8/31/12	\$37,348	\$8,106	\$0	\$45,454	\$0	\$0	\$0	\$0	\$0
CLLAS2011-075	Charlotte P. Bell	Peter Franklin/Easi-Serv Products Inc.	12/22/10		10/31/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-076	Anna Laing	Petrina Arnason	12/23/10		6/28/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-084	Doran Ingalls	Eyeball.com Network inc.	12/31/10		9/26/12	\$7,999	\$0	\$0	\$7,999	\$0	\$0	\$0	\$0	\$0
CLLAS2011-085	Richard J. Berrow	CopperLeaf Technologies Inc.	12/24/10		5/17/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-155	Doran John Ingalls	Rx Networks Inc.	2/15/11		3/27/13	\$1,809	\$0	\$0	\$1,809	\$0	\$0	\$0	\$0	\$0
CLLAS2011-165	Michael D. Parrish	Emil Clermont	4/27/11		11/30/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-182	Stanley Martin	TradeBytes Data Corporation	5/20/11		5/30/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-187	Steven / Vicki / Christopher Lukas / Tickle / Sharpe	Steven Hawboldt (Yellow Ridge Construction Ltd. an	6/15/11		12/17/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-007	Brook Joseph Greenberg	Anthony Mormino (0776146 B.C. Ltd.)	7/25/11		11/29/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-008	Tracey M. Cohen	Heather Scherloski	7/26/11		12/31/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-009	Elizabeth B. Lyall	Glen P. Robbins	7/26/11		1/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-020	Robert A. Millar	Nextphase Strategy Marketing Inc.	9/02/11		4/08/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-038	Keith E. Spencer	JNKS (2006) Investments Ltd.	10/18/11		4/30/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-075	Henri Alvarez	Auto-Guadeloupe Columbus Acquisitions Inc. et al.	12/23/11			\$0	\$933,281	\$66,719	\$1,000,000	\$0	\$89,746	\$750,000	\$750,000	\$839,746
CLLAS2012-076	Thomas Mark Pontin	Brooks Farrell	12/23/11		2/27/15	\$0	\$27	\$0	\$27	\$0	\$0	\$0	\$0	\$0
CLLAS2012-082	Donald M. / Stephanie Dalik / Sanger	Stella-Jones Canada Inc.	12/30/11		1/31/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-106	Andrew P. Jackson	Ted Y. Nitta	2/17/12		4/29/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-133	Dan Polonenko	Viva Pharmaceuticals	3/28/12		5/04/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-004	William T. Morley	Eun Ja Gang	7/19/12		12/17/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-012	Matthew Ghikas	British Columbia Ferry Service Inc.	8/09/12		4/09/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-031	Edgar A. Frechette	Aspen Foundation	10/16/12		12/20/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-034	Allison MacInnis	Raute Canada Ltd.	10/23/12		10/31/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-057	Robert A. Millar	Harvey Oreck	12/11/12		5/29/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-120	Stephen G. Lukas	Donald M. Fuller	5/16/13		7/31/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-123	Bruce Harrison	Thompson Creek Metals and Terrane Metals	5/16/13		11/28/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-003	Lorene A. Novakowski	Royal Bank of Canada Dominion Securities	7/09/13		10/31/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-018	Robert W. Quon	ART Turbine Inc/Andrew Rokeby-Thomas	10/01/13		5/29/15	\$0	\$430	\$0	\$430	\$0	\$0	\$0	\$0	\$0
CLLAS2014-061	Nicola Sutton	UST Global	12/24/13		6/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2014-108	Carmine Louise Boskovich	Read Harris Holdings Ltd.	3/20/14		6/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-004	Roger Kuypers	Zahir Popat	7/16/14		3/31/16	\$0	\$58,752	\$0	\$58,752	\$0	\$0	\$0	\$0	\$0
CLLAS2015-008	George Hungerford	Hallmark Holding Ltd./Afolabi Awomolo	7/29/14		3/31/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-017	Frank Schober	New Finance Services Inc.	8/15/14	9/21/05	12/21/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-028	Charlotte Bell	Irwin A. Olian	10/15/14	9/12/12	6/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-036	Sara Knappe (paralegal)	First Quantum Minerals Ltd.	11/04/14		5/04/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-040	Gavin Cameron	Ron Korkut	11/19/14		6/30/15	\$0	\$4,169	\$0	\$4,169	\$0	\$0	\$0	\$0	\$0
CLLAS2015-045	David McDonald	Auto Canada / Brent Marshall	12/19/14		6/22/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-054	David A. Martin	Global Partnership, LLC	12/23/14			\$250,000	\$5,950	\$4,050	\$260,000	\$0	\$0	\$0	\$0	\$0
CLLAS2015-055	Mark Andrews	Ted (Edward) Callahan	12/23/14			\$0	\$0	\$30,000	\$30,000	\$0	\$0	\$0	\$0	\$0
CLLAS2015-060	Adrian Wan	Aker Solutions Canada Inc.	12/31/14		12/16/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-080b	Roger / Janine Kuypers / MacNeil	Andrey Pinsky	2/26/15		5/29/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-099	Barbara J. Murray	Catherine Anne Harney	2/02/15		6/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-104	Andrew Jackson	Retirement Concepts Seniors Services Ltd.	4/09/15			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-108	Samantha / David Chang / Wotherspoon	DASH	4/10/15	3/31/15	10/31/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-138	David Wotherspoon	Al Jazeera Media Network	6/30/15	6/23/15		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-143	Benjamin Jacob Cabott	Amy Dunkley Tinson	4/29/15		2/29/16	\$2,481	\$0	\$0	\$2,481	\$0	\$0	\$0	\$0	\$0
CLLAS2016-002	Kevin / Simon Nakanishi / Coval	Oakridge Lutheran Church	7/08/15	7/04/13	9/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-007	Donald Dalik	Fenchurch Trust Co./George C. Magnus	7/28/15		8/28/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-008	David A. Martin	Talasa Properties Limited Partnership	7/28/15	11/24/14	5/30/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-009	David Martin	Hermes Canada	8/05/15		12/16/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-017	Peter H. Finley	Allan Markin et al.	8/26/15			\$0	\$918,550	\$81,450	\$1,000,000	\$0	\$0	\$250,000	\$250,000	\$250,000
CLLAS2016-028	Lorene Ann Novakowski	Avigilon Corporation	7/21/15		12/22/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-032	Rebecca Coad	Owners, Strata Plan LMS 463	10/13/15		11/30/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-034	David Wotherspoon	Marian Zadra, Robert Zadra, and Yvonne Zadra	10/15/15	10/01/15		\$32,292	\$0	\$7,708	\$40,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-050	Simon Coval	The Ponderosa Fund	11/12/15	6/15/15	1/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-055	Dierk Ullrich,Sarah Batut	0762939 B.C. Ltd. (Trevor Klann)	12/04/15		4/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-057	Grant Foster	Metropolitan Fine Printers Inc.	12/09/15		3/31/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-068	Marina Ann Pratchett	Pedre Contractors	12/23/15		4/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-069	Keith E. Spencer	Prelude Ventures LLC	12/22/15		7/31/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-071	Robert M. Lonergan	Trimac Transportation Services	12/17/15		3/30/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-072	Simon Coval	Imperial Parking Canada Corp	12/18/15		5/25/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-073	William T. Morley	Youngsoon Seo	12/18/15			\$0	\$0	\$115,000	\$115,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-074	Kibbon M. Jackson	Julien Selgren	12/18/15		7/31/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-116	Peter Finley	South Surrey Aggregates Ltd.	2/03/16		12/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-117	Peter Finley	Colligo Networks, Inc.	3/08/16			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-119	Keith Evan Spencer	Dan Klemke	3/22/16			\$0	\$23,192	\$101,808	\$125,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-120	Brook Joseph Greenberg	Ms. Yet Lun Joy	4/07/16		10/31/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-151	Vicki Tickle	League IGW REIT,Ron Parachoniak,John Vedova	6/14/16			\$0	\$18,828	\$981,172	\$1,000,000	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2016-171	Andrew Jackson	Buron Healthcare,Trellis/Castle	6/30/16		3/30/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-172	Sarah Batut	Nantree Holdings Ltd.	5/03/16			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-003	Helen Low,Sarah Batut	Executor of the Estate of Philip Arthur Goll (deceased)	7/13/16	4/08/16	5/31/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-040	Danielle Laurena Bryant	myZone Media Inc.	8/31/16		12/28/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-066B	Georald Ingborg	Handybook	12/02/16		5/31/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-087	Charles Willms	Hayes Group Holding	10/17/16		3/29/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-112	Adrian Wan,Edmond C. Luke	Modern Kuyuan Development Holdings (CAN) Ltd	3/15/17	12/14/16	12/22/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-122	Daniel Andrew Byma	Taknam Construction Ltd.	3/22/17		5/25/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-123	Andrew Nathanson	Unitow Services (1978) Ltd.	2/24/17		12/14/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-126	Benjamin Jacob Cabott	Prodigy Capital Corp	1/27/17		4/28/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-127	Gavin Cameron	Karen A. Bastow	1/31/17			\$0	\$1,640	\$23,360	\$25,000	\$0	\$0	\$0	\$0	\$0
CLLAS2017-139	Allan P. Seckel	Ted (Edward) Callahan	5/03/17		5/25/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-023	Gavin Cameron	Sean Moriss	9/25/17		4/25/18	\$0	\$48	\$0	\$48	\$0	\$0	\$0	\$0	\$0
CLLAS2018-026	Thomas Mark Pontin	HDR Inc.	9/11/17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-027	Brook Joseph Greenberg	Royal Bank of Canada	8/02/17		12/22/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-045	Keith Evan Spencer	BCAA Insurance Corporation	10/27/17		11/19/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-047	Michelle Barbra Pockey	John Thames	11/20/17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-049	Brent Christopher Clark	First West Credit Union	11/27/17		12/14/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-050	Simon R. Coval	YouYi Canada Holdings Ltd.	11/25/17		3/29/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-081	Shahrooz Nabavi	Acuva Technologies Inc.	2/05/18		7/31/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-082	Brent Joseph Lewis	HSBC Bank of Canada	2/26/18		11/22/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-083	Andrew Ian Nathanson	Nevsun Resources Ltd.	2/26/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-084	Charles Garner Harrison	Wavefront Commercialization Centre Society	3/29/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-108	Michael M. Stephens	Kevin Kirsten	5/29/18		12/28/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-118	Andrew Nathanson	0945286 B.C. Ltd.	6/22/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-120	Jennifer Francis	Lydia Cheong	6/27/18	6/26/18		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-126	Edgar Frechette	Graham Liberty Holdings Ltd.	7/03/18			\$0	\$0	\$250,000	\$250,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-128	Kar Cheong Miu	Weichang Yang	4/02/18		8/28/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-130	David Wotherspoon	Logical Solutions Ltd.	4/12/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-134	Stephen Ming Yee Wang Hsia	Telus Communications Company	6/29/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-010	Karam Bayrakal	ITG Software Inc.	8/02/18	7/30/18		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-017	Georald Ingborg	Oceana Gold Corporation	8/31/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-023	Christopher Sharpe	406326 BC Ltd.	9/20/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-027	Mark D. Andrews	BC Hydro and Power Authority	7/26/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-035	Helen H. Low	Strauss Zelnick	10/11/18	5/15/18		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-043	Gavin Cameron	David H. Drover	11/07/18			\$0	\$0	\$25,000	\$25,000	\$0	\$0	\$0	\$0	\$0
CLLAS2019-047	Shahrooz Nabavi	1821 Marine Drive- Atti Development Ltd.	11/22/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-067	Adrian Wan	PR Seniors Housing	1/25/19			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-077	Allison MacInnis	Ricechild Management	2/13/19			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As at December 31, 2018
LSBC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2019-078	Martin Ferreira Pinho	Nystar Myra Falls Ltd.	2/22/19			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total:						\$1,032,080	\$3,169,636	\$1,686,266	\$5,887,983	\$271,263	\$341,088	\$1,000,000	\$1,000,000	\$1,612,350

None of the three files have been reported to CLLAS at this time - NJI - will be opened prior to June 30, 2019

MEMORANDUM

DATE: March 4, 2019
TO: Sandra Mouland
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

I am not aware of any such claim(s) ☐

I am aware of facts which might result in a claim(s) and which has/have not previously been reported as described in the attached memorandum

☒

I am aware of a previously reported claim(s) or potential claim (see attached status report for updating, if applicable) the status of which is indicated in the attached memorandum

☐

Name Claire Himsel

Signature 

Claire Himsl

From: Claire Himsl
Sent: January-31-19 3:18 PM
To: 'alia@lawsociety.ab.ca'
Subject: Potential Claim
Attachments: DOC.20190131T121502.pdf; 92656175_v(1)_FILED Statement of Claim - September 12, 2018.pdf; 20181217093124044.pdf; Warren letter.pdf; 92961434_v(1)_SIGNED LT J. Warren - RE Demand Letter - January 31, 2019....pdf

Hello,

I would like to report a potential claim that was discovered today. I have discussed the matter with my client (in-house counsel) and he has indicated that he does not intend to file a claim. Out of an abundance of caution, I would like to submit this notice of a potential claim. I have also drafted a letter of response to the opposing party at the direction of the client.

Please let me know if you require anything further.

▼ Claire Himsl
ASSOCIATE

FASKEN

Fasken Martineau DuMoulin LLP
T. +1 587 233 4114 | F. +1 403 261 5351
chimsl@fasken.com | www.fasken.com/en/Claire-Himsl
350 7th Avenue SW, Suite 3400, Calgary, Alberta T2P 3N9



New Claim Report

TO: The Alberta Lawyers Insurance Association (ALIA)

c/o The Law Society of Alberta

500, 919 - 11th Avenue S.W. Calgary, Alberta T2R 1P3.

Telephone: (403) 229-4716, 1-800-661-1694 E-mail: ALIA@lawsociety.ab.ca

It is a requirement of the ALIA / ALIEX policy that any claim or potential claim must be reported to ALIA during the policy period in which you become aware of it. Failure to report a claim or potential claim prior to the June 30 deadline of that policy period will result in denied coverage. To ensure a timely investigation of this claim or potential claim, please return this form along with relevant documentation.

Personal Information collected from you will be used by ALIA for one or more purposes contemplated by the *Legal Profession Act*, the Rules of the Law Society and / or the Code of Conduct, and are a result of a resolution of the Benchers or ALIEX Advisory Board, and will be accessible to all departments of the Law Society. The information may be used or disclosed by ALIA, now or in the future, for regulatory purposes, including Law Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716.

CONFIDENTIAL

ALIA File: _____ (If known)

LAWYER INFORMATION

Lawyer: Claire Himsl	Roll No.: 19397	Year Called to Bar: 2016	File No.:
Law Firm Name / Employer Name: Fasken Martineau DuMoulin LLP			
Current Occupation: Lawyer			
What firm were you with at the time the alleged error occurred? Fasken Martineau DuMoulin LLP			
Does your Firm carry Excess of the \$1,000,000 Mandatory Coverage:		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Insurer		Policy #	

BUSINESS INFORMATION

Business Address: 350-7th Ave SW Suite 3400 Calgary, AB T2P 3N9	
Business Phone: 587-233-4114	Business Fax: 403-261-5351
Business E-Mail: chims1@fasken.com	

CLAIMANT / CLIENT INFORMATION

Name of Claimant:	Horizon North Camp & Catering Partnership
Address:	Suite 900, 240 4th Avenue SW Calgary, AB T2P 4H4
Telephone:	1-866-305-6565
Claimant's Lawyer:	Lyle Guard
Firm:	Internal Counsel
Telephone:	403-517-0678
Is / was there a solicitor / client relationship between you / the firm and the claimant? Yes <input type="checkbox"/> No <input type="checkbox"/>	
If "No", explain:	
Aside from a solicitor-client relationship, do you have an ownership, financial, or business interest in the client or claimant? Yes <input type="checkbox"/> No <input type="checkbox"/>	
If "Yes", please elaborate	
Name of Client, if different from Claimant:	
Length of time you have acted for the Claimant / Client: 1 year	
Are you continuing to represent the claimant / client? Yes <input type="checkbox"/> No <input type="checkbox"/>	
If "No", where is the file?	
Have your fees been paid? Yes <input type="checkbox"/> No <input type="checkbox"/>	

CLAIM INFORMATION

When did the alleged error occur? Day: <u>17</u> Month: <u>December</u> Year: <u>2018</u>
Is this a Real Estate 'Protocol' claim? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(A surcharge may not result if this claim involved a Real Estate protocol closing in which the protocol was followed)</i>
When were you made aware of the potential claim against you? <u>January 21, 2019</u>
How were you made aware of the potential claim? <u>Email from client containing a demand letter</u>
Is claimant / client aware of the potential problem? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
When were you first put on notice (written and / or oral) of a claim? <i>If you received written notice or statement of claim, please attach a copy.</i> <u>A claim has not been made at this time.</u>
Is there any proceeding (such as a foreclosure, repossession, application or defence) requiring urgent attention? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", by when?
What do you think the claim may be worth? <u>\$ 73,000</u>
In your opinion, the likelihood of liability is: Unlikely <input checked="" type="checkbox"/> Possible Probable Definite
Please identify other parties who may be involved in the dispute, e.g. real estate agent, bank, appraisers, tortfeasors, insurers: <u>Former supplier: Pac West Properties Inc.</u>
List all staff members directly involved in the matter out of which the alleged error arose, indicating position (partner, associate, articling student, legal assistant, secretary):
Does this claim arise out of the claimant / client doing business with an entity in which you have an ownership, financial or business interest? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate:

OTHER

What percentage of your practice was devoted to this area of law at that time? <u>100</u> %
How long had you been practicing in this area of law at the time? <u>2.5</u> Years

BRIEF PRECIS OF CLAIM

Please relate all relevant facts pertaining to this claim in chronological order. (Attach a separate sheet if necessary.)

A statement of claim was filed for failure to pay unpaid invoices. The defendant had a right of set off against an affiliated entity (who was named, but not party to the Action) which we expected them to plead in their defence - it would have been the only defence that they had.

The defendant did not defend, but instead, entered into a settlement agreement with my client. The settlement was a steeply discounted amount (30%). I was not privy to any of the settlement negotiations. I was just instructed by my client to paper the deal they reached. The settlement agreement did not expressly mention the right of set off had been released, however the defendant did release "any known action, cause of action, debt, contract, claim, demand, damage, and cost of any nature or kind whatsoever, which the Defendant now has or at any time hereafter can, shall or may have by reason of, arising out of, resulting from, or in any way connected with the Action."

The settlement funds were eventually paid. The defendant has now written a letter to our clients seeking payment of the funds that would have been set off in the action.

How could this claim / potential claim have been prevented?

By expressly referencing that the set off claim had been extinguished in the settlement agreement.

DOCUMENTS / SIGNATURE

The following documents are enclosed:

Settlement Agreement

Correspondence ☐ Statement of Claim ☐ Other ☐

THIS REPORT IS MADE IN CONTEMPLATION OF LITIGATION.

Signature of Lawyer:  Date: January 31, 2019

Name of Managing Partner (where applicable): Robert Maxwell/Clarke Barnes

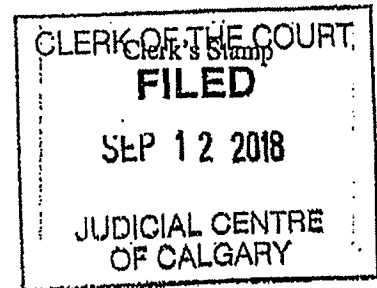
NOTE: Ensure that any claim or potential claim is reported to ALIA as soon as you become aware of it. Failure to report a claim or potential claim prior to the June 30 deadline of the policy period in which you become aware of it will result in denied coverage.

Be sure to keep a complete copy of your entire file.

The Benchers of the Law Society of Alberta have resolved, and the ALIEX Advisory Board has agreed, that with respect to professional liability insurance claims, claim files may be made available to any department of the Law Society of Alberta.

Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716.

COURT FILE NUMBER 1801-12925
COURT COURT OF QUEEN'S BENCH OF ALBERTA
JUDICIAL CENTRE CALGARY
PLAINTIFF EMPIRE CAMP EQUIPMENT LTD.
DEFENDANT PAC WEST PROPERTIES INC.
DOCUMENT STATEMENT OF CLAIM



ADDRESS FOR SERVICE AND CONTACT INFORMATION OF PARTY FILING THIS DOCUMENT Fasken Martineau DuMoulin LLP
Barristers and Solicitors
3400, 350 – 7th Avenue S.W.
Calgary, Alberta, T2P 3N9

Attention: Claire Himsl
Telephone: (587) 233-4114
Facsimile: (403) 261-5351
E-mail: chimsl@fasken.com
File Number: 301538.00034

NOTICE TO DEFENDANT

You are being sued. You are a defendant.

Go to the end of this document to see what you can do and when you must do it.

Statement of facts relied on:

The Parties

1. The Plaintiff, Empire Camp Equipment Ltd. ("**Empire**"), is a wholly owned subsidiary of Horizon North Logistics Inc. ("**Horizon North**"). Both Empire and Horizon North are incorporated pursuant to the laws of Alberta, with their registered offices in Calgary, Alberta.
2. Horizon North and Empire provide workforce accommodations and camp support equipment to the energy, mining and construction sectors.

3. The Defendant, Pac West Properties Inc. ("Pac West" or the "Defendant"), is a corporation incorporated pursuant to the laws of Saskatchewan and is extra-provincially registered to do business in Alberta. Pac West provides workforce accommodations for major resource and infrastructure projects.

Background

4. Effective November 26, 2014, the Defendant and Empire entered into a 9 month lease agreement (the "Lease") whereby the Empire agreed to provide the Defendant certain equipment and services for a 304 person base camp on the Kakwa site (the "Camp") in exchange for an agreed upon rental rate of \$10,250.00 per day, plus GST. The Camp is located approximately 80 km South of Grand Prairie.
5. The Defendant took possession of the Camp on December 1, 2016.
6. On December 23, 2016, Horizon North, on behalf of Empire, agreed to extend the Agreement with Pac West for an additional fifteen months, from October 1, 2016 to December 31, 2017 (the "Revised Lease"). The parties agreed that rental pricing would be reduced by 5% for the renewal term.
7. The Defendant vacated the Camp prior to the expiry of the term under the Revised Lease.

Supply of Services and Failure to Pay

8. Empire has at all times provided the agreed upon services to Pac West in accordance with the Agreement and Revised Agreement, as the case may be.
9. The following invoices (the "Outstanding Invoices") have been issued pursuant to the Revised Agreement:

Date	Invoice Number	Amount
November 6, 2017	2332000085	\$302,494.50
December 4, 2017	2332000091	\$272,245.05

10. Despite demand, the Defendant has refused or neglected to pay the Outstanding Invoices and is in therefore in breach of the Revised Agreement.

11. As a result, the Defendant is indebted to Empire in the amount of \$574,739.55 plus interest that continues to accrue.

Relief Sought

12. Empire seeks the following relief against the Defendant:
 - (a) judgment against the Outstanding Invoices in the amount of \$574,739.55;
 - (b) judgement interest pursuant to the *Judgment Interest Act*, R.S.A. 2000 c. J-1;
 - (d) costs of this action;
 - (d) any and all Goods and Services Tax payable on all judgement amounts, including costs, pursuant to the *Excise Tax Act*, R.S.C. 1985, c.E-15, as amended; and
 - (e) such further and other relief as counsel may request and this Honourable Court may allow.

NOTICE TO THE DEFENDANT

You only have a short time to do something to defend yourself against this claim:

20 days if you are served in Alberta

1 month if you are served outside Alberta but in Canada

2 months if you are served outside Canada.

You can respond by filing a statement of defence or a demand for notice in the office of the clerk of the Court of Queen's Bench at ~~Edmonton~~ ^{Calgary}, Alberta, AND serving your statement of defence or a demand for notice on the plaintiff's address for service.

WARNING

If you do not file and serve a statement of defence or a demand for notice within your time period, you risk losing the law suit automatically. If you do not file, or do not serve, or are late in doing either of these things, a court may give a judgment to the plaintiff against you.

SETTLEMENT AGREEMENT AND MUTUAL RELEASE

This agreement (the "Settlement Agreement") is made effective as of November 23, 2018.

BETWEEN:

Empire Camp Equipment Ltd. ("Empire"),
a corporation incorporated pursuant to the laws of Alberta,
Empire is a wholly owned subsidiary of Horizon North;

-and-

Pac West Properties Inc. ("Pac West"),
a corporation incorporated pursuant to the laws of Saskatchewan
and extra provincially registered to do business in Alberta

(collectively referred to as the "Parties", and any one of them a "Party")

WHEREAS Empire and Pac West are parties to Court of Queen's Bench of Alberta, Judicial District of Calgary, Action No. 1801-12925 (the "Action");

WHEREAS The Action involves a dispute regarding two unpaid invoices dated November 6, 2017 and December 4, 2017 issued by Empire to Pac West, amounting in the aggregate to \$574,739.55 (the "Outstanding Invoices");

WHEREAS Empire and Pac West desire to fully and finally settle the Action, including amounts for interest and costs;

NOW THEREFORE IN CONSIDERATION of the terms contained herein and other valuable consideration, which is hereby acknowledged, the Parties agree to settle the Action on the following terms:

1. Pac West shall pay to Empire \$172,421.87 in full and final satisfaction of Pac West's obligations under the Outstanding Invoices (the "Settlement Payment"). The Settlement Payment shall be paid into the Trust Account of Pasken Martinagu Duford Ltd. ("Pasken") by November 29, 2018 by electronic fund transfer, certified cheque or certified solicitor's trust cheque. *December 15 - [Signature]*
2. Upon confirmation from Pasken in writing by electronic mail to Pac West at info@paskenwestadm.com or to its solicitors at jwharton@whitlaw.ca that the Settlement Payment has cleared Pasken's Trust Account, Empire shall forthwith file a Discontinuance of Claim in respect of the Action on a without costs basis substantially in the form attached at Schedule "A" to this Settlement Agreement, and provide Pac West or its solicitors with a filed copy of the Discontinuance of Claim via electronic mail to above designated email addresses within three (3) business days of the date of filing. *Dec 10 - [Signature]*
3. In the event that the Settlement Payment is not paid to Pasken as directed or if the funds do not clear, Empire will notify Pac West or its solicitors via electronic mail at the designated email addresses in paragraph 2 herein, and Pac West shall have ~~30~~ *ten (10)* business days to cure the default thereunder from the date of such notice (the "Cure Period"). In the event that the Settlement Payment is not paid to Pasken during the Cure Period, Empire shall forthwith thereupon become entitled to file the Consent Judgment substantially in the form attached at Schedule "B" to this Settlement Agreement.
4. Empire, its respective employees, related companies and entities, affiliates, officers, directors, principals, heirs, executors, administrators, assigns, insurers, successors, predecessors and agents, past, present and future, as applicable, hereby **RELEASES, REMITS AND FOREVER DISCHARGES** Pac West, its respective employees, related companies and entities, affiliates, officers, directors,

principals, heirs, executors, administrators, assigns, insurers, successors, predecessors and agents, past, present and future, as applicable, from any known action, cause of action, debt, contract, claim, common property or unit based warranty claim, demand, damage, and cost (including legal fees and disbursements) of any nature or kind whatsoever, which Empire now has or at any time hereafter can, shall or may have by reason of, arising out of, resulting from, or in any way connected with the Action;

5. Pac West, its respective heirs, executors, administrators, assigns, insurers, successors, predecessors and agents, past, present and future, as applicable, hereby **RELEASES, REMISES AND FOREVER DISCHARGES** Empire, its respective employees, related companies and entities, affiliates, officers, directors, principals, heirs, executors, administrators, assigns, insurers, successors, predecessors and agents, past, present and future, as applicable, from any known action, cause of action, debt, contract, claim, demand, damage, and cost (including legal fees and disbursements) of any nature or kind whatsoever, which Pac West now has or at any time hereafter can, shall or may have by reason of, arising out of, resulting from, or in any way connected with the Action.
6. This Settlement Agreement shall constitute the entire agreement between the Parties regarding the Action and the Outstanding Invoices and supersedes all prior agreements, understandings, negotiations and discussions, whether oral or written.
7. This Settlement Agreement is entered into solely for the benefit of the Parties and shall not confer any rights upon any person not a party to this Settlement Agreement. This Settlement Agreement shall inure to the benefit of and be binding upon the Parties hereto and their successors, and permitted assigns.
8. No amendment to this Settlement Agreement will be valid or binding unless set forth in writing and duly executed by all of the Parties. No waiver of any breach of any provision of the Settlement Agreement will be effective or binding unless made in writing and signed by the Party purporting to give the same and, unless otherwise provided in the written waiver, will be limited to the specific breach waived.
9. This Settlement Agreement shall not be construed *contra proferentum* of any of the Parties hereto, but shall be construed as if concurrently drafted by the Parties.
10. Each Party hereby represents and warrants that it has the unrestricted legal right to enter into, and the requisite necessary authority to execute and perform, this Settlement Agreement.
11. This Settlement Agreement may be executed in counterpart, each of which, when so executed, shall be deemed to be an original, and such counterparts together shall constitute one and the same instrument. This Settlement Agreement may be executed by facsimile or PDF executed signature, and execution in such manner shall be as effective as an original signature.

IN WITNESS WHEREOF this Settlement Agreement has been executed by the Parties as of November 23, 2018.

Empire Camp Equipment Ltd.

Per:

Scott Watson, CEO

Pac West Properties Inc.

Per:

P. M. Gandy



WARREN
BENSON
AMANTEA LLP
LAWYERS

1413 - 2nd Street S.W.
Calgary, Alberta
Canada, T2R 0W7

T 403-228-7007

F 403-244-1948

Website: www.wbalaw.ca

Lawyer: Jonathan D. Warren
Direct Line: (403) 228-8399
Email: jwarren@wbalew.ca

Assistant: Allison Rink
Direct Line: (403) 228-8369
Email: arink@wbalew.ca

File No. 19-0052/JDW

January 30, 2019

Via Email - clarose@horizonnorth.ca

WITHOUT PREJUDICE

Chris Lerosé
Horizon North Camp & Catering
5637 - 67th Avenue NW
Edmonton, AB T6B 2R8

Dear Sir:

Re: Invoice No. 15318 - \$73,500.00 ("Outstanding Amount")
Purchase of Inventory at Archie Way Lodge - January 9, 2018

We are the solicitors for Pac West Properties Inc. We have been advised by our client that they entered into an agreement with you whereby our client agreed to provide certain inventory located at the Archie Way Lodge (the "Goods") and thereafter rendered their Invoice No. 15318 to you on or around January 9, 2018 for the Outstanding Amount. We enclose a copy of this invoice for your reference. Our client has advised us that as at the present date the Outstanding Amount remains due and payable to them.

Despite the repeated demands of our client you have neglected, failed or refused to pay our client the Outstanding Amount for the Goods. Therefore, on behalf of our client we hereby demand payment of the Outstanding Amount to our firm in certified funds, either by certified cheque or bank draft on or before 4:00 pm on February 11, 2019, failing which or instructions are to proceed with the filing of a Statement of Claim against Horizon North Camp & Catering for the Outstanding Amount plus accrued interest pursuant to the agreement or legislation, we well as our legal fees and disbursements on a solicitor and his own client basis.

Be advised that once the Statement of Claim is filed it will have a negative impact on the partnership's credit rating which will have adverse effects on future business endeavours by the partnership.

Kindly govern yourself accordingly and in accordance with the aforementioned time line. If you have any questions or concerns, please do not hesitate to contact our offices.

Yours truly,

WARREN BENSON AMANTEA LLP



JONATHAN D. WARREN

JDW/ar

cc: client



Vancouver, BC V7X 1A2
 PO Box 48401 Bentall
 Suite 300, 1066 West Hastings
 Street

Invoice

Invoice To
Horizon North Camps & Catering 5637 67Ave NW Edmonton, Alberta T6B 2R8

Date	Invoice #
2018-01-09	15318

Terms
Due on receipt

Item	Description	Qty	U/M	Rate	Amount
Other Income	Sale of inventories at Archie Way Lodge (please see attached detailed item listings)			70,000.00	70,000.00

Sales Tax Summary

GST@5.0%	CAD 3,500.00
Total Tax	CAD 3,500.00

Total	CAD 73,500.00
--------------	---------------

GST/HST No. 848079315

FASKEN

Fasken Martineau DuMoulin LLP
Barristers and Solicitors
Patent and Trade-mark Agents

350 7th Avenue SW, Suite 3400
Calgary, Alberta T2P 3N9
Canada

T +1 403 261 5350
+1 877 336 5350
F +1 403 261 5351
fasken.com

January 31, 2019
File No.: 301538.00034/19651

Claire Himsl
Direct +1 587 233 4114
chimsl@fasken.com

Via Email

Warren Benson Amantea LLP
1413 - 2nd Street S.W.
Calgary, Alberta T2R 0W7

Attention: Jonathan Warren

Dear Mr. Warren:

Re: Demand Letter dated January 30, 2019

We represent Horizon North Camp & Catering Partnership ("Horizon North") as well as Empire Camp Equipment Ltd. ("Empire Camp"), a wholly owned subsidiary of Horizon North, in respect of matters pertaining to your client, Pac West Properties Inc. ("Pac West"). Kindly direct all future correspondence to my attention.

As you are aware, on September 12, 2018, Empire Camp Equipment Ltd. filed a Statement of Claim against Pac West seeking \$574,739.55 in regards to certain outstanding invoices. At that time, Pac West had a set off claim against Horizon North for \$73,500 related to the sale of "inventories", an amount that you have now demanded payment for (the "Demanded Amount"). A pleading of set off for the Demanded Amount was Pac West's only defence to the claim, and it was a partial defence at that. Pac West did not defend and instead chose to negotiate a settlement with Empire. During negotiations, your client requested that the Demanded Amount be somehow accounted for in the settlement, for example, by setting off the claim on top of whatever discount the parties agreed to. This was explicitly rejected by Empire. Ultimately, the parties agreed to an arrangement whereby Pac West would pay Empire \$172,721.87 – approximately 30% of Empire's full claim. A further discount for the set-off claim was not acknowledged, but the set-off claim was nevertheless extinguished by the negotiations.

The form of settlement agreement that the parties ultimately entered into contemplated a release of Pac West's set off claim: Pac West agreed to release all of Empire's "related companies and entities" and "affiliates" for "any known action, cause of action, debt, contract, claim, demand, damage, and cost (including legal fees and disbursements) of any nature or kind whatsoever, which Pac West now has or at any time hereafter can, shall or may have by reason of, arising out of, resulting from, or in any way connected with the Action." A right of set-off against Horizon North obviously falls within this wording given that it was Pac West's only available defence.

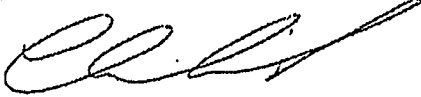
FASKEN

Pac West did not pay the settlement amount on time and you pleaded for numerous extensions on your client's behalf. As a result of Pac West's failure to pay the settlement amount on time, Empire was entitled to enter a Consent Judgment for the full amount and could have done so at any time following a curative period. Instead, Empire granted the numerous extensions you requested in good faith in order to see the settlement agreement through. Pac West, for its part, did not withhold any of the settlement funds on the basis that it had a purported claim for set off and eventually paid Empire the full amount owing under the settlement agreement, knowing full well that if it tried to "set off" any amount, Empire would have been filed the Consent Judgment.

While your client may now be in financial dire straits, that does not in any way entitle them to renege on the settlement agreement. Payment of the invoice will not be forthcoming. I have been instructed to accept service of any claim your client wishes to make against Horizon North.

Govern yourself accordingly.

FASKEN MARTINEAU DuMOULIN LLP



Claire Himsl

CH/sm

MEMORANDUM

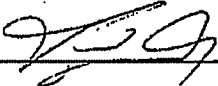
DATE: March 4, 2019
TO: Sandra Mouland
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

I am not aware of any such claim(s) ☐

I am aware of facts which might result
in a claim(s) and which has/have not previously
been reported as described in the attached
memorandum ☐

I am aware of a previously reported claim(s) or potential
claim (see attached status report for updating, if applicable)
the status of which is indicated in the attached memorandum ☒

Name Perm Fenderson

Signature 

Sandra Mouland

From: Ashleigh Willis
Sent: March-18-19 5:09 PM
To: Sandra Mouland
Subject: FW: ALIA Form
Attachments: 9303981 Claim Form - ALIA (Feldberg.pdf; 2091 02 28 Notice of Civil Claim - Ruloff v Hula - FILED.PDF

Hi Sandra,

These are the documents that I was referring to. The sections about Peter are mostly clauses 110 to 116 of the Statement of Claim. Let me know if you need anything else.

Thanks!
Ashleigh

Ashleigh Willis
LEGAL ASSISTANT
T. +1 403 261 7380 | F. +1 403 261 5351

From: Peter Feldberg
Sent: March-11-19 2:12 PM
To: Darren LaRose (Darren.LaRose@lawsociety.ab.ca)
Cc: Robert Maxwell; Simon R. Coval; Karen Beairsto
Subject: FW: ALIA Form

Dear Mr. Larose,

I have attached the ALIA Claim Form and the Notice of Civil Claim in this matter. I have limited information about the claim. I understand that additional information would be available from BC LIF and their counsel.



New Claim Report

TO: The Alberta Lawyers Insurance Association (ALIA)

c/o The Law Society of Alberta

500, 919 - 11th Avenue S.W. Calgary, Alberta T2R 1P3.

Telephone: (403) 229-4716, 1-800-661-1694 E-mail: ALIA@lawsociety.ab.ca

It is a requirement of the ALIA / ALIEX policy that any claim or potential claim must be reported to ALIA during the policy period in which you become aware of it. **Failure to report a claim or potential claim prior to the June 30 deadline of that policy period will result in denied coverage.** To ensure a timely investigation of this claim or potential claim, please return this form along with relevant documentation.

Personal information collected from you will be used by ALIA for one or more purposes contemplated by the *Legal Profession Act*, the Rules of the Law Society and / or the Code of Conduct, and are a result of a resolution of the Benchers or ALIEX Advisory Board, and will be accessible to all departments of the Law Society. The information may be used or disclosed by ALIA, now or in the future, for regulatory purposes, including Law Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716.

CONFIDENTIAL

ALIA File: _____ (if known)

LAWYER INFORMATION

Lawyer: Peter Feldberg	Roll No.: 10177	Year Called to Bar: 1984 (BC) 1996 (AB)	File No.:
Law Firm Name / Employer Name: Fasken Martineau DuMoulin LLP			
Current Occupation: Lawyer			
What firm were you with at the time the alleged error occurred? Fasken Martineau DuMoulin LLP			
Does your Firm carry Excess of the \$1,000,000 Mandatory Coverage:		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Insurer CLLAS		Policy # 29004	

BUSINESS INFORMATION

Business Address: 3400, 350 - 7 Avenue SW Calgary, AB T2P 3N9	
Business Phone: (403) 261-5364	Business Fax: (403) 261-5351
Business E-Mail: pfeldberg@fasken.com	

CLAIMANT / CLIENT INFORMATION

Name of Claimant:	Ruloff Capital Corporation, Walter Ruloff, Brymak Holdings Ltd. and Daniel B. Klumke
Address:	
Telephone:	
Claimant's Lawyer:	Neil Nichols
Firm:	Chancery Chambers Law Corp.
Telephone:	
Is / was there a solicitor / client relationship between you / the firm and the claimant? Yes <input type="checkbox"/> No <input type="checkbox"/>	
If "No", explain:	
Aside from a solicitor-client relationship, do you have an ownership, financial, or business interest in the client or claimant? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
If "Yes", please elaborate	
Name of Client, if different from Claimant: N/A	
Length of time you have acted for the Claimant / Client:	
Are you continuing to represent the claimant / client? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
If "No", where is the file?	
Have your fees been paid? Yes <input type="checkbox"/> No <input type="checkbox"/>	

CLAIM INFORMATION

When did the alleged error occur? Day: _____ Month: _____ Year: _____
Is this a Real Estate 'Protocol' claim? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(A surcharge may not result if this claim involved a Real Estate protocol closing in which the protocol was followed)</i>
When were you made aware of the potential claim against you? February 28, 2019
How were you made aware of the potential claim? By email from a partner in Vancouver attaching the Notice of Civil Claim
Is claimant / client aware of the potential problem? Yes <input type="checkbox"/> No <input type="checkbox"/>
When were you first put on notice (written and / or oral) of a claim? <i>If you received written notice or statement of claim, please attach a copy.</i> Attached. February 28, 2019
Is there any proceeding (such as a foreclosure, repossession, application or defence) requiring urgent attention? Yes <input type="checkbox"/> No <input type="checkbox"/> If "Yes", by when?
What do you think the claim may be worth? \$ Unknown
In your opinion, the likelihood of liability is: Unlikely <input checked="" type="checkbox"/> Possible Probable Definite
Please identify other parties who may be involved in the dispute, e.g. real estate agent, bank, appraisers, tortfeasors, insurers: Unknown
List all staff members directly involved in the matter out of which the alleged error arose, indicating position (partner, associate, articling student, legal assistant, secretary):
Does this claim arise out of the claimant / client doing business with an entity in which you have an ownership, financial or business interest? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If "Yes", please elaborate:

OTHER

What percentage of your practice was devoted to this area of law at that time? 0 %
How long had you been practicing in this area of law at the time? 0 Years

BRIEF PRECIS OF CLAIM

Please relate all relevant facts pertaining to this claim in chronological order. (Attach a separate sheet if necessary.)

How could this claim / potential claim have been prevented?

DOCUMENTS / SIGNATURE

The following documents are enclosed:

Correspondence ☐ Statement of Claim ☒ Other ☐ _____

THIS REPORT IS MADE IN CONTEMPLATION OF LITIGATION.

Signature of Lawyer:  Date: March 11, 2019

Name of Managing Partner (where applicable): PETER FELDBERG

NOTE: Ensure that any claim or potential claim is reported to ALIA as soon as you become aware of it. Failure to report a claim or potential claim prior to the June 30 deadline of the policy period in which you become aware of it will result in denied coverage.

Be sure to keep a complete copy of your entire file.

The Benchers of the Law Society of Alberta have resolved, and the ALIEX Advisory Board has agreed, that with respect to professional liability insurance claims, claim files may be made available to any department of the Law Society of Alberta.

Should you have any questions about the collection, use or disclosure of this information, please contact ALIA @ (403) 229-4716.



211878

New Westminster

IN THE SUPREME COURT OF BRITISH COLUMBIA

**RULOFF CAPITAL CORPORATION, WALTER RULOFF,
BRYMAK HOLDINGS LTD. and DANIEL B. KLEMKE**

Plaintiffs

- and -

**ERROL HULA, KAREN HULA, T. S. (TONY) FROST, HULAVISION INC., KEITH E.
SPENCER, KEITH E. SPENCER LAW CORPORATION, GEOFFREY COWPER, D.
GEOFFREY COWPER LAW CORPORATION, FASKEN MARTINEAU DUMOULIN
LLP, DAVID N. CORBETT, PETER FELDBERG and WILLIAM WESTERINGH**
Defendants

NOTICE OF CIVIL CLAIM

This action has been started by the Plaintiffs for the relief set out in Part 2 below.

If you intend to respond to this action, you or your lawyer must

- (a) file a Response to civil claim in Form 2 in the above-named registry of this Court within the time for Response to civil claim described below, and**
- (b) serve a copy of the filed Response to civil claim on the Plaintiffs.**

If you intend to make a counterclaim, you or your lawyer must

- (a) file a Response to civil claim in Form 2 and a counterclaim in Form 3 in the above-named registry of this Court within the time for Response to civil claim described below, and**
- (b) serve a copy of the filed Response to civil claim and counterclaim on the Plaintiff and on any new parties named in the counterclaim.**

JUDGMENT MAY BE PRONOUNCED AGAINST YOU IF YOU FAIL to file the Response to civil claim within the time for Response to civil claim described below.

Time for Response to civil claim

A Response to civil claim must be filed and served on the Plaintiff,

- (a) if you were served with the notice of civil claim anywhere within Canada, within 21 days after that service,
- (b) if you were served with the notice of civil claim anywhere in the United States of America, within 35 days after that service,
- (c) if you were served with the notice of civil claim anywhere else, within 49 days after that service, or,
- (d) if the time for Response to civil claim has been set by order of the Court, within that time.

Claim of the Plaintiffs

Summary of the Plaintiffs' Claims

1. Fasken is a law firm which provided joint representation to Ruloff Group and Hula Group. This meant that Fasken was in a relationship of trust and confidence as the lawyers for both groups at the same time, and owed each a fiduciary duty of loyalty, which is the highest duty known in law and equity.
2. This fiduciary duty of loyalty encompasses the duty to be committed diligently to the clients' cause, the duty to maintain confidentiality, the duty of candour to disclose all information in a full and timely manner and the duty to avoid possible or actual conflicts of interest.
3. Fasken also represented Walter Ruloff, his spouse and his business interests. Hulavision wanted to use a Fasken lawyer, Spencer, to negotiate and finalize the joint venture agreement between Ruloff Group and Hula Group. To resolve this conflict of interest by Fasken, Ruloff provided a limited waiver permitting Fasken (Spencer) to negotiate and finalize the joint venture agreement between Ruloff Group and Hula Group and no more. At no time did the limited waiver permit Fasken (Spencer or Cowper) to undermine, sabotage, defeat or find or assist in ways to terminate the agreement against the interests of Ruloff.

4. The Ruloff Group entered into a 50%-50% joint venture, called the Litigation Funding Agreement ("LFA"), with Hula Group, for the purpose of suing NBC for breach of a Non-Disclosure Agreement and misappropriation of Hulavision trade secrets.
5. Within five months after the LFA was formed, Fasken (Spencer) exceeded the limited permission to negotiate and finalize the LFA and, in ongoing acts of extreme conflict of interest, Fasken instead did an about face to take secret steps to destroy the very LFA which Spencer had helped create.
6. The Plaintiffs claim against the Defendants Errol Hula, Karen Hula, T. S. (Tony) Frost and Hulavision, jointly and severally, in fraud for the wrongful termination of the LFA, and for the ensuing lawsuit in California to terminate the LFA, for all loss and damage for reimbursement of legal expenses and interest, which total \$12,222,900, plus other damages.
7. Fasken (Spencer) crossed the line from zealous lawyer to conspirator with Hula to concoct and fabricate fictitious ways to terminate the LFA. He did this all the while maintaining the false representation of the facade of compliance and fulfillment of the LFA, including the ongoing knowing and intentional cover up of his efforts to sabotage the LFA by conspiring with Hula to string along Ruloff Group to keep paying substantial sums of money under the LFA while at the same time plotting to blindside Ruloff Group with a lawsuit in California to terminate the LFA.
8. The Plaintiffs claim against Fasken (Spencer) for
 - (a) acting in conflict of interest against Ruloff Group to destroy the LFA, and
 - (b) conspiring to commit fraud with Hula and Hulavision against Ruloff Group to terminate the LFA.
9. Fasken (Spencer) is liable for all loss and damage for wasted legal fees in pursuit of the LFA and for the legal fees and costs to defend successfully against the termination of the LFA, with interest, for a total of \$12,222,900, plus other damages.

10. Also, within five months after the LFA was formed, Fasken (Cowper) breached his fiduciary duty of candour to Ruloff Group of full and timely disclosure to Ruloff Group of Hula Group secrets, which, if known, would have enabled Ruloff Group to stop funding under the LFA and not be fooled by the ongoing fraud of Hula Group, by the false representation by Fasken (Spencer) and by his ongoing cover up of the wrongful steps taken to terminate the LFA.

11. Fasken (Cowper) is also liable for all loss and damage of \$12,222,900, plus other damages.

12. The Plaintiffs claim against the Defendant Fasken for breach of fiduciary duty by failure to police and prevent breach of the duty of loyalty to Ruloff Group, *inter alia*:

- (a) failure by Fasken to prevent non-disclosure by Fasken (Cowper), resulting in conflict of interest by Fasken,
- (b) failure by Fasken to prevent Fasken (Spencer) exceeding his authority, resulting in conflict of interest by Fasken acting against Ruloff Capital to defeat the LFA, and
- (c) failure by Fasken to prevent Fasken (Spencer) from massive conflict of interest by Fasken stringing along the Ruloff Group to keep paying under the terms of the LFA while concocting and fabricating ways to terminate the LFA, and setting up the lawsuit in California to terminate the LFA.

13. Fasken is also liable for all loss and damage of \$12,222,900, plus other damages.

Part 1: STATEMENT OF FACTS

Parties and Jurisdiction of This Honourable Court

14. The Plaintiff Walter Ruloff ("Ruloff") is a resident of Bowen Island, British Columbia. At material times, Fasken represented Walter Ruloff, his spouse and his business interests.

15. The Plaintiff Ruloff Capital Corporation ("Ruloff Capital") is a corporation formed pursuant to the laws of the Province of British Columbia and carries on the business of private investment. At material times, it was controlled by Ruloff. It is the head of the litigation funding group.

16. The Plaintiff Brymak Holdings Ltd. ("Brymak") is a corporation formed pursuant to the laws of the Province of Alberta. It undertakes or finances private business opportunities. Daniel B. Klemke ("Klemke"), a resident of St. Albert, in the Province of Alberta, is the sole director of Brymak.

17. The Ruloff Group comprises Ruloff Capital, Ruloff, Brymak and Klemke (the "Ruloff Group"). Together they teamed up to form one half of the Litigation Funding Agreement, being the half committing to provide business skills and to fund the NBC Litigation. Ruloff Capital was the nominal head of Ruloff Group. Fasken was aware that Brymak put up all the money for it.

18. At material times, the Defendants Errol Hula and Karen Hula (respectively "Hula", "K. Hula" and together the "Hulas"), are husband and wife, and reside at Delta, British Columbia.

19. At material times, the Defendant Hulavision Inc. ("Hulavision") was a corporation formed pursuant to the laws of the Province of British Columbia and carried on business within the province. The Hulas were together the 92.78% majority shareholders of Hulavision. Hula controlled Hulavision.

20. The Hula Group comprises Hula and Hulavision (the "Hula Group"). Together they teamed up to form one half of the Litigation Funding Agreement, being the half committing to provide the claim and evidence against NBC in the NBC Litigation.

21. At material times, Hula was acting for and on behalf of Hulavision, in one or more capacities; namely, as a director, officer, employee or agent of Hulavision, within the course and scope of such capacity, such that Hulavision is also liable for Hula's wrongful acts or omissions.
22. At material times, the Defendant T. S. (Tony) Frost ("Frost"), resided at or near London, Ontario. He is related to the Hulas. At material times, he was a minority shareholder of Hulavision. He was a qualified business consultant, advisory board member of Hulavision, and provided strategic and tactical advice in relation to the within matters to Hula and to Hulavision.
23. At material times, Fasken Martineau Dumoulin LLP ("Fasken"), an extraprovincial limited liability partnership, carried on the practice of law in the Province of British Columbia and elsewhere.
24. At material times, the Defendant Keith E. Spencer ("Spencer") was a lawyer practising law in the Province of British Columbia, and employed either by Fasken or by Keith E. Spencer Law Corporation ("Spencer Law Corp."), a corporation formed pursuant to the laws of the Province of British Columbia and carrying on the practice of law in British Columbia. At material times, Spencer was acting within the course and scope of his employment with either Fasken or Spencer Law Corp.
25. At material times, Spencer Law Corp. was a partner with Fasken. At material times, Spencer and Spencer Law Corp. were acting within the course and scope of their authority with Fasken.
26. At material times, the Defendant Geoffrey Cowper ("Cowper"), a resident of Bowen Island, British Columbia, was a partner with Fasken and was acting within the course of scope of his authority with Fasken. In 2016, D. Geoffrey Cowper Law Corporation ("Cowper Law Corp.") became a partner with Fasken, and was acting within the course of scope of its authority with Fasken.

The 50%-50% Sharing of Net Proceeds From NBC

27. The Hula Group had a claim against US-based broadcaster, NBC, for alleged breach of a confidentiality and Non-Disclosure Agreement and misappropriation of Hulavision's trade secrets relating to a subscription video-on-demand concept, which NBC later spawned as a very valuable service enterprise by the name of *Hulu*.

28. The Hula Group had no money to pursue it.

29. The Ruloff Group provided business skills and financial support to fund getting a legal opinion from Fasken as to whether it was meritorious to pursue the claim against NBC. If so, the Ruloff Group would fund the NBC Litigation.

30. Ruloff Group and Hula Group would share any net fruit from the litigation equally, that is, on a 50%-50% basis.

Fasken Joint Representation of Ruloff Group and Hula Group

31. Fasken expressly represented that it jointly represented Ruloff Group and Hula Group together as their joint lawyers for purposes of the NBC Litigation Team, guided by the lawyer Cowper and involving Spencer and junior lawyers.

32. Ruloff Group relied upon this factual representation by Fasken of joint legal representation in openly joining forces and working together with Hula Group as part of the NBC Litigation Team.

33. The stages of representation were:

(a) firstly, joint representation:

(i) In May 2009, Ruloff Group and Hula Group joined forces to advance a claim against NBC, if it were meritorious.

- (ii) The object was to work together and pay for the costs of litigation in what was hoped to be a very lucrative California lawsuit against NBC in the formation and operation of its billion-dollar business called *Hulu* (the "NBC Litigation").
- (iii) Ruloff and Hula together engaged Fasken. They met with Cowper for the purpose of getting Fasken's legal opinion as to whether or not the claim against NBC was meritorious.
- (iv) Fasken jointly represented Ruloff Group and Hula Group in relation to giving Fasken's legal opinion.
- (v) Fasken concluded that it was meritorious.
- (vi) The Fasken joint representation was for Ruloff Group and Hula Group together as the NBC Litigation Team, which included the advancement of the formal claim itself to be made in the name of Hulavision, since Hulavision was the claimant against NBC.
- (vii) Fasken was aware that Ruloff Group funded the payment of the legal fees for this opinion.
- (viii) Now that it was worthwhile to have Fasken (Cowper) commence action against NBC, Ruloff Group and Hula Group had to finalize the litigation funding agreement between them before Fasken (Cowper) could proceed.
- (ix) Fasken (Cowper) confirmed that the joint representation of Ruloff Group and Hula Group together for the NBC Litigation would continue as soon as they were able to give joint instructions to do so.

(x) Cowper indicated to Ruloff Group and Hula Group that there was urgency since there was a deadline looming to give notice to NBC of the claim.

(b) secondly, pause joint representation; limited waiver for Spencer to act:

(i) For the period from late May 2009 to July 7, 2009, Fasken put its joint representation of the two groups on pause while they separated to negotiate and finalize their litigation funding agreement.

(ii) Ruloff was an existing Fasken client.

(iii) Hulavision wanted to use Fasken (Spencer) to negotiate and finalize the litigation funding agreement. If Ruloff did not consent to this, then Fasken (Spencer) would be in a conflict of interest for doing so.

(iv) Fasken (Cowper) prepared a limited waiver for Ruloff to give his consent in order for Fasken (Spencer) to act for Hulavision to negotiate and finalize the LFA.

(v) Ruloff consented to this limited waiver.

(vi) So long as Fasken (Spencer) limited his representation of Hulavision to the terms of the limited scope of the Ruloff waiver, being to negotiate and finalize the LFA, then Fasken (Spencer) would avoid a conflict of interest.

(vii) Fasken (Spencer) went out of bounds of the limited scope of the Ruloff waiver. As a result, Fasken was in an untenable position of possible or actual conflict of interest.

(viii) Ruloff Group engaged another legal firm to negotiate and finalize the LFA for it.

(ix) The LFA was formed on June 3, 2009, June 10, 2009, and finalized on July 7, 2009.

(c) thirdly, joint representation of Ruloff Group and Hula Group continues as the NBC Litigation Team:

(i) As Fasken and the parties intended and agreed, Fasken continued after the pause to jointly represent the clients Ruloff Group and Hula Group together as the NBC Litigation Team.

(ii) Again, Fasken was aware that Ruloff Group funded payment of the Fasken legal fees for work done in pursuit of the claim against NBC.

(iii) This joint representation is a continuous one.

34. Alternatively, it was implied from the course of conduct of Fasken and the NBC Litigation Team that Fasken jointly represented Ruloff Group and Hula Group as the NBC Litigation Team.

35. At all material times, Ruloff Group reasonably believed that they were a client of Fasken, as part of the joint representation by Fasken of Ruloff Group and Hula Group forming the NBC Litigation Team.

36. Ruloff Group relied upon the Fasken representation that it was the law firm for Ruloff Group as part of the joint representation of the Ruloff Group and Hula Group, to the detriment of Ruloff Group, viz. making confidential disclosures to Fasken and Hula

Group believing that they were privileged and confidential, such that Fasken cannot now resile therefrom.

Fasken's Fiduciary Duty of Loyalty to Ruloff Group

37. Such joint representation meant Fasken owed each of Ruloff Group and Hula Group a fiduciary duty of loyalty, the highest duty known in law and equity.

38. The Fasken fiduciary duty of loyalty comprises the following:

- (a) Fasken owed a duty to Ruloff Group and Hula Group, jointly, to pursue the NBC Litigation with diligence and commitment;
- (b) Fasken owed a duty of confidentiality to Ruloff Group: namely, to keep all information relating to the NBC Litigation confidential from third parties. Equally, Fasken owed a duty of confidentiality to Hula Group: namely, to keep all information relating to the NBC Litigation information confidential from third parties. It was necessarily implied that each of Ruloff Group and Hula Group irrevocably waived solicitor-client privilege and confidentiality as between themselves, so all relevant information relating to the NBC Litigation could be freely disclosed by Fasken to the NBC Litigation Team members, otherwise Fasken would be in an untenable possible or actual conflict of interest;
- (c) Fasken owed a duty of candour to Ruloff Group: namely, to make full and timely disclosure to Ruloff Group of any material fact or matter which may affect its interests. Equally, Fasken owed a duty of candour to Hula Group: namely, to make full and timely disclosure to Hula Group of any material fact or matter which may affect its interests. It was necessarily implied that each of Ruloff Group and Hula Group irrevocably agreed and consented to Fasken to make full and timely disclosure to the NBC Litigation Team members of any material fact or matter which may affect their respective

interests, otherwise Fasken would be in an untenable possible or actual conflict of interest; and

- (d) Fasken owed duty to each of Ruloff Group and Hula Group to avoid any conflict of interest in the joint representation of both Ruloff Group and Hula Group; thus the foregoing Ruloff limited waiver, implied irrevocable waiver of solicitor-client privilege and confidentiality by Ruloff Group and Hula Group between themselves, and agreement and consent requiring full and timely disclosure to the NBC Litigation Team members were essential, otherwise Fasken was in an untenable position of possible or actual conflict of interest.

39. In any event, the Ruloff Group reasonably believed and expected Fasken was their lawyer in a joint representation with Hula Group. As a necessary part of that reasonable belief and expectation, Ruloff Group required Fasken to fulfil its fiduciary duty of loyalty to Ruloff Group fastidiously, and Ruloff Group relied upon Fasken to do so at all times in all circumstances, particularly with full and timely disclosure as part of its duty of candour and without possible or actual conflict of interest.

40. The Fasken fiduciary duty of loyalty to the Ruloff Group applied to Ruloff and to all of the other members of the Ruloff Group.

41. The Fasken fiduciary duty of loyalty to the Ruloff Group continues without termination, particularly so as to prevent possible or actual conflict of interest or the abuse of privileged and confidential information exchanged pursuant to the joint representation by Fasken.

42. Alternatively, the Fasken fiduciary duty of loyalty to the Ruloff Group derives

- (a) as a result of the existence of a relationship giving rise to the fiduciary duty in equity, Ruloff Group held a legitimate expectation from the express,

implied and course of conduct of the NBC Litigation Team members and its advisor, Fasken, that Ruloff Group would be kept fully and timely informed,

- (b) there was an irrevocable general waiver of privilege and confidentiality and a positive duty to disclose fully and timely material information by all NBC Litigation Team members and, in particular, its advisor, Fasken, and by Hula and Hulavision, and
- (c) no NBC Litigation Team member, or advisor, including Fasken (as the law firm), Hula and Hulavision, could resile therefrom.

43. All legal advice and information material to the NBC Litigation was expected and required to be exchanged fully, openly and currently among the lawyers, Fasken, and all other members of the NBC Litigation Team.

44. However, as is well-known to Fasken, and as may be further discovered by Ruloff Group in due course, all such information was not in fact fully and timely disclosed to Ruloff Group.

Fiduciary Duty by Fasken to Ruloff Group Arising in Joint Venture Sharing Confidential Information About Ruloff Group

45. Alternately, Fasken owed to Ruloff Group a fiduciary duty in equity since Fasken exercised discretion or power in relation to the direction, handling and cost of the NBC Litigation generally. Ruloff Group maintained full and open participation on the NBC Litigation Team.

46. Fasken unilaterally exercised its position, power or discretion so as to affect negatively the legal or practical interests of Ruloff Group, by withholding from them, the knowledge which each of Cowper and Spencer had, to the effect that Hula and

Hulavision were actively taking steps behind the Ruloff Group's back to break the Litigation Funding Agreement.

47. This was at a time when Ruloff Group was especially vulnerable to or at the mercy of Fasken holding that discretion or power, in particular by Cowper and Spencer wrongfully keeping secrets and thus knowingly and deceptively refraining from advising Ruloff Group of the true nature of Hula Group's conduct to break the Litigation Funding Agreement, firstly, by seeking a cheaper California contingency lawyer, and secondly, by trumped up grounds for termination of the Litigation Funding Agreement.

48. As a result of the foregoing, Fasken owed a fiduciary duty of loyalty to Ruloff Group, including a duty of full, true and timely disclosure to members of the NBC Litigation Team, to inform them of matters which were relevant and material to the Litigation Funding Agreement and NBC Litigation in any manner whatever.

49. Faskens owed to Ruloff Group a fiduciary duty to serve their interests fully and neither undermine them, nor sabotage them, nor keep damaging secrets from them nor act in conflict of interest against the Ruloff Group's interests.

50. Fasken (Spencer) knew that Hula and Hulavision were taking steps to breach the LFA and, acting in conflict of interest in favour of Hula and Hulavision and acting in breach of the Fasken fiduciary duty to Ruloff Group, Spencer failed to inform the Ruloff Group accordingly. As a result, Ruloff Group continued to suffer loss and damage by making payments under the LFA and becoming vulnerable to attack, which it may have avoided.

Performance of the Litigation Funding Agreement

51. Hulavision agreed to cooperate fully in the work of the Litigation Team.

52. Each of Hulavision and Ruloff Capital, as between themselves, irrevocably waived general legal privilege and confidentiality, expressly, impliedly and by course of conduct.

53. Integral to the foregoing, there was, so Ruloff Group believed and relied upon statements and conduct by Fasken (Spencer), a continuous requirement for each party to the LFA and Fasken to make full and timely disclosure of any material matter relating to the Litigation Funding Agreement and pursuit of the NBC Litigation.

54. The members of the NBC Litigation Team worked jointly, openly and closely with the law firm, Fasken, in discussing and determining the strategy and tactics of handling the case against NBC.

55. Fasken was aware that Brymak, on behalf of Ruloff Group, continued to pay Fasken legal fees for Cowper and Spencer.

Mr. Cowper Withholds A Secret Which Damages the Plaintiffs

56. Fasken was required to tell Ruloff Group on a full and timely basis any information it had from Hula Group which would possibly or actually hurt Ruloff Group.

57. If Fasken kept information secret, then it would be in breach of its fiduciary duty of loyalty to Ruloff Group and would be in an actual conflict of interest.

58. Fasken (Cowper) received information from Hula and Hulavision which was damaging to the Ruloff Group of the NBC Litigation Team.

59. Fasken (Cowper) had a duty to disclose this information right away to Ruloff Group or to withdraw himself and the firm from the representation of the case in light of the breach of fiduciary duty of loyalty, in particular the duty to disclose and patent conflict of interest in his position.

60. The secrets that Mr. Cowper knowingly and intentionally withheld from Ruloff Group are well-known to Mr. Cowper, are extreme, and went to the very heart of the work of the Litigation Team.

61. Fasken kept secret information it had about Hula Group from Ruloff Group:

- (a) Hula advised Fasken (Cowper) that, in breach of the LFA, he (Hula) was going to California to find contingency counsel who would take on the California case against NBC for less than the 50% of net proceeds which would be paid to Ruloff Group if successful against NBC; Hula asked Cowper to keep it secret and not to tell Ruloff or Klemke of Hula's secret trip.
- (b) Hula advised Fasken (Cowper) that he (Hula) had received a contingency agreement from Vantress, but was withholding it from Ruloff Group, during which time Fasken (Spencer) and Hula were actively taking steps to defeat and terminate the LFA; Hula asked Cowper to keep it secret and not to tell Ruloff or Klemke of Hula's contingency-representation proposal from Vantress,
- (c) In flagrant breach of Fasken's duty of candour to Ruloff Group, Fasken (Cowper) kept these two secrets from Ruloff Group, and
- (d) there may exist other secret information not yet discovered by Ruloff Group but that may well come to light as we pursue discovery in this action.

62. If the secret information had been revealed to Ruloff Group in full and timely manner, then Ruloff Group would have had an opportunity to stop funding Hulavision under the terms of the LFA and save itself from that and other major loss and damage.

63. Withholding the secret information has resulted in damages to Ruloff Group, which damages were foreseeable by Fasken, and even if not foreseeable by Fasken, were nevertheless proximate as a result of the Fasken deliberate or knowing misconduct.

The Personal Agreement with Hulas

64. Ruloff Group paid Fasken a substantial sum in legal fees in relation to the performance of the Litigation Funding Agreement and the NBC Litigation.

65. Pursuant to the personal terms with the Hulas (the "Hulas Personal Agreement"), Ruloff Group

(a) committed to pay Hulas up to \$250,000 if certain conditions were met,

(b) paid as unearned advances against the above amount, the sum of \$64,000 for personal expenses to pay Hula and K. Hula's overdue credit card debt of \$40,000 and to sustain Hulas through the early NBC Litigation period,

(c) the remaining \$186,000 was never due and payable to Hula and K. Hula, since they never fulfilled the conditions to earn it.

(d) Hula and Spencer concocted a fictitious demand to Ruloff Group for money in the sum of \$125,000 as being required by Cowper. These two amounts of \$186,000 and \$125,000, totalled \$311,000, which Hula set up and demanded as due and payable forthwith, failing which Hulavision purported to give notice to Ruloff Group terminating the LFA.

66. Ruloff Group also paid Hula a few months salary (\$24,000) provided he perform a few limited services, which Hula failed to perform and was thus cut off from further payment. See also ¶65(b).

Hulavision's Breach of Its Duty of Good Faith Performance of the LFA

67. At material times, Hulavision owed to Ruloff Capital and Ruloff Group a duty of good faith performance of the LFA.

68. Within about five months of the formation of the LFA, Hula became greedy and secretly wanted to terminate the equal sharing arrangement under the LFA which Hulavision had with Ruloff Capital, for and on behalf of Ruloff Group, in favour of a better deal with a cheaper contingency lawyer in California.

69. The following are material breaches of Hulavision's duty of good faith performance of the LFA, *inter alia*:

- (a) about December 10, 2009, in breach of the LFA, Hula secretly went to California to find a cheaper contingency lawyer, ostensibly to handle the NBC Litigation;
- (b) in January 2010, Hula and Spencer concocted a fictitious demand by Hulavision to Ruloff Capital for money, comprising \$185,000 which was never due and payable to Hula and K. Hula, since they never fulfilled the conditions to earn it, and the sum of \$125,000 as being required by Cowper, which Cowper said was not required. These two amounts totalled \$311,000, which Hula set up and demanded as due and payable forthwith, failing which Hulavision purported to give notice to Ruloff Group terminating the LFA (see ¶65(d) above);
- (c) it turned out over the ensuing months that Hula and Hulavision were only pretending to perform the LFA in good faith, falsely stringing the Ruloff Group along for more funding for legal fees for the U.S. attorneys working on the

NBC case, while secretly setting up the false grounds to terminate the LFA; and

- (d) eventually the lawyer, Vantress, was engaged on another contingency arrangement to sue Ruloff, Ruloff Capital and Klemke in order to terminate the LFA and to seek to obtain a large damage award against them for allegedly interfering with the Hulavision-Vantress representation agreement.

70. As a result of Hulavision's breaches of its duty of good faith performance of the LFA, Ruloff Capital and Ruloff Group have suffered loss and damage as set out in the Relief sought below.

Hula and Hulavision's Fraud On Ruloff Group

71. Just when the NBC Litigation appeared to be moving forward favourably, Hula and Hulavision mutinied further to a conspiracy with Fasken which had been afoot, but unknown to Ruloff Group.

72. The mutiny to terminate the LFA was eventually stopped by a California court at great cost to the Ruloff Group.

73. In this harsh and devious turn-about-face move in California, Hulavision sued Ruloff Capital, Ruloff and Klemke to have the Litigation Funding Agreement declared to be terminated, thus cutting them out of any percentage of the sum recovered from NBC. Additional trumped up allegations were made against them seeking potentially exorbitant sums which also had to be defended against at great cost.

74. In the California lawsuit, Ruloff Capital, Ruloff and Klemke after a six-week trial defeated Hulavision's claim to rescind the LFA, but at substantial damage to Klemke, personally, for physical, mental and emotional distress, and to his principal, Brymak, for

financial loss. The result affirmed the Ruloff Group's right to 50% under the LFA of the net proceeds from the NBC Litigation.

75. This action is to bring the Defendants—fraudsters, conspirators and the withholders of damaging secrets—to account to reimburse lost and wasted fees and costs and pay damages accordingly. Thus in this lawsuit Ruloff Group seeks redress for loss and damage resulting from a fraudulent scheme by Fasken and the Hula Group to string the Plaintiffs along to make more payments under the LFA, while secretly concocting and implementing spurious ways to terminate Ruloff Group's rights under the LFA.

76. Thus, commencing about December 2009 and thereafter for more than a year, Hula made false representations to Ruloff Group that he and Hulavision were complying with the terms of the LFA in order to trick Ruloff Group to continue to provide substantial funds under the LFA, while at the same time Hula knowingly and intentionally conspired with Fasken to hide Hula's true intention to cause Hulavision to terminate the Litigation Funding Agreement.

77. While Ruloff Group was being deliberately kept in the dark about the secret misconduct of Hula and Hulavision, the latter were secretly concocting with Fasken fictitious ways to terminate the LFA, all the while falsely representing to Ruloff Group by word and conduct that Hulavision was performing the LFA in fulfilment of its duty of good faith to do so.

78. In fact, unbeknownst to Ruloff Group, Hula Group was secretly taking steps to defeat the LFA and terminate the agreement upon whatever spurious grounds Hula Group could fabricate, particulars of which are set out below.

79. Hula intended to and did defraud the Ruloff Group in order to defeat its entitlement under the LFA:

- (a) Hula fed false information to Ruloff Group about the status and progress of matters with Vantress: these were supposedly matters about the NBC Litigation, but the truth was that secretly Hula was arming Vantress to have Hulavision sue Ruloff, Ruloff Capital and Klemke to terminate the LFA;
 - (b) Hula gave notice to Ruloff Group of termination by Hulavision of the Litigation Funding Agreement, on the spurious grounds that Ruloff Group had failed to satisfy the demand to pay more money by a nonsensical bad faith deadline, namely to pay the \$311,000, split \$186,000 to Hulas under the Hulas Personal Agreement and \$125,000 to Fasken, and
 - (c) Hula gave notice to Ruloff Group of termination by Hulavision of the Litigation Funding Agreement, knowing that there were neither legitimate nor proper grounds for doing so, and Hula with Fasken's active participation concocted bogus grounds to purportedly justify an escape from the Litigation Funding Agreement, namely:
 - (i) at no time was the balance of \$186,000 of the Hulas' Personal Agreement ever due, since the pre-conditions were never satisfied: Hula with Fasken's active participation concocted these bogus grounds and made false representations to Ruloff Group to that effect, and
 - (ii) at no time did Fasken (Cowper) require payment of \$125,000: Hula with Fasken's active participation concocted these bogus grounds and made false representations to Ruloff Group to that effect in the face of Cowper's clear statements that \$50,000 was all that was required at the time to complete the investigation stage.
80. Ruloff Group, to its detriment, relied upon the continuing false representations and facade of false reassurance by Hula and Hulavision, that Hulavision was intending

to and actually honouring and performing the provisions of the LFA in good faith, when Ruloff Group was really being set up to be blindsided by Hula's steps to sabotage and terminate the LFA.

81. Ruloff Group continued to act to its detriment by paying more and more funds under the LFA to support the cost of attorneys for the NBC Litigation. If they had known the truth, Ruloff Group would have stopped all payment immediately.

82. The hidden steps taken by Hula and Hulavision with Fasken's active participation were dishonest and deceitful, intended with malice to deceive and damage Ruloff Group and its interests.

83. In sum:

- (a) Hula and Hulavision made a false representation, being that they lied about fulfilling the terms of the LFA, which was merely a ploy to extract further funds from Ruloff Group, while in fact they were taking steps to defeat and terminate the LFA,
- (b) Hula and Hulavision knew the representation was false, indeed intended with malice aforethought to fool Ruloff Group,
- (c) The false representation caused Ruloff Group to be deceived into continuing to act by paying more money for the NBC Litigation, and
- (d) The actions of Ruloff Group resulted in additional loss and damage, which otherwise would not have been paid or suffered, if the truth were known.

Spencer's Participation in the Conspiracy with Hula and Hulavision is Either

- (a) Unlawful Conduct Conspiracy, or
- (b) Motive Conspiracy

Unlawful Conduct Conspiracy

84. The conspiracy of Spencer, Hula and Hulavision, to commit fraud upon Ruloff Group was to terminate the Litigation Funding Agreement upon spurious grounds, engage a cheaper contingency lawyer in California for a fraction of the cost, as part and parcel of the fraud to cut out Ruloff Group completely after it had paid substantial litigation funding. Hulavision continued as planned, with the same California contingency lawyer, to sue on the basis that the Litigation Funding Agreement is terminated and concurrently Hulavision takes a run at the wealth of Klemke in what is litigation blackmail or litigation lottery.

85. At material times, Spencer conspired with Hula to concoct and fabricate spurious grounds for Hulavision to break the Litigation Funding Agreement with Ruloff Group as part and parcel of a scheme to eliminate Ruloff Group altogether from its share of the NBC Litigation proceeds.

86. At material times, the particulars of which are well known to him, Spencer conspired with Hula and Hulavision, in secret from Ruloff Group, with the predominant purpose of injuring one or more of the members of Ruloff Group by keeping secret the fact that they were together putting in motion steps to concoct fictitious grounds to eviscerate and terminate the Litigation Funding Agreement, knowing that such would likely result in loss and damage to the Ruloff Group.

87. Spencer then covered this up by the ongoing false representation to Ruloff Group that Hula and Hulavision were only performing the LFA honestly and properly, when he knew otherwise, he was doing otherwise and he knew that such would likely result in loss or damage to Ruloff Group in circumstances where Spencer knew or ought to have known that injury to Ruloff Group would likely result from Spencer's, Hula's and Hulavision's combined wrongful acts and omissions.

88. The conspiracy to defraud Ruloff Group was hatched, masterminded, spearheaded, directed and carried out together by Spencer and Hula with malice aforethought.

89. Spencer and Hula and Hulavision conspired with each other to prevent by unlawful means of false representations made to Ruloff Group, and intended to defraud Ruloff Group, in circumstances where Spencer, and Hula and Hulavision knew or ought to have known that injury, loss and damage to Ruloff Group would result from the acts of Spencer and Hula and Hulavision, by the following *inter alia*:

- (a) by the ongoing false representation to Ruloff Group that Hula and Hulavision were only performing the LFA honestly, which Spencer knew was false.
- (b) by concocting and fabricating spurious grounds for Hulavision to break the Litigation Funding Agreement. Spencer had to go to extreme lengths to come up with tortured and baseless interpretations and then to concoct spurious steps to be taken by Hula to fabricate grounds to break the terms of the LFA which he drafted:
 - (i) Spencer deliberately concocts the spurious ground that Ruloff Capital's failure to fund the NBC Litigation after October 1, 2009 could be used as a pretext to terminate the LFA,
 - (ii) Spencer deliberately concocts a set up to test Ruloff Group: request Ruloff Group to pay for a supposed trip to San Jose, and if they refuse, then call that a breach of the LFA,
 - (iii) Spencer deliberately concocts the spurious ground that Ruloff Capital could be engineered into a decision resulting in a failure to secure or seek third party financing, and that could be used as a pretext to terminate the LFA,

- (iv) Spencer deliberately concocts the spurious ground upon which Hula gave notice to Ruloff Group of termination by Hulavision of the Litigation Funding Agreement; namely, on the spurious grounds that Ruloff Group had failed to satisfy the demand to pay more money by a nonsensical bad faith deadline, namely to pay the \$311,000, split \$186,000 to Hulas under the Hulas Personal Agreement and \$125,000 to Fasken, and Spencer was aware that these spurious grounds would be relied upon by Hula to give notice to Ruloff Group of termination by Hulavision of the Litigation Funding Agreement. Spencer knew that there were neither legitimate nor proper grounds for doing so, and Spencer concocted some bogus grounds to purportedly justify an escape from the Litigation Funding Agreement, namely:

 - (A) at no time was the balance of \$186,000 of the Hulas' Personal Agreement ever due, since the pre-conditions were never satisfied: Spencer concocted these bogus grounds and made false representations to Ruloff Group to that effect, and
 - (B) at no time did Fasken (Cowper) require payment of \$125,000: Spencer concocted these bogus grounds and made false representations to Ruloff Group to that effect in the face of Cowper's clear statements that \$50,000 was all that was required at the time to complete the investigation stage, and
- (v) Spencer created the baseless grounds to terminate the LFA and gave them to Hula to implement, knowing that he would do so, usually by sending emails using the precise steps and language provided by Spencer. Spencer loaded the gun; Hula pulled the trigger.
- (c) The conspiracy included finding a California lawyer who would undertake the NBC Litigation on a lesser contingency, with Spencer's intention to fabricate grounds for the dominant purpose to eliminate Ruloff Group from any

possible recovery whatever under the LFA, even though it had funded the NBC Litigation for substantial sums.

- (d) Spencer further conspired with Hula to deceptively milk Ruloff Group on an ongoing basis, pretending that the LFA was being honoured, while in truth Spencer was wrongfully and falsely manoeuvring Ruloff Group to pay more money for the lawyers, while at the same time poised to set up bogus grounds to terminate the Litigation Funding Agreement.
- (e) Spencer conspired with Hula to find ways and means to manipulate and manoeuvre Ruloff Group to deceptively create a cover-up facade of going along with the Litigation Funding Agreement in order to milk more payments from Ruloff Group, while actively concocting false and fictitious grounds to eviscerate and terminate the Litigation Funding Agreement. Spencer intended to injure some or all of the Ruloff Group thereby or alternatively he knew or ought to have known they would likely be injured thereby.
- (f) Spencer, by fraudulent misconduct intended to deceive, and did deceive, Ruloff Group, the particulars of which are set out herein and are otherwise well known to him.
- (g) In effect Spencer abandoned his legal gown and went beyond the proper scope and professional limits of giving legal advice and zealous representation to his clients.
- (h) He crossed the line from legal conduct into illegal misconduct. He descended into, indeed orchestrated, active participation with the fraud of Hula and Hulavision.
- (i) Spencer breached the standards of the *Legal Profession Act*, its regulations and the requirements of the Law Society of British Columbia.

- (i) Spencer knew that he was actively participating in the duplicitous set up of Ruloff Group to be sued to terminate the LFA upon spurious and concocted grounds, which lawsuit would have to be defended by Ruloff Group at enormous cost in California.

90. Spencer committed his wrongs set out herein, and otherwise as may be discovered and proven at trial, within the course and scope of his employment or engagement with Spencer Law Corp., and with the obvious knowledge and consent of himself as the derelict director thereof, and as a partner with Fasken. Alternatively, Spencer acted within the course and scope of his employment or engagement, directly or indirectly through Spencer Law Corp., with Fasken.

91. Further to the fraud, and using Vantress, its new contingency lawyer for the NBC Litigation, in California, Hulavision sued Ruloff Group in California, seeking a declaration that the Litigation Funding Agreement with Ruloff Group was breached and terminated. This was done even though Ruloff Group had always made full and timely payment to the law firm, Faskens.

92. Ruloff Group were tricked by Spencer's fraud, just as he intended with malice aforethought.

93. As a result, Ruloff Group suffered enormous financial loss and damage and personal physical, mental and emotional distress.

94. Spencer and Hula for himself and Hulavision acted in concert, by agreement or with a common design or intention to defeat the LFA, while raising an ongoing facade of pretending to perform the LFA. Thus, at material times, Spencer and Hula were acting in combination: each one was aware of the relevant facts relating to defeating the LFA and stringing Ruloff Group along further and they intended to participate in doing so in concert.

95. Spencer and Hula, as co-conspirators, engaged in the above conduct directed toward Ruloff Group, which conduct was unlawful, viz., in violation of the Litigation Funding Agreement or carrying out the fraudulent representation.

96. Spencer and Hula knew, or ought to have known, that loss and damage was likely to result to Ruloff Group, which it did, resulting in loss or damage as claimed.

Motive Conspiracy

97. Hula was a fraudster. However, and in the alternative, Spencer may have only engaged with Hula in the lesser motive conspiracy in which Spencer, for his part:

- (a) engaged in the above course of conduct with the predominant purpose of causing injury to one or more members of Ruloff Group, notwithstanding that Spencer's conduct might otherwise be legal, and
- (b) that Ruloff Group suffered loss and damage as a result.

Spencer's Unconscionable Conduct

98. At material times, alternatively, Spencer's conduct toward Ruloff Group was unconscionable and unjust, and in disregard of the rights of Ruloff Group. Equity taps the conscience of the individual Defendant Spencer, and makes right of his wrongful behaviour. Spencer was an agent of Hulavision. He was an instrument of wrongdoing in relation to the LFA. His acts were unconscionable.

99. The facts set out above in relation to conspiracy by Spencer are relied upon here as well. Spencer knew or ought to have known that his wrongful conduct would likely cause, and did cause, loss and damage to Ruloff Group.

100. Spencer is liable for all of the loss and damage to Ruloff Group as a result of his unconscionable conduct.

The Conspiracy to Commit Fraud by the Hulas, Frost and Hulavision

101. Although the Hulas, Frost and Hulavision had changed their intention to then defeat and terminate the LFA, they deliberately refused to communicate that to Ruloff Group, and instead, inveigled Ruloff Group to continue to make payments under the Litigation Funding Agreement as though nothing had changed, when in fact, everything had changed.

102. The Hulas, Frost and Hulavision were conspiring to pursue a different path in breach of the Litigation Funding Agreement and were conspiring to commit fraud upon Ruloff Group as set out in paragraph 89, which is incorporated herein *mutatis mutandis*.

103. Further to the fraud, and using, Vantress, its new contingency lawyer for the NBC Litigation, in California, Hulavision sued Ruloff Group in California, seeking a declaration that the Litigation Funding Agreement with Ruloff Group was illegal, breached and terminated. This was done even though Ruloff Group had always made full and timely payment to the law firm, Fasken.

104. Ruloff Group were fooled by the fraud of these conspirators, just as they intended with malice aforethought.

105. As a result, Ruloff Group suffered enormous financial loss and damage and personal physical, mental and emotional distress.

106. The Hulas, Frost and Hulavision acted in concert, by agreement or with a common design or intention to defeat the LFA, while raising on ongoing facade of pretending to perform the LFA. Thus, at material times, The Hulas, Frost and Hulavision were acting in combination: each one was aware of the relevant facts relating to

defeating the LFA and stringing Ruloff Group along further and they intended to participate in doing so in concert.

107. The Hulas, Frost and Hulavision, as co-conspirators, engaged in the above conduct directed toward Ruloff Group, which conduct was unlawful, viz., in violation of the Litigation Funding Agreement or carrying out the fraudulent representation.

108. The Hulas, Frost and Hulavision knew, or ought to have known, that loss and damage was likely to result to Ruloff Group, which it did, resulting in loss or damage as claimed.

Fasken's Breaches of the Paramount Fiduciary Duty of Loyalty

109. At material times, Fasken owed an overarching or paramount fiduciary duty of loyalty to Ruloff and Ruloff Group to prevent possible or actual conflict of interest from arising within the firm, such as the breaches of the fiduciary duty of loyalty by Fasken to Ruloff and Ruloff Group, resulting in an untenable possible or actual conflict of interest, including

(a) Fasken Failed to Prevent Breach of the Fiduciary Duty of Loyalty to Ruloff Group By Cowper Keeping Secrets; Fasken Failed to Prevent the Resulting Possible or Actual Conflict of Interest

the duty to prevent possible or actual conflict of interest due to the failure by Cowper to fulfil his duty of candour to make full and timely disclosure to Ruloff Group of the secrets set out above at ¶161, which Fasken knew or ought to have known resulted in an untenable conflict of interest, which would and did cause loss and damage to the Ruloff Group,

(b) Fasken Failed to Prevent Breach of the Fiduciary Duty of Loyalty to Ruloff Group By Spencer Acting Outside the Permitted Scope of the Ruloff Waiver to Concurrently Milk and Defeat the LFA; Fasken Failed to Prevent the Resulting Possible or Actual Conflict of Interest

the duty to prevent possible or actual conflict of interest which may or did negatively impact the interests of Ruloff Group, in particular by Spencer

- (i) breaching the scope of the limited waiver by Ruloff permitting Spencer only to negotiate and finalize the LFA, BUT which waiver did not authorize Spencer to undermine, sabotage, defeat or counsel or cause the termination of the LFA in breach of the duty of good faith performance of the LFA;
- (ii) taking active steps to fabricate and concoct evidence with the intention to undermine, sabotage, defeat or counsel or cause the termination of the LFA; and
- (iii) pretending to Ruloff Group that Hula Group was honouring the provisions of the LFA by Spencer creating and promoting a facade, charade and pretence to that effect, stringing along the Ruloff Group to continue paying and milking them for more funds, all the while concocting ways to defeat the LFA and to cheat the Ruloff Group out of its equal entitlement to the net proceeds, if any, from the NBC Litigation.

110. At material times, the Defendant Corbett was the firm managing partner. He was replaced on February 1, 2015, by the Defendant Feldberg as the firm managing partner. At material times, the Defendant Westeringh was the B.C. Regional managing partner.

111. At material times, each of these individual Defendants acted within the course and scope of their authority and responsibility as managing partners with Fasken.

112. These Defendants, for and on behalf of Fasken, were charged with the paramount fiduciary duty of loyalty to clients, including Ruloff Group, which included responsibility to ensure that procedures and systems were in place to prevent breach of the fiduciary duty of loyalty by Fasken to Ruloff Group, including preventing possible or

actual conflict of interest which may or did negatively impact the interests of Ruloff Group.

113. Some or all of these Defendants, breached their respective fiduciary duty of loyalty to clients, including Ruloff Group, by failing to prevent the breaches of the fiduciary duty of loyalty owed to Ruloff Group as set out herein, resulting in untenable possible or actual conflict of interest.

114. Further, they failed to cause Fasken to have in place adequate procedures and systems or, alternatively, to use and apply such procedures and systems effectively or at all to prevent the breaches of the fiduciary duty of loyalty owed by Fasken to Ruloff Group as set out above.

115. As a result of the breaches by Fasken of its paramount fiduciary duty of loyalty to the Ruloff Group to avoid any possible or actual conflict of interest, the Ruloff Group suffered loss and damage.

116. Ruloff Group intends to vigorously probe during discovery the inadequacy of the systems and methods that Fasken had in place that failed to ascertain and prevent conflicts of interest in this case.

Breach of Confidence by Errol Hula, Tony Frost and Hulavision

117. The Defendants Hula, Frost and Hulavision breached their obligations to treat the confidential information of Klemke and Brymak as confidential by disclosing it to third parties, including Vantress, and by misusing it for a purpose other than the Litigation Funding Agreement for which it was provided.

118. Vantress, the attorney on a contingency fee, was after a substantial portion of Klemke's wealth.

119. The Plaintiffs Klemke and Brymak suffered loss and damage from the disclosure and misuse of the Klemke and Brymak confidential information, in particular by incurring substantial costs of defending against the frivolous, but potentially unpredictable and dangerous, lawsuit brought by Hulavision against them.

Daniel B. Klemke Suffered Physical Harm, Mental Anxiety and Emotional Distress

120. The Defendants, and each of them, owed a duty of care to Klemke not to harm him by or through their wrongful conduct.

121. Klemke was vulnerable and susceptible to harm due to the wrongful conduct of the Defendants, and each of them.

122. Klemke suffered undue physical harm, mental anxiety and emotional distress caused or contributed by the wrongful conduct of the Defendants, and each of them, such loss including pain and suffering.

123. The impact of the wrongful conduct of the Defendants, and each of them, permeated Klemke's family life, bringing unbearable stress and strain on his wife, causing or contributing to her suicide during the currency of the California litigation.

124. These damages to Klemke were foreseeable by the Defendants, and each of them.

Part 2: RELIEF SOUGHT

125. The Plaintiffs seek Judgment against the Defendants, and each of them jointly and severally, for relief in relation to the following, or as may be proven at trial:

- (a) civil fraud (deceit) committed against Ruloff Group by
 - (i) Hula and Hulavision, and
 - (ii) any or all of Hula, K. Hula, Frost and Hulavision, in conspiracy to further or commit fraud or otherwise,
- (b) conspiracy to further or commit civil fraud (deceit) against Ruloff Group by Spencer and Spencer Law Corp.,
- (c) breach of fiduciary duty of loyalty, including breach by Cowper of the duty of candour to fully and timely disclose information to Ruloff Group,
- (d) breach of fiduciary duty of loyalty, including acting in conflict of interest against Ruloff Group by Spencer, by Cowper and by Fasken, and
- (e) breach of fiduciary duty of loyalty, including by Fasken failing to police and prevent conflicts of interest in the law firm against Ruloff Group

For Damages

- (f) compensatory special damages to recover
 - (i) the money which Ruloff Group wasted by funding the Litigation Funding Agreement and the Hulas Personal Agreement,
 - (ii) the substantial legal fees and expenses related to successfully defending the California lawsuit which was brought against the members of Ruloff Group to vitiate the Litigation Funding Agreement, and
 - (iii) the wasted legal fees and expenses of a concurrent lawsuit in BC.

Plus interest thereon pursuant to paragraph (m) below, in the total amount of \$12,222,900,

- (g) compensatory general damages payable to Daniel B. Klemke for direct and indirect physical, mental and emotional distress, and pain and suffering due to stress caused by the Defendants and each of them,
- (h) aggravated damages, having regard for the repeated and ongoing misconduct of the Defendants,
- (i) punitive damages for the reprehensible conduct of the Defendants (other than Cowper) prior to the litigation,
- (j) special costs on a full indemnification basis,
- (k) interest before and after judgment at the annual rate of 5%, or otherwise, pursuant to the *Court Order Interest Act, R.S.B.C. 1996, c. 79*, as amended, and
- (l) such further and other relief as this Honourable Court may deem just and equitable.

126. The misconduct of the Defendants (except Cowper) has been done and persisted in with malice and outrageous high-handed conduct so as to be reprehensible throughout and a substantial award of exemplary and punitive damages is required to put an effective deterring chill throughout the legal profession so that the personal relationship of lawyer and client, filled with trust and confidence, will not be compromised or sacrificed at the hands of derelict lawyers who were lax, indifferent or reckless to protect the clients' rights.

127. The Plaintiffs seek trial by civil jury.

Part 3: LEGAL BASIS

128. The facts supporting the constituent elements of each basis of liability are set out mainly in relation to each heading of liability above. A brief summary of the conclusion supported by the above follows as the legal basis for liability of the Defendants.

Fasken Joint Representation of Ruloff Group and Hula Group

129. Fasken represented Ruloff Group and Hula Group in a joint representation.

Fasken's Fiduciary Duty of Loyalty to Ruloff Group

130. The law firm's fiduciary duty of loyalty includes a duty to pursue the client's matter with diligence, a duty of confidentiality, a duty of candour and a duty to avoid conflicts of interest: ¶138.

Performance of the Litigation Funding Agreement

131. There is a duty to perform the Litigation Funding Agreement in good faith.

Mr. Cowper Withholds A Secret Which Damages the Plaintiffs

132. There is a fiduciary duty of candour owed by Fasken (Cowper) to Ruloff Group to disclose material information on a full and timely basis.

The Personal Agreement with Hulas

133. This relates to the false representation by Hulas that they were entitled to receive payment of a further \$186,000, which they had not earned. This amount was included in the sum of \$311,000.

Hulavision's Breach of Its Duty of Good Faith Performance of the LFA

134. As above, there is a duty to perform a contract in good faith.

135. The breach of that duty forms part and parcel of the false representation that the true situation was that Hulavision was taking steps to defeat and terminate the LFA.

Hula and Hulavision's Fraud On Ruloff Group

136. The factual elements of the fraud are set out mainly in ¶79.

137. The factual and constituent elements of the fraud are combined mainly in ¶83.

Spencer's Participation in the Conspiracy with Hula and Hulavision is Either

(a) Unlawful Conduct Conspiracy, or

(b) Motive Conspiracy

Unlawful Conduct Conspiracy

138. The factual elements of the conspiracy are set out mainly in ¶ 89.

139. The factual and constituent elements of the conspiracy are combined mainly in ¶¶94-96.

Motive Conspiracy

140. Alternatively, the factual and constituent elements of the liability for the more innocent conspiracy are combined in ¶97.

Spencer's Unconscionable Conduct

141. Alternatively, the factual and constituent elements of Spencer's liability for unconscionable conduct are combined mainly in ¶¶99.

The Conspiracy to Commit Fraud by the Hulas, Frost and Hulavision

142. The factual and constituent elements of the fraud are combined mainly in ¶¶106-108.

Fasken's Breaches of the Paramount Fiduciary Duty of Loyalty

143. Fasken at the top level, represented by its senior managing partners, owes a paramount fiduciary duty of loyalty to its client, Ruloff Group, particularly to ensure that sources and circumstances of possible or actual conflict of interest are rooted out and prevented: see ¶¶112 - 115.

144. The liability for failing to do so is strict liability.

Breach of Confidence by Errol Hula, Tony Frost and Hulavision

145. These Defendants leaked and misused Klemke and Brymak confidential information for wrongful purposes, thereby causing loss and damage to the Plaintiffs.

Daniel B. Klemke Suffered Physical Harm, Mental Anxiety and Emotional Distress

146. Klemke suffered greatly.

147. This was caused, or contributed to, by the wrongful conduct of the Defendants.

Rule 7-1 (1) of the Supreme Court Civil Rules states:

(1) Unless all parties of record consent or the court otherwise orders, each party of record to an action must, within 35 days after the end of the pleading period,

(a) prepare a list of documents in Form 22 that lists

(i) all documents that are or have been in the party's possession or control and that could, if available, be used by any party at trial to prove or disprove a material fact, and

(ii) all other documents to which the party intends to refer at trial, and

(b) serve the list on all parties of record.

Form 11 (Rule 4-5 (2))

**ENDORSEMENT ON NOTICE OF CIVIL CLAIM
FOR SERVICE OUTSIDE BRITISH COLUMBIA**

The Plaintiffs, RULOFF CAPITAL CORPORATION, WALTER RULOFF, BRYMAK HOLDINGS LTD. and DANIEL B. KLEMKE, claim the right to serve this pleading on the Defendants T. S. (TONY) FROST, DAVID N. CORBETT, AND PETER FELDBERG outside British Columbia on the ground that, pursuant to section 10 of the Court Jurisdiction and Proceedings Transfer Act:

(f) concerns restitutionary obligations that, to a substantial extent, arose in British Columbia,

(g) concerns a tort committed in British Columbia,

Appendix

[The following information is provided for data collection purposes only and is of no legal effect.]

Part 1: CONCISE SUMMARY OF NATURE OF CLAIM:

The Plaintiffs were cheated out of their share of the fruits of litigation due to civil fraud and conspiracy to commit fraud. They also provided confidential information to the Defendants in confidence, which the Defendants leaked and misused for wrongful purposes, thereby causing loss and damage to the Plaintiffs. One Plaintiff has suffered from the effects of serious stress.

Part 2: THIS CLAIM ARISES FROM THE FOLLOWING:

[Check one box below for the case type that best describes this case.]

A personal injury arising out of:

- ☐ a motor vehicle accident
- ☐ medical malpractice
- ☒ another cause

A dispute concerning:

- ☐ contaminated sites
- ☐ construction defects
- ☐ real property (real estate)
- ☐ personal property
- ☒ the provision of goods or services or other general commercial matters
- ☐ investment losses
- ☐ the lending of money
- ☐ an employment relationship
- ☐ a will or other issues concerning the probate of an estate
- ☒ a matter not listed here

Part 3: THIS CLAIM INVOLVES:

[Check all boxes below that apply to this case]

- ☐ a class action
- ☐ maritime law
- ☐ aboriginal law
- ☐ constitutional law
- ☐ conflict of laws
- ☒ none of the above
- ☐ do not know

MEMORANDUM

DATE: March 4, 2019
TO: Sandra Moulard
RE: ERRORS & OMISSIONS - REPORTING OF CLAIMS

I am not aware of any such claim(s)

☐

I am aware of facts which might result
in a claim(s) and which has/have not previously
been reported as described in the attached
memorandum

☐

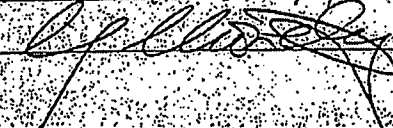
I am aware of a previously reported claim(s) or potential
claim (see attached status report for updating, if applicable)
the status of which is indicated in the attached memorandum

☒

Name

ARIF CHOWDHURY

Signature



There is a potential claim of up to \$148,800 arising from the following:

- March 18, 2008 Bridgeland Law filed Statement of Claim in Calgary Action No. 0801 - 03078 (the "Action")
- September 13, 2013 After intervening steps the Defendant provided answers to undertakings. This is the last significant step taken to advance the Action
- March 24, 2014 Bridgeland Law withdraws from matter
- June 23, 2014 I was introduced by email to the client by Michelle Pockey, a partner in our Vancouver office. with a view to transferring the client's file from Bridgeland Law to Fasken Martineau. Purpose of the transfer was to attempt to settle Alberta matter along with a British Columbia matter concerning the same parties. Michelle would undertake settlement discussions.
- July 7, 2014 Request is made to Bridgeland Law to transfer file to Fasken Martineau
- July 10, 2014 Client's file is transferred to Fasken Martineau from Bridgeland Law
- July 16, 2014 Email to Michelle Pockey confirming receipt of client file, providing overview of the client's claim, expressing concern that the matter may already have dropped dead, and inquiring as to timeline for Michelle to engage defendant in settlement discussions
- July 17, 2014 Client emails and instructs to take no steps as an offer is pending from the defendant in the British Columbia matter
- July 22, 2014 (approximate date) Call to Michelle to confirm that Action has not already dropped dead and will wait to hear from Michelle regarding settlement discussions
- No contact with Michelle or client during intervening time
- June 17, 2016 Michelle passes away
- September 14, 2016 Three years pass without any steps being taken in the Action. Last steps was service of Defendant's undertakings.
- April 2017 Dan Byma, associate from Vancouver office, calls to inquire as to status of Action

This potential claim has been reported to ALIA.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

Fasken Martineau LLP

Fasken Martineau DuMoulin (PTY) Ltd.

Fasken Martineau S.E.L.A.S

Fasken & Calvin

Bell Dewar Inc.

Campbell, Godfrey & Lewtas



CLLAS Claim Number	Jurisdiction	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)	Comments
CLLAS1988-014	LSUC	Mark A. Richardson	IMPERIAL LIFE	11/01/87	9/01/85	5/01/88	\$0	\$2,109	\$0	\$2,109	\$0	\$0	\$0	\$0	\$0	
CLLAS1988-019	LSUC	JAMES H. GROUT	GUARANTY TRUST	12/01/87	7/01/86	7/01/89	\$10,195	\$2,705	\$0	\$12,900	\$0	\$0	\$0	\$0	\$0	
CLLAS1988-028	LSUC	ALAN SCOTT GARVIE	FRANK T. REEVES	5/31/88	2/28/88	9/30/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1988-044	LSUC	BRUCE SALVATORE	HIGH COURT PROPERTY	2/01/88	11/01/85	5/01/90	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1988-045	LSUC	NEIL T. NORRIS	HANS & KARIN VOLLBRECHT	3/01/88	6/01/87	12/01/88	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-007	LSUC	A.B. MOORE	GAIL BARKIC	11/01/88	9/01/85	4/01/89	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-008	LSUC	GREGORY J. PEEBLES	VIEWSCAN INFRARED	12/01/88	12/01/87	4/01/92	\$0	\$19,617	\$0	\$19,617	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-012	LSUC	Mark S. Hayes	MR&MRS J. WRIGHT	2/01/89	12/01/88	12/01/91	\$0	\$1,060	\$0	\$1,060	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-025	LSUC	JOANNE E. DAVIES	ALCHA HOLDINGS LTD.	4/01/89	12/01/88	2/15/90	\$0	\$2,520	\$0	\$2,520	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-027	LSUC	CRAIG R. CARTER	R. SIMEUNOVICH	8/01/88	8/01/86	8/01/89	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-042	LSUC	R.N. ROBERTSON	MACGILLURAY CO.	5/01/89	2/01/82	3/14/90	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-045	LSUC	BONNIE L. CROLL	WARREN MOULD	6/12/89	5/29/89	10/01/90	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-053	LSUC	RONALD J. McCLOSKEY	CANADIAN DENTAL SPI	8/01/89	8/01/84	11/01/89	\$0	\$692	\$0	\$692	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-058	LSUC	GAVIN MacKENZIE	DANIEL S.J. BANGARTH	6/01/89	3/01/87	6/15/90	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-089	LSUC	PATRICK HURLEY	SUZANNA LA RIZZA	6/01/89	3/01/89	11/01/89	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1989-095	LSUC	RUDOLPH W. GARDNER	BATTISTA/657939 ONTARIO LTD.	11/30/88	6/30/86	9/10/93	\$0	\$1,002	\$0	\$1,002	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-001	LSUC	LISA A. ROSENBLATT	ESTHER HEATH	7/01/89	7/01/89	6/01/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-011	LSUC	John M. FUKÉ	ESTATE Frederick T.ROSBROOK	10/01/89	3/01/88	6/30/93	\$0	\$176,916	\$0	\$176,916	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-100	LSUC	NANCY J. KLEER	MARY WASSAYKEESIC	7/31/89	6/30/89	12/31/94	\$1,000	\$773	\$0	\$3,686	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-102	LSUC	JOHN VARLEY	GEORGE SZILAGYI	12/30/89	8/17/89	3/31/95	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-103	LSUC	D.R. SCOTT	AIR CANADA/(THORNE, ERNST & WHINNEY)	12/30/89	9/01/87	3/25/94	\$0	\$1,809	\$0	\$2,302	\$0	\$0	\$0	\$0	\$0	
CLLAS1990-104	LSUC	D.G. ALLSEBROOK	NOVEL TEEZ DESIGNS/DC COMICS INC.	12/01/89	10/01/89	12/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1994-083	LSUC	DAVID SALOMON	MAG-PLASTIC INC./JEAN GALLAY, S.A.	2/28/94	5/01/92	6/16/94	\$0	\$0	\$0	\$692	\$0	\$0	\$0	\$0	\$0	
CLLAS2007-100	UK	Martin/Carly Ackland/Oliver	Barclays Private Clients Int'l Ltd	2/15/07		6/06/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2007-101	UK	Martin Ackland	Alkyon Limited and Hanbury House Ltd.	2/15/07		7/20/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-006	UK	Paul/Nigel Yerbury/Gordon	Humberts Plc	7/20/07		12/23/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-021	UK	Nicola Kravitz/Mariel	Fleetway Properties Limited	9/14/07		1/05/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-024	UK	Chris Chrysanthou	Amano Limited (formerly Mix Bars Ltd)	9/13/07		1/05/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-025	UK	Robert/Jo Paydon/Gregory	OAR Kommunikations	9/17/07		1/05/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2008-047	UK	Robert Rosenbery	Derrick Fisher (31 Harrow Lodge Northwick Terrace)	11/23/07		6/06/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2011-036	LBQ	Frederic Gilbert	Julian Properties Inc.	10/29/10		11/09/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2011-103	UK	Roger Loosley	MagIndustries Corp.	2/04/11		3/01/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2013-161	UK		Xxtreme Oil & Gas	5/29/13	6/30/12	To be closed *	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	this should be closed as there have been no developments risk of claim being pursued is remote
CLLAS2013-163	UK		Bhinder Purewal	4/11/13		To be closed *	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	this relates to the Capitol Energy claim, which were resolved in December 2016. Remaining claims are time barred
CLLAS2014-124	UK	Mr./M. Loosley/McCartney	Syed Farouk Almohdzar	3/31/14		To be closed *	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	this should be closed as there have been no developments since the date of the report
CLLAS2014-127	UK	Fasken Martineau LLP	Nova Ventures	9/27/13		To be closed *	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	this should be closed as there have been no developments since the date of the report
CLLAS2014-128	UK	David Connick	Laura Fitzsimmons	3/13/14		To be closed *	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	this should be closed as there have been no developments since the date of the report
CLLAS2014-129	UK	James/David Lisson/Connick	Bruce Ihionkhan Ighaol	3/13/14		11/22/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	Part 8 claim was struck out and Mendes were updated by Clyde & Co.
CLLAS2015-077	UK	Paul Yerbury	Varsha Popat	2/10/15	12/20/10	5/11/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0 claim settled on 11 May 2016
CLLAS2015-078	UK	Paul Yerbury	Ronald Payne	2/10/15		1/30/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0 claim settled on 30 January 2018
CLLAS2015-087	UK	Paul Yerbury	The Sutej Trust	2/24/15		5/17/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0 Closed as confirmed by Pamela at Mendes

CLLAS2015-117	UK	Paul Verbury	Joel & Anna Moreland	5/08/15		2/08/19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	claim settled on 8 February 2019
CLLAS2016-086	LSA	C. R. Stewart	Sanjel Corporation	1/07/16		8/08/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS2016-092	UK	Faskens Martineau,J Paddock	The Healthy Planet Foundation	2/22/16		10/11/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	Closed as confirmed by Pamela at Mendes
CLLAS2019-052B	UK	Al Gourley	CuCo Resources Limited	12/19/18		2/26/19	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	Closed as confirmed by Pamela at Mendes

Total:	\$11,195	\$209,203	\$0	\$223,496	\$0	\$0	\$0	\$0	\$0
--------	----------	-----------	-----	-----------	-----	-----	-----	-----	-----

* these claims should be closed without prejudice to Fasken's right to re-open in the event that there are developments.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS1990-079	BRUCE SALVATORE	STADIUM CORPORATION OF ONTARIO LTD	6/15/90	1/01/88	1/10/94	\$0	\$0	\$0	\$769	\$0	\$0	\$0	\$0	\$0
CLLAS1990-080	THOMAS B. BAKER	WILLIAM FODOR/AGNEW GROUP	6/30/90	2/28/87	7/04/97	\$0	\$38,244	\$0	\$38,244	\$0	\$0	\$0	\$0	\$0
CLLAS1990-081	RICHARD E. JOHNSTON	ALLAN EVERY REALTY/CITIBANK	6/30/90	10/31/87	10/13/94	\$0	\$34,932	\$0	\$34,932	\$0	\$0	\$0	\$0	\$0
CLLAS1990-082	JOAN M.H. WEPPLER	CANSULT GROUP LIMITED	6/15/90	3/01/90	12/01/92	\$0	\$1,518	\$0	\$1,518	\$0	\$0	\$0	\$0	\$0
CLLAS1990-083	STEPHEN T.P. RISK	SANDBURY BUILDING CORPORATION	6/15/90	11/15/88	12/01/90	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-089	DAVID SALOMON	OLIVETTI CANADA LIMITED	6/01/90	1/01/89	2/01/92	\$0	\$996	\$0	\$996	\$0	\$0	\$0	\$0	\$0
CLLAS1990-107	Paul Martin	James Poland	2/27/90	1/31/90	8/26/98	\$0	\$9,715	\$0	\$9,715	\$0	\$0	\$0	\$0	\$0
CLLAS1991-009	Paul King	ESTATE OF MARGARET MURPHY	8/01/90	12/01/88	6/30/93	\$0	\$3,427	\$0	\$3,427	\$0	\$0	\$0	\$0	\$0
CLLAS1991-033	RONALD J. McCLOSKEY	SUSAN MALLON	11/01/90	7/01/90	12/01/92	\$0	\$1,379	\$0	\$1,379	\$0	\$0	\$0	\$0	\$0
CLLAS1991-034	PAUL R. KING	GURCHURAN ANAND	12/01/90	6/01/89	12/01/92	\$0	\$7,044	\$0	\$7,044	\$0	\$0	\$0	\$0	\$0
CLLAS1991-036	DOUGLAS R. SCOTT	RICHARD C. FLEMING/TESKEY CONSTRUTION	11/01/90	7/01/89	6/01/92	\$0	\$43,594	\$0	\$43,594	\$0	\$0	\$0	\$0	\$0
CLLAS1991-038	J. Michael Robinson	SKANDINAVISK ENSKILDA BANKEN (SWEDISH BANKS)	11/01/90	5/01/88	5/31/93	\$0	\$980	\$0	\$980	\$0	\$0	\$0	\$0	\$0
CLLAS1991-049	BENJAMIN J. HUTZEL	NIKLOS N. CSAK/BRIAN W. BOON	1/31/91	11/30/72	9/26/02	\$0	\$20,919	\$0	\$20,919	\$0	\$0	\$0	\$0	\$0
CLLAS1991-052	ESTHER L. LENKINSKI	HARVEY GRENN	1/01/91	4/01/89	9/24/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-053	ROBERT L. SHIRRIFF	STADIUM CORPORATION OF ONTARIO	1/01/91	8/01/86	12/07/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-055	HEATHER LAIDLAW	HARRISON FOODS LIMITED	1/10/91	11/18/87	1/01/92	\$0	\$1,245	\$0	\$1,245	\$0	\$0	\$0	\$0	\$0
CLLAS1991-064	DONALD J. STEADMAN	HARRY A. DREW	2/01/91	5/01/88	9/01/91	\$0	\$728	\$0	\$728	\$0	\$0	\$0	\$0	\$0
CLLAS1991-065	STEPHEN RISK	WALLIS DEVELOPMENT CANADA LTD.	2/11/91	6/01/89	6/01/91	\$0	\$428	\$0	\$428	\$0	\$0	\$0	\$0	\$0
CLLAS1991-079	KELLEY M. McKINNON	VYNCKE WARMTETECHNIEK N.V.	3/07/91	1/22/91	12/01/92	\$0	\$991	\$0	\$991	\$0	\$0	\$0	\$0	\$0
CLLAS1991-094	GARY S. FOGLER	INNOVATION ONTARIO CORPORATION	5/01/91	3/01/91	12/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-101	M. Elena Hoffstein	ESTATE OF A.G. SIMPSON	5/13/91	3/19/90	8/01/92	\$0	\$664	\$0	\$664	\$0	\$0	\$0	\$0	\$0
CLLAS1991-135	David C. Rosenbaum	NICOLE VILELA ET AT	6/13/91	10/01/90	6/01/92	\$0	\$776	\$0	\$776	\$0	\$0	\$0	\$0	\$0
CLLAS1991-136	Roxanne E. McCormick	ZENON ENVIRONMENTAL INC.	6/28/91	1/01/91	10/20/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-137	JOHN A. GELLER	GRAFTON GROUP	6/28/91	2/28/91	2/21/95	\$0	\$33,139	\$0	\$34,135	\$0	\$0	\$0	\$0	\$0
CLLAS1991-138	Alan Schwartz	BERNARD SCHWARTZ	6/28/91	2/01/88	9/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-139	ROBERT M. SUTHERLAND	SPEEDY MUFFLER KING INC. ET AL	6/28/91	9/20/89	6/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-140	THOMAS B. BAKER	TRENVEST LIMITED	6/30/91	11/30/86	10/30/00	\$150,000	\$70,897	\$0	\$220,897	\$0	\$0	\$0	\$0	\$0
CLLAS1991-141	John M. FUKÉ	JORDAN F. SULLIVAN FAMILY TRUST	6/28/91	3/01/91	2/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-142	W. ALFRED APPS	385406 ONTARIO LIMITED ET AL	6/28/91	6/01/90	6/01/92	\$0	\$812	\$0	\$812	\$0	\$0	\$0	\$0	\$0
CLLAS1991-143	BRYAN KELLING	MICHAEL PEPE	6/28/91	9/01/85	12/01/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-144	NEIL T. NORRIS	MARC-LU HOLDINGS	6/28/91	3/01/89	6/01/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-145	W. ALFRED APPS	KEVIN PARK	5/31/91	2/28/90	10/23/96	\$0	\$6,489	\$0	\$6,726	\$0	\$0	\$0	\$0	\$0
CLLAS1991-146	JOHN T. MORIN	BAHAUS DESIGNS CANADA LTD. ET AL	6/24/91	12/18/90	1/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-147	RUTH FOSTER	ROBERT/CHRISTINE KATO	6/28/91	7/01/90	11/01/91	\$0	\$669	\$0	\$669	\$0	\$0	\$0	\$0	\$0
CLLAS1991-149	JOHN A. CAMPION	XEROX CANADA INC. ET AL	6/28/91	3/01/90	3/31/92	\$0	\$840	\$0	\$840	\$0	\$0	\$0	\$0	\$0
CLLAS1992-007	GEORGE R. STRATHY	GULF CANADA LIMITED	8/01/91	6/01/91	9/01/91	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-009	S. RONALD HABER	ARMIN & INDCENCIA BUETTNER	8/01/91	10/01/89	5/01/92	\$7,521	\$0	\$0	\$7,521	\$0	\$0	\$0	\$0	\$0
CLLAS1992-012	PAUL FIELD	DOR-SEAL LIMITED	9/30/91	5/31/91	7/06/94	\$0	\$0	\$0	\$2,087	\$0	\$0	\$0	\$0	\$0
CLLAS1992-017	ROGER R. ELLIOTT	S.B. McLAUGHLIN and ENTERAC PROPERTY CORP.	9/26/91	10/01/88	9/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS1992-018	RONALD J. WALKER	MICHAEL HORNER AND PETER CORRIGAN	10/02/91	1/22/91	6/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-033	MARY OTVOS	ROBERT RADCLIFFE	12/31/91	6/30/91	9/21/94	\$22,000	\$14,105	\$0	\$38,408	\$0	\$0	\$0	\$0	\$0
CLLAS1992-034	Nigel Johnston	MWM HOLDINGS LTD. (including LTS Sales Ltd.)	10/31/91	12/01/85	5/01/92	\$0	\$1,758	\$0	\$1,758	\$0	\$0	\$0	\$0	\$0
CLLAS1992-036	NEIL T. NORRIS	NORTH WEST TRUST COMPANY	11/30/91	11/30/90	12/03/97	\$682,700	\$264,467	\$0	\$1,000,000	\$4,707,760	\$84,858	\$0	\$0	\$4,792,618
CLLAS1992-050	T.B.A. T.B.A.	TRITEN CORPORATION	12/01/91	9/01/90	6/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-070	JOHN A. GELLER	MAHER INC./GRAFTON	12/31/91	11/01/91	6/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-079	J.W. HUCKLE	INCUMBENT & CHURCH WARDENS	2/04/92	9/01/88	3/10/94	\$0	\$4,440	\$0	\$4,440	\$0	\$0	\$0	\$0	\$0
CLLAS1992-082	GEORGE R. STRATHY	THOMAS BAKER / 7-UP	1/31/92	10/31/89	1/23/01	\$0	\$94,706	\$0	\$94,706	\$0	\$0	\$0	\$0	\$0
CLLAS1992-086	MARY OTVOS	SURREY PLAZA LIMITED ET AL	2/14/92	7/17/90	12/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-098	Mark A. Richardson	EXTENDICARE HEALTH SERVICES INC.	3/04/92	1/10/88	9/01/92	\$0	\$2,902	\$0	\$2,902	\$0	\$0	\$0	\$0	\$0
CLLAS1992-100	THOMAS BAKER	PERRY S. REA ET AL	3/06/92	6/01/88	6/30/93	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-102	CHRIS BESANT	Bank of America	3/01/92		6/30/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-103	CHRIS BESANT	NEW VISIONS ENTERTAINMENT CORPORATION	3/01/92		6/30/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-111	THOMAS B. BAKER	MOGUL HOLDINGS/REVENUE CANADA	4/30/92	5/31/87	7/15/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-113	JAMES E. WARSHAWSKI	MICHAEL BAIN/BARILL DEVELOPMENT COMPANY LTD.	4/06/92	12/01/77	10/31/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-119	JEFFREY KAUFFMAN	HELEN V. TERRY ET AL	4/24/92		5/12/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-140	BRUCE SALVATORE	MILLER'S COUNTRY FARE RESTAURANTS LIMITED	5/27/92	12/01/86	2/22/94	\$0	\$3,383	\$0	\$3,383	\$0	\$0	\$0	\$0	\$0
CLLAS1992-141	RONALD J. ROLLS	HINKIN/HEDLEY/CHESTNUTT	6/30/92	2/28/92	2/09/95	\$0	\$57,764	\$0	\$59,861	\$0	\$0	\$0	\$0	\$0
CLLAS1992-150	MICHAEL J. MacNAUGHTON	ALLIED GROUP INC./BESSIN	6/30/92	6/30/91	3/15/95	\$0	\$0	\$0	\$2,627	\$0	\$0	\$0	\$0	\$0
CLLAS1992-161	JEFFREY S. LEON	HARRY SOLOMON	6/30/92	12/31/88	6/30/96	\$61,682	\$6,515	\$0	\$70,620	\$0	\$0	\$0	\$0	\$0
CLLAS1992-162	ROBERT THORNTON	HSBC Bank of Canada (HSBC)	6/25/92	10/01/91	12/31/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-163	WAYNE P.J. McARDLE	CANADA TRUSTCO MORTGAGE CORP.	6/29/92	7/01/84	4/30/93	\$0	\$541	\$0	\$541	\$0	\$0	\$0	\$0	\$0
CLLAS1992-164	WILLIAM G. KELLY	GERALD HARRISON	6/30/92	6/30/72	9/21/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-165	ROBERT L. BARLOW	GRUPPO EDITORIALE JACKSON	6/30/92	1/31/92	6/13/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-179	PETER R. GREENE	HTH HEATECH INC.	6/30/92	5/27/91	12/01/92	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-013	MICHAEL D.E. DUDER	David James O'Donoghue	9/30/92	1/31/88	6/30/94	\$0	\$943	\$0	\$2,502	\$0	\$0	\$0	\$0	\$0
CLLAS1993-038	GAVIN MacKENZIE	R. Gary Robinson and Grace McDevitt	12/02/92	4/01/86	1/13/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-041	DAVID G.C. MENZEL	Dejour Mines Ltd.	12/31/92	11/30/90	10/17/95	\$0	\$12,570	\$0	\$12,570	\$0	\$0	\$0	\$0	\$0
CLLAS1993-042	ROBERT L. SHIRRIFF	STADIUM CORPORATION OF ONTARIO	12/04/92	12/02/86	5/31/93	\$0	\$3,165	\$0	\$3,165	\$0	\$0	\$0	\$0	\$0
CLLAS1993-069	W. ALFRED APPS	George W. Tindall Ltd.	1/25/93	10/31/92	2/02/02	\$0	\$13,135	\$0	\$13,135	\$0	\$0	\$0	\$0	\$0
CLLAS1993-095	RONALD ROBERTSON	NEW INVESTORS COMMITTEE of MATER's MORTGAGERS	5/31/93	2/28/93	6/04/99	\$0	\$11,838	\$0	\$11,838	\$0	\$0	\$0	\$0	\$0
CLLAS1993-121	W. ALFRED APPS	Cholkan Corporation	6/01/93	10/01/90	1/10/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-149	W. ALFRED APPS	Bauhaus Designs Can Inc. - Bilt	6/30/93	1/31/93	4/26/94	\$0	\$0	\$0	\$513	\$0	\$0	\$0	\$0	\$0
CLLAS1993-150	E. BRUCE LEONARD	Douglas McLeod - Queen's Landing	6/30/93	5/31/92	6/30/94	\$0	\$0	\$0	\$1,194	\$0	\$0	\$0	\$0	\$0
CLLAS1993-151	R.W. GARDNER	Michael and Dorothy Burke	6/30/93	12/31/91	3/25/94	\$0	\$1,028	\$0	\$1,028	\$0	\$0	\$0	\$0	\$0
CLLAS1993-152	John M. FUCE	Clair C. Stewart - Casse Invest	6/30/93	6/30/93	10/25/99	\$111,667	\$41,439	\$0	\$180,060	\$0	\$0	\$0	\$0	\$0
CLLAS1993-153	JOHN H. HOUGH	Mutual Trust Company	6/30/93	3/31/92	2/22/96	\$0	\$12,392	\$0	\$12,392	\$0	\$0	\$0	\$0	\$0
CLLAS1993-154	James A. Bradshaw	Livergant Trust	6/30/93	5/31/92	8/04/93	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-155	Jack W. Huckle	Larry D. Hughsam	6/30/93	6/30/90	1/31/95	\$0	\$0	\$0	\$1,720	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS1994-063	Paul King	CFS INDUSTRIES LTD.	12/30/93	11/01/93	5/08/95	\$0	\$3,131	\$0	\$3,131	\$0	\$0	\$0	\$0	\$0
CLLAS1994-076	JEFFREY KAUFMAN	JOHN HILLIER	10/06/93	6/01/90	2/16/95	\$0	\$0	\$0	\$1,314	\$0	\$0	\$0	\$0	\$0
CLLAS1994-078	W. ALFRED APPS	GEORGE W. TINDALL LTD./TUTTON RE VANIZIA CONS.	10/23/93	11/01/91	2/12/02	\$0	\$16,617	\$0	\$16,617	\$0	\$0	\$0	\$0	\$0
CLLAS1994-087	J. STEVEN FOLLETT	MITCHELL ESTATE	3/04/94	12/01/86	12/12/94	\$0	\$0	\$0	\$155	\$0	\$0	\$0	\$0	\$0
CLLAS1994-088	Jack Huckle	Shirley Watt	3/18/94	8/01/88	4/25/95	\$0	\$7,231	\$0	\$8,296	\$0	\$0	\$0	\$0	\$0
CLLAS1994-092	John Stinson	CSABA REIDER	3/08/94	4/01/87	12/24/02	\$0	\$203,287	\$0	\$203,287	\$0	\$0	\$0	\$0	\$0
CLLAS1994-120	BRUCE SALVATORE	Royal Trust Corp.	5/12/94	5/01/91	3/13/95	\$0	\$3,808	\$0	\$3,808	\$0	\$0	\$0	\$0	\$0
CLLAS1994-123	F. Douglas Gibson et al	Anders Jahre Estate	5/18/94	1/01/89	12/31/06	\$0	\$17,484	\$0	\$17,484	\$0	\$0	\$0	\$0	\$0
CLLAS1994-124	Alan M. Schwartz	Cda Trust, TD Bank, CIBC et al	5/30/94	11/09/89	7/15/02	\$0	\$10,211	\$0	\$10,211	\$0	\$0	\$0	\$0	\$0
CLLAS1994-125	Alan M. Schwartz	TD Bank et al	5/30/94	1/31/90	7/15/02	\$0	\$9,976	\$0	\$9,976	\$0	\$0	\$0	\$0	\$0
CLLAS1994-126	William J. Bies	Toronto-Dominion Securities Inc. et al	5/30/94	2/10/89	7/15/02	\$0	\$44,641	\$0	\$44,641	\$0	\$0	\$0	\$0	\$0
CLLAS1994-127	Estate of FD Gibson	Brian Raphael Harrington Massie Trust	5/19/94	3/01/94	5/31/95	\$0	\$0	\$0	\$622	\$0	\$0	\$0	\$0	\$0
CLLAS1994-128	Estate of FD Gibson	Estate of Ruth McCleary	5/20/94	5/01/93	5/30/95	\$0	\$0	\$0	\$1,053	\$0	\$0	\$0	\$0	\$0
CLLAS1994-129	Estate of FD Gibson	Edward Robson Family Trust	5/19/94	3/01/94	4/30/96	\$0	\$0	\$0	\$1,003	\$0	\$0	\$0	\$0	\$0
CLLAS1994-153	Grant McCutcheon	H. Hylton/T. Sheppard	6/29/94	4/01/92	11/08/94	\$0	\$0	\$0	\$1,456	\$0	\$0	\$0	\$0	\$0
CLLAS1994-154	John Mark Stinson	Bakorp Management Ltd.	6/29/94	4/01/88	7/04/97	\$0	\$14,851	\$0	\$16,494	\$0	\$0	\$0	\$0	\$0
CLLAS1994-155	William J. Bies	Colgate-Palmolive Canada	6/29/94	4/01/90	5/26/97	\$0	\$6,854	\$0	\$6,854	\$0	\$0	\$0	\$0	\$0
CLLAS1994-156	Gary Fogler	Bob Byrch	6/29/94	6/01/93	11/14/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1994-157	Neil Norris	C. Nell & S. Ferderber	6/29/94	6/01/88	9/29/95	\$0	\$0	\$0	\$1,132	\$0	\$0	\$0	\$0	\$0
CLLAS1994-167	Robert Elliott	CMRA	2/07/94		9/30/95	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1994-175	Robert Harrison	Thomas B. Baker	4/18/94	1/01/94	6/30/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1994-184	ROBERT L. BARLOW	James P. Winter	11/22/93	6/01/89	2/09/95	\$13,000	\$0	\$0	\$15,914	\$0	\$0	\$0	\$0	\$0
CLLAS1995-010	Allan Beach	Cooke Investments	9/07/94	1/01/93	9/23/94	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-025	John Fuke	Aberdeen Trust / Marpur Trust	9/19/94	5/01/92	3/31/96	\$0	\$9,346	\$0	\$11,191	\$0	\$0	\$0	\$0	\$0
CLLAS1995-026	JEFFREY KAUFMAN	Teplitsky, Colson	10/12/94	7/01/94	12/05/94	\$0	\$0	\$0	\$132	\$0	\$0	\$0	\$0	\$0
CLLAS1995-027	J. Champion	Annie Goose et al	10/12/94	12/01/89	4/20/95	\$0	\$0	\$0	\$867	\$0	\$0	\$0	\$0	\$0
CLLAS1995-034	Allan G. Beach	Pervin/Cannon/Simpson	10/21/94	4/01/88	6/30/96	\$50,000	\$41,621	\$0	\$93,569	\$0	\$0	\$0	\$0	\$0
CLLAS1995-035	David/Ralph Corbett/Nero	Best Gagne Book Manufacturers Inc.	10/02/94	2/01/94	1/19/95	\$0	\$0	\$0	\$690	\$0	\$0	\$0	\$0	\$0
CLLAS1995-044	David Maritsugu	Guy & Gilbert and/or Marc Paquet	11/21/94	8/01/94	9/26/95	\$0	\$576	\$0	\$576	\$0	\$0	\$0	\$0	\$0
CLLAS1995-058	Gary/Norman Fogler/Kribs	Hartco Enterprise Inc.	12/05/94	10/06/94	3/07/95	\$0	\$0	\$0	\$320	\$0	\$0	\$0	\$0	\$0
CLLAS1995-059	John D. McPhail/Law	Richard Rutherford and Kidcare Holdings Inc	11/17/94	6/01/93	5/28/97	\$0	\$50,781	\$0	\$50,781	\$0	\$0	\$0	\$0	\$0
CLLAS1995-095	John M. Stinson	Mark Benadiba	3/16/95	1/01/88	2/27/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-106	Estate of FD Gibson	Beneficiaries of the Quadra Trust/Berney, Horodezk	12/31/94	1/01/93	1/30/97	\$0	\$5,103	\$0	\$5,103	\$0	\$0	\$0	\$0	\$0
CLLAS1995-107	Estate of FD Gibson	Beneficiaries of the Esbe Trust and Sheldon Berney	12/31/94	12/01/90	1/30/97	\$0	\$5,453	\$0	\$5,453	\$0	\$0	\$0	\$0	\$0
CLLAS1995-108	J. STEVEN FOLLETT	The Imperial Life Assurance Company of Canada	4/03/95	12/21/89	5/28/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-109	C. William Hourigan	The Mutual Life Assurance Company of Canada	12/31/94	9/01/94	6/30/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-110	Mary-Anne Kril	Marguerite A. Doris (Greg Cooper, Barrister)	2/16/95	1/01/88	1/04/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-129	R.S. Harrison	Anthony Maida and Timarron Equities Limited	6/08/95	4/01/95	4/12/96	\$0	\$9,283	\$0	\$9,283	\$0	\$0	\$0	\$0	\$0
CLLAS1995-130	Nina Hoque	Bay Sports (Oshawa) (1992) Limted and Dean Avery	6/09/95	5/15/94	10/25/95	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS1995-131	Fraser M. Fell	Gentra Inc. (used to be Royal Trustco)	6/08/95		6/30/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-134	Roxanne E. McCormick	Shareholders of CUC Broadcasting Limited and CUC I	6/07/95	5/04/95	10/04/95	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-137	E.A. Tory	Robert J. Cook and RBJ Cook Investments Inc.	6/22/95	7/01/90	5/12/98	\$0	\$0	\$0	\$703	\$0	\$0	\$0	\$0	\$0
CLLAS1995-148	Belinda J. James	The Great-West Life Assurance Company	6/01/95	4/01/94	11/12/96	\$0	\$1,325	\$0	\$1,325	\$0	\$0	\$0	\$0	\$0
CLLAS1995-154	Robert E. Smolkin	The Imperial Life Assurance Company	6/29/95	10/01/88	12/08/97	\$0	\$2,251	\$0	\$2,251	\$0	\$0	\$0	\$0	\$0
CLLAS1995-157	John Lorito	Hohner Inc.	9/27/95	1/15/95	1/04/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-158	R.S. Harrison	The Toronto Dominion Bank	6/30/95	12/01/93	2/03/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-159	J. Michael Robinson	Gary Strickler and Sherbank Marketing Services Inc	6/30/95	1/01/94	4/01/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-002	LIANA TURRIN	Famous Players Development Corp. Ltd and Bedford H	7/13/95	5/01/90	10/28/97	\$0	\$20,283	\$0	\$22,353	\$0	\$0	\$0	\$0	\$0
CLLAS1996-004	PATRICK HURLEY	Christel Francis Whillier	7/14/95	2/01/89	6/30/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-020	Douglas/Andr Hunt/Burns	Warren Revich	8/21/95	7/31/95	12/31/95	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-063	Paul King	Darragh Elliott/Invstrs C&K Mortgage Services	2/14/96	12/16/91	9/30/10	\$225,000	\$398,539	\$0	\$623,539	\$0	\$0	\$0	\$0	\$0
CLLAS1996-082	RICHARD E. JOHNSTON	Bansco & Co./Joy Brigham et al	3/05/96	10/01/92	5/29/97	\$0	\$3,714	\$0	\$3,714	\$0	\$0	\$0	\$0	\$0
CLLAS1996-083	Neil M. Smiley	Paul and George Hartman	4/01/96	12/01/92	3/17/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-085	Rob Staley	Guiseppe Polano	3/28/96	5/27/93	12/05/96	\$0	\$4,284	\$0	\$4,284	\$0	\$0	\$0	\$0	\$0
CLLAS1996-086	Edward Passi	John Armour (Morris Cooper Counsel)	9/20/95	9/01/92	6/30/00	\$34,357	\$28,172	\$0	\$66,003	\$0	\$0	\$0	\$0	\$0
CLLAS1996-108	Ed Esposto	Henry Maw	6/18/96	8/31/95	7/21/98	\$0	\$0	\$0	\$1,753	\$0	\$0	\$0	\$0	\$0
CLLAS1996-117	M. Elena Hoffstein	Linda Gray	6/19/96	1/01/96	8/16/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-120	Douglas Grundy	BBDO Canada Inc/.Apple Canada Inc.	6/26/96	4/01/96	1/15/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-121	James A. Bradshaw	Lynda Livergant	6/01/96	9/01/93	5/22/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-126	Heather A. Laidlaw	Mutual Life Assurance Company of Canada	6/26/96	6/01/96	8/19/96	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-127	Estate of FD Gibson	Estate R, Laird/W. Cohoon, W. Briggs et al	8/01/95	12/07/90	11/27/97	\$0	\$0	\$0	\$2,873	\$0	\$0	\$0	\$0	\$0
CLLAS1997-004	Estate of FD Gibson	Contra Spem Trust/James Massie et al	7/30/96	12/11/89	9/16/02	\$0	\$1,630	\$0	\$1,630	\$0	\$0	\$0	\$0	\$0
CLLAS1997-026	J. Huckle	Canada Life Assurance/Hong Kong Bank Trust Co.	10/07/96	10/01/87	1/07/99	\$0	\$47,025	\$0	\$47,025	\$0	\$0	\$0	\$0	\$0
CLLAS1997-032	RONALD J. WALKER	Lori Blundon/Mercer Benson Myles	10/28/96	9/21/94	5/29/97	\$0	\$1,471	\$0	\$1,471	\$0	\$0	\$0	\$0	\$0
CLLAS1997-060	John M. Stinson	Price Waterhouse Ltd./Trustee of Estate of E. Broo	1/30/97	10/01/95	1/03/01	\$50,000	\$120,007	\$0	\$170,007	\$0	\$0	\$0	\$0	\$0
CLLAS1997-072	D. George Kelly	Edsed Investments Limited	3/07/97		12/31/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-073	Claude R. Thomson	Plaintiffs in actn agst Thorne Riddell(Crysdale)	3/11/97	1/01/97	7/31/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-076	David G. Stinson	Julsta Investments Ltd./Sam Stabile/Robert Saroli	3/31/97	3/31/92	10/31/97	\$0	\$0	\$0	\$694	\$0	\$0	\$0	\$0	\$0
CLLAS1997-078	Kathryn L. Knight	Mary Hammond (W. Hodgson brother has Power of Att)	3/27/97	12/08/94	7/22/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-081	Roxanne McCormick	Organic Resource Mgt. Inc./Charles Buehler	4/03/97	10/30/92	6/16/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-082	Peter W. Vair	Norman Glick/Glenex Industries	4/03/97	4/01/96	11/30/99	\$0	\$0	\$0	\$841	\$0	\$0	\$0	\$0	\$0
CLLAS1997-089	Alan D'Silva	Billiton Metals Canada Inc.	5/06/97	11/30/96	11/26/97	\$0	\$2,194	\$0	\$2,194	\$0	\$0	\$0	\$0	\$0
CLLAS1997-094	ESTHER L. LENKINSKI	Rosa Rita Parker (Counsel: Miller,Miller & Maltby)	5/20/97	9/07/90	3/24/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-099	Mark S. Hayes	Livent Inc.	5/23/97	2/27/97	8/14/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-104	David C. Menzel	Arbor Memorial Services Inc.	5/16/97	1/28/97	9/03/97	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-123	Janice Sheiner	Carlo Barranca/A. Demaria/R. Salvatori/J. Barranca	6/26/97	11/30/89	4/14/03	\$0	\$154,995	\$0	\$154,995	\$0	\$0	\$0	\$0	\$0
CLLAS1998-053	David J. Coultice	Cover-All Computer Holdings Inc./Jim Coverdale	1/13/98	11/30/97	3/13/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-077	Heather A Laidlaw	Beverly Cline, Susan Fink-Friedman & Ruth Fink	4/01/98	1/01/95	4/24/98	\$0	\$0	\$0	\$139	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS1998-078	Ronald D Collins	Ralph Bonham	4/03/98	1/01/97	6/30/99	\$0	\$0	\$0	\$387	\$0	\$0	\$0	\$0	\$0
CLLAS1998-080	Robert E. Smolkin	Halbury Properties Ltd.	4/06/98	5/30/97	9/15/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-089	J. Michael Robinson,QC	Max Isaac	4/20/98	1/01/98	8/31/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-115	Scott D. Conover	CFSC Capital Corp. XI	6/15/98	1/01/96	6/23/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-127	Peter Vair	Dicomit Ltd. Partnership et al	6/30/98		9/23/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-004	David C. Rosenbaum	Various	7/15/98	6/24/98	9/30/98	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-018	Alan D'Silva	Fran Thompson/Claire Walker/Terry Collins	9/08/98	4/01/98	11/12/01	\$48,000	\$105,553	\$0	\$153,553	\$0	\$0	\$0	\$0	\$0
CLLAS1999-052	RICHARD E. JOHNSTON	Toronto Dominion Bank	10/14/98	8/12/93	12/31/13	\$0	\$139,213	\$0	\$139,213	\$0	\$0	\$0	\$0	\$0
CLLAS1999-085	Jon Levin	William Curry (+18 others)/Meadowcroft London Ltd	3/12/99	7/20/98	5/28/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-096	T. Anthony Ball	Steve Suske and Suske Family Trust	3/19/99	2/17/99	7/16/99	\$0	\$15,170	\$0	\$15,170	\$0	\$0	\$0	\$0	\$0
CLLAS1999-097	Stephen Ruby	UniHost Corporation	6/08/99	4/01/98	8/24/01	\$0	\$6,877	\$0	\$6,877	\$0	\$0	\$0	\$0	\$0
CLLAS1999-106	RUDOLPH W. GARDNER	Joseph Harberbusch/Don Valley Real Estate	6/16/99	7/15/97	11/14/01	\$0	\$8,132	\$0	\$8,132	\$0	\$0	\$0	\$0	\$0
CLLAS1999-135	C. Ian Kyer	Drums Inc.	4/21/99	12/01/98	8/24/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-136	Jonathan F. Lancaster	R.V. Anderson Associates	3/31/99	12/02/98	12/17/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-148	Pandora D. Strasler	Seradex Scientific Inc.	4/12/99	1/12/98	10/27/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-149	Roxanne E. McCormick	Diane Urquhart/Galaxy Cptial	3/31/99	3/09/99	5/21/99	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-004	Janne M. Duncan	Great Lakes National Bank/Stone Transport	7/28/99	11/17/98	12/13/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-007	Robert E. Smolkin	Philp Wellington Properties Limited	8/12/99	3/03/99	9/29/00	\$0	\$9,325	\$0	\$9,325	\$0	\$0	\$0	\$0	\$0
CLLAS2000-053	Howard Carr	Paul Bronfman et al	11/25/99	2/13/97	3/04/04	\$100,000	\$446,667	\$0	\$546,667	\$0	\$0	\$0	\$0	\$0
CLLAS2000-054	Neal J. Smitheman	Roger Sherwin	12/08/99	5/21/91	3/31/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-066	Kelley McKinnon	James & Boyden (law firm)	1/04/00	1/12/98	6/30/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-081	Kenneth C. Morlock	Bobst Canada Inc.	2/11/00	1/28/00	6/30/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-087	Brent Jay	Ritchie A. Baird	3/01/00	2/14/00	8/08/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-111	JOHN H. HOUGH	Andrew H. Holliday et al	4/27/00	1/01/99	7/20/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-115	Peter L. Roy	Terrence D. Coughlan et al	5/03/00	7/01/91	11/14/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-116	Mark A. Richardson	Day & Ross Inc.	4/26/00	11/13/98	12/22/00	\$0	\$112	\$0	\$112	\$0	\$0	\$0	\$0	\$0
CLLAS2000-128	Berkley D. Sells	The Fairways Guelph Inc.	5/30/00	1/11/00	7/04/00	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-015	ESTHER L. LENKINSKI	Patricia Susan Wood	8/31/00	3/31/00	2/02/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-024	JEFFREY KAUFMAN	IMS Canada	10/16/00	9/02/00	1/23/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-059	Lorri Kushnir	David K. Lowry et al	1/11/01	5/10/90	5/28/03	\$0	\$35,975	\$0	\$35,975	\$0	\$0	\$0	\$0	\$0
CLLAS2001-074	Andrew B. Laidlaw	Toronto-Dominon Bank et al	2/08/01	11/07/00	8/31/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-080	James A. Bradshaw	Paul John Tuz	2/16/01	12/15/93	6/26/01	\$0	\$2,411	\$0	\$2,411	\$0	\$0	\$0	\$0	\$0
CLLAS2001-085	Colleen Zimmerman	Morland Foods Inc.	2/27/01	8/09/99	3/22/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-097	Belinda J. James	Dealmakers of Canada Inc.	4/02/01	1/02/99	6/18/01	\$0	\$946	\$0	\$946	\$0	\$0	\$0	\$0	\$0
CLLAS2001-102	Scott Conover	IMoney Corp.	4/11/01	4/14/00	10/01/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-135	Donald Short	Ledcor Industries Limited and related companies	6/04/01	6/04/01	6/19/01	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-137	Douglas E. Grundy	CamVec Corporation	6/13/01	6/01/00	7/16/02	\$641,040	\$23,933	\$0	\$664,973	\$0	\$0	\$0	\$0	\$0
CLLAS2002-023	M. Elena Hoffstein	Leonard Hayward Holdings Ltd.	8/21/01	11/01/00	6/30/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-024	Claude R. Thomson	Robert McCarthy	8/23/01	8/26/90		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2002-040	David Allsebrook	Jeffrey Carefoote and Ball Brewing Co.	9/24/01	10/13/00	5/26/09	-\$49,060	\$1,000,000	\$0	\$1,049,060	\$2,188,660	\$877,791	\$0	\$0	\$3,066,451
CLLAS2002-048	T. Anthony Ball	Metro Capital Management Inc	10/05/01	2/23/01	7/23/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-049	Paul J. Martin	Betty Carr	10/05/01	2/15/97	4/17/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-096	Jonathan A. Levin	Trustee in Bankruptcy of Devcor Investment Corp.	1/02/02	12/01/94	6/03/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-114	ESTHER L. LENKINSKI	Wendy White	2/07/02	12/03/01	8/20/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-115	Philip Wolfenden	Worldwide Flight Services Inc.	2/07/02	11/05/01	6/21/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-131	Jack W. Huckle	Janice Crozier, Brooke Crozier, Katie Crozier	3/01/02	11/30/01	10/14/04	\$0	\$952	\$0	\$952	\$0	\$0	\$0	\$0	\$0
CLLAS2002-197	David Menzel	Estate of Elaine Mitchell	6/24/02	5/06/02	1/16/03	\$0	\$3,081	\$0	\$3,081	\$0	\$0	\$0	\$0	\$0
CLLAS2002-202	Alan D'Silva	U.S.E. Heckson Products Ltd.	6/25/02	4/09/01	9/15/03	\$0	\$300	\$0	\$300	\$0	\$0	\$0	\$0	\$0
CLLAS2002-233	Howard/Corin Carr/Weigl	Christina Zimmermann	6/27/02	11/15/00	4/08/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-234	David Allsebrook	Dennis Sauve, Newblock Corp	6/27/02	1/01/01	10/16/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-235	William Filipiuk	David Shemilt	6/27/02	10/01/97	7/23/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-236	Peter L. Roy	Kathryn L. Knight	6/28/02	1/17/99	5/13/09	\$600,000	\$250,000	\$0	\$850,000	\$0	\$8,773	\$0	\$0	\$8,773
CLLAS2002-237	Kelley McKinnon	Russell Bajurny	6/27/02	2/19/01	1/29/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-238	BRUCE SALVATORE	Metcap Financial Corporation	6/27/02	5/01/02	7/25/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-242	Walter Palmer	Fantom Technologies Inc.	6/28/02	6/01/02	8/19/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-243	Arthur Kitamura	Sharp Electronics of Canada Ltd.	6/28/02	6/01/92	8/19/02	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-245	David Allsebrook	1199464 Ontario Inc.	6/28/02	5/15/01	3/04/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-247	Peter Downard	Chaim Forer	6/28/02	8/01/00	3/04/03	\$0	\$367	\$0	\$367	\$0	\$0	\$0	\$0	\$0
CLLAS2003-031	Donald E. Short	Integris Metals Ltd.	10/02/02	1/08/02	4/28/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-033	William J. Bies	Sonnenschein, Nath & Rosenthal et al	10/02/02	6/18/01	8/02/11	\$0	\$16,557	\$0	\$16,557	\$0	\$0	\$0	\$0	\$0
CLLAS2003-053	William J. Bies	Estate of Lloyd MacLean	11/01/02	6/30/02	3/25/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-062	Mark Fecenko	City of Toronto	11/12/02	8/20/99	8/10/06	\$0	\$13,708	\$0	\$13,708	\$0	\$0	\$0	\$0	\$0
CLLAS2003-079	David C. Rosenbaum	CitiCapital Limited	12/12/02	11/01/02	12/31/08	\$0	\$28,516	\$0	\$28,516	\$0	\$0	\$0	\$0	\$0
CLLAS2003-098	Sara Levine	Cornerstone Estates (Rudy Mulder - principal)	1/10/03	12/22/02	10/01/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-113	Paul King	J. Darragh M. Elliott	12/14/96	12/16/91		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-122	ESTHER L. LENKINSKI	Renne Lynn Miller (nee Blackstien)	3/06/03	9/02/97	2/08/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-130	Louis-Raphael Noiseux-Lesc	Marie Helena Barbosa	3/07/03	12/06/03	3/31/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-131	Paul Martin	Antonio Comisso	3/12/03	10/20/00	12/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-154	Peter/Gideon Downard/Forr	JIT Automation Inc.	2/18/03	11/22/02	7/10/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-163	Donald Short	Ms. Young Park	4/28/03	11/22/02	1/12/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-179	Neil Henderson	Pan Jit Americas	5/21/03	4/11/03	9/30/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-034	JOHN T. MORIN	Plaza Pontiac Buick Limited	10/20/03	6/27/03	12/31/03	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-037	Neil Henderson	North American Salt Company	10/29/03	3/08/03	4/01/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-038	Neil Henderson	Jonathan Crinion	10/30/03	7/31/03	8/04/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-042	David Vincent	Rio Algom Limited	11/12/03	1/01/03	1/07/04	\$0	\$3,135	\$0	\$3,135	\$0	\$0	\$0	\$0	\$0
CLLAS2004-053	Daniel R. Law	Robert Kernerman and York Mobile Homes Ltd.	11/26/03	12/29/97	10/06/05	\$0	\$23,102	\$0	\$23,102	\$0	\$0	\$0	\$0	\$0
CLLAS2004-105	Heather Devine	Mohamed Ovington Kamara	1/15/04	9/17/01	5/27/04	\$0	\$7,217	\$0	\$7,217	\$0	\$0	\$0	\$0	\$0
CLLAS2004-123	Adam K. Szweras	George Marchi et al	3/11/04	2/01/02	10/12/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2004-124	Mark A. Camilleri	Bobst Canada Inc.	3/10/04	12/10/03	5/27/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-127	Donald Short	Tony Calabrese o/a Am-Pro Sports	3/15/04	9/13/02	10/14/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-133	Alan L. W. D'Silva	Jay Hamilton Associates Inc.	3/18/04	3/01/02	8/04/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-134	Colleen Spring-Zimmerman	Ken Stapleton and/or Arrow Int'l Inc.	3/16/04	7/31/04	8/21/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-149	Michael Bourassa	Robert, John and William Norcott	1/06/04	4/07/03	9/30/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-151	John Elias	Lloyds TSB/Ashtead Technology	4/30/04	6/27/03	2/16/05	\$0	\$1,463	\$0	\$1,463	\$0	\$0	\$0	\$0	\$0
CLLAS2004-186	Alan M. Schwartz	Kagan Zucker Feldbloom Shastri/Cda Trust Co.	6/16/04	2/15/98	4/07/05	\$0	\$8,289	\$0	\$8,289	\$0	\$0	\$0	\$0	\$0
CLLAS2004-216	Douglas Cannon	Don Johnson	6/28/04	10/10/01	12/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-032	Belinda James	Estate of Stephan Fylak	9/30/04	9/30/88	12/31/06	\$40,000	\$14,202	\$0	\$54,202	\$0	\$0	\$0	\$0	\$0
CLLAS2005-053	Jennifer McAleer	Canadian Pacific Railway	11/17/04	2/10/04	8/24/05	\$5,000	\$0	\$0	\$5,000	\$0	\$0	\$0	\$0	\$0
CLLAS2005-054	Bruce Blain	Northern Financial Corporation	11/22/04		12/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-056	JOHN A. CAMPION	Chaitanya K. Kalevar	12/07/04	3/24/99	12/31/04	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-058	Neil Henderson	Carnitech et al	12/14/04	12/13/03	8/24/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-060	Neil Henderson	Prediwave Corporation	12/23/04	11/30/03	8/24/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-061	Belinda James	Hadrian Manufacturing Inc.	12/31/04		9/29/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-112	Robert Eberschlag	Schneider Power Inc. et al	1/19/05		2/28/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-125	Alan Schwartz	CHUM Limited	2/10/05	12/01/04	1/12/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-160	Charles Higgins	RNC Gold Inc.	3/30/05	2/17/05	6/29/07	\$0	\$17,289	\$0	\$17,289	\$0	\$0	\$0	\$0	\$0
CLLAS2005-168	David A. Hausman	Benjamin Gelfand	4/28/05		11/02/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-216	Howard Carr	Jeffrey and Richard Cooper	6/15/05	3/01/05	11/02/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-015	Catherine M. Wiley	Sally Isaacs/Estate of Han Fonged Nip	8/25/05	8/03/05	9/30/05	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-112	Mitchell Thaw	Electrovaya/Dr. James Jacobs and Dr. Gas Gupta	3/21/06		2/23/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-142	Tracy A. Pratt	Ilias Kaperonis	5/10/06	5/09/06	9/30/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-193	David C. Rosenbaum	Juch-Tech Inc.	6/30/06	6/07/05	10/31/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-007	Bozidar Crnatovic	Homeland Uranium Inc.	7/27/06	1/27/06	9/06/06	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-016	Jonathan F. Lancaster	The Wellesley Central Health Corporation	8/01/06	7/30/05	4/07/10	\$0	\$92,568	\$0	\$92,568	\$0	\$0	\$0	\$0	\$0
CLLAS2007-166	M. Elena Hoffstein	Beneficiaries of the Estate of Dennis Marsh	6/30/07	10/20/06	8/28/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-016	Alan M. Schwartz	CHUM Ltd (CTV Globemedia)	8/20/07	6/18/07	12/31/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-017	Lisa M. Marcuzzi	Shlomo Scheinback, Rocky Investment Holdings et a	8/16/07	11/15/06	8/28/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-041	Charles A. Toth	PolyOne Canada Inc.	11/05/07	10/15/07	2/15/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-053	Steven F. Rosenhek	Canadian Pacific Railway Company	11/27/07		12/14/07	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-091	David C. Rosenbaum	Dr. Sanheev Kaila and BWSC Holdings Inc.	1/16/08		6/06/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-094	Steven Rosenhek	Canadian Pacific Railway Company	1/21/08	12/04/07	6/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-095	Daniel Law	Harry Birman et al	2/08/08	4/09/03	12/23/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-103	Melisse Willems	Canadian Pacific Railway Company	2/18/08	2/26/07	2/24/09	\$0	\$1,433	\$0	\$1,433	\$0	\$0	\$0	\$0	\$0
CLLAS2008-117	David A. Gourlay	Multisoft et al (Mitchell McDermid)	3/20/08		9/22/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-126	Neal J. Smitheman	Marshel Cohen	4/16/08	12/31/04	12/09/13	\$700,000	\$300,000	\$0	\$1,000,000	\$0	\$0	\$0	\$0	\$0
CLLAS2008-193	Michael J.W. Round	Mr. Jethwani and Investment Recovery Group	12/08/09		3/31/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-005	Elizabeth Gouthro	Hayes Bicycle Group Inc.	7/25/08		8/20/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2009-023	Robert Harrison	MasTec	9/11/08		9/18/08	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-032	Ronald McCloskey	Barry Goldman	10/09/08		3/11/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-042	David C. Rosenbaum	iTrade Finance Holdings Inc.	10/22/08	10/01/07	6/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-043	Catherine Wiley	Natalie Hajek	10/23/08	3/27/07	6/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-052	Steven Rosenhek	Class Action Purchasers of High Fructose Corn Syr.	11/17/08	10/16/08	4/15/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-053	Neil J. Smitheman	Marshel Cohen (The Plan Group)	11/13/08	12/12/05	12/09/13	\$660,000	\$0	\$0	\$660,000	\$350,000	\$0	\$0	\$0	\$350,000
CLLAS2009-093	Robert W. Cosman	Bruce Bergez	1/15/09		6/30/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-122	Lisa M. Marcuzzi	Virco Pharmaceuticals (Canada) Co. ("Virco")	3/24/09	7/16/06	4/10/14	\$80,000	\$48,354	\$0	\$128,354	\$0	\$0	\$0	\$0	\$0
CLLAS2009-136	David Halasz	Brianne Lloyd and Joel Broderick	4/24/09	12/30/08	11/02/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-140	Robert S. Harrison	Koch Industries Inc. or INVISTA (Trans) Company	5/05/09		11/02/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-194	Berkley D. Sells	Thales Canada Inc.	6/30/09	4/30/09	8/27/09	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-202	STEPHEN T.P. RISK	Elizabeth Fairley/Hendrick Warner	3/13/09		6/02/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-016	PAUL R. KING	Koch Chemical Technology Company	9/03/09	11/28/08	1/13/10	\$0	\$2,112	\$0	\$2,112	\$0	\$0	\$0	\$0	\$0
CLLAS2010-017	PAUL R. KING	Sun Media Corporation	9/03/09	12/01/08	4/06/11	\$0	\$3,544	\$0	\$3,544	\$0	\$0	\$0	\$0	\$0
CLLAS2010-018	PAUL R. KING	Alvest Realties (Ont.) Inc.	9/03/09	3/07/08	10/13/10	\$0	\$5,131	\$0	\$5,131	\$0	\$0	\$0	\$0	\$0
CLLAS2010-090	William Alfred Apps	Darryl Ian Sheriff	1/11/10	9/02/08	11/19/12	\$0	\$109,962	\$0	\$109,962	\$0	\$0	\$0	\$0	\$0
CLLAS2010-115	Claude Thomson	Marcia-Joy Sandra Evans (nee Rothstein)	3/05/10		5/11/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-121	Peter S. Ascherl	Rochling Engineering Plastics Ltd.	3/15/10	6/01/09	9/22/10	\$0	\$4,693	\$0	\$4,693	\$0	\$0	\$0	\$0	\$0
CLLAS2010-136	Michael J.W. Round	Investment Recovery Group (IRG)/Mr. Jethwani	9/12/09	1/01/09	1/11/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-145	Jennifer McAleer	Glen Day	4/22/10	4/07/08	12/04/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-154	David Douglas Robertson	Interpaving Limited	5/07/10		6/03/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-155	Tai W. Nahm	RIM (Research in Motion) Limited	5/13/10	2/26/10	7/07/10	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-162	Howard M. Carr	Kerry Winter, Jeffrey Barkin, Paul Barkin and Dana	5/25/10	12/07/93	12/17/10	\$0	\$8,215	\$0	\$8,215	\$0	\$0	\$0	\$0	\$0
CLLAS2011-058	Daniel Law	Aldeasa Vancouver Ltd Partnership	12/01/10	11/07/06	3/31/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-114	Melisse Willems	Canadian Pacific Railway Company	2/18/11		1/03/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-138	Donald/ Elizabeth Milner/Gouthro	The Natural Power Consultants Ltd./Murgitroyd & Co	3/18/11	9/21/09	3/31/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-148	Howard Carr	James Posluns	4/01/11	1/15/90	3/07/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-157	Berkley D. Sells	Grip Limited	4/11/11	4/01/11	6/29/11	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-010	Lisa Marcuzzi	Shel-Lorne Holdings Inc./Encore Sales	7/28/11	8/14/09	8/28/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-018	Estate of FD (dec) Gibson	Bruce Weppler	8/30/11		6/30/12	\$0	\$0	\$0	\$0	\$0	\$96,884	\$0	\$0	\$96,884
CLLAS2012-022	Rubin Rapuch	Zyngui Haixi Corp re CIBC World Markets Inc.	9/01/11	12/21/09	9/10/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-028	Howard M. Carr	Harold J. Wolfe Family trust No. 2	9/23/11	10/08/92	9/11/13	\$0	\$69,173	\$0	\$69,173	\$0	\$0	\$0	\$0	\$0
CLLAS2012-042	Stuart Brotman	Steve Tobias and Logotech Inc.	10/28/11	3/31/09	1/09/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-101	Howard M. Carr	Leora Glick	2/08/12	11/06/08	4/23/12	\$0	\$2,991	\$0	\$2,991	\$0	\$0	\$0	\$0	\$0
CLLAS2012-109	Alfred Apps	Ornge	2/27/12	1/31/11	3/25/13	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-123	Sean Stevens	Upper Lakes Group Inc.	3/14/12	1/01/05	8/29/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-125	Marina/John Mark Seidl/Stinson	LCIL Ltd. and CCIL Ltd.	3/15/12		5/07/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-163	Howard Carr	David Bronfman	6/07/12	12/13/91	12/31/13	\$0	\$5,429	\$0	\$5,429	\$0	\$0	\$0	\$0	\$0
CLLAS2013-001	Peter N. Mantas	Spiral Inc and Spiral Aviation Corp.	7/10/12	7/03/12	7/12/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2013-015	Michael Round	Tom Falus	8/22/12	5/17/12	9/13/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-016	Georges Dube	Benefact Consulting Group Inc.	8/22/12	2/04/10	9/30/12	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-039	Alan M. Schwartz	Andrew McCain	11/02/12	6/03/03	3/11/14	\$0	\$16,687	\$0	\$16,687	\$0	\$0	\$0	\$0	\$0
CLLAS2013-052	Alan M. Schwartz	Elizabeth Apold re the McBeth Trust	12/06/12	6/03/93	3/11/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-054	Alan M. Schwartz	Mary McCain re the Mary McFamily Trust	12/10/12	6/03/03	3/11/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-091	Esther Lilian Lenkinski	Lorraine Waldman	2/22/13		4/24/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-098	Darrell Jarvis	International Fleet Sales Inc.	3/15/13	7/12/10		\$0	\$14,870	\$191,678	\$206,548	\$0	\$0	\$0	\$0	\$0
CLLAS2013-113	Maria Elena Hoffstein	Maury Chaykin Estate and Susannah Hoffman	4/30/13	12/06/05	5/13/16	\$0	\$9,020	\$0	\$9,020	\$0	\$0	\$0	\$0	\$0
CLLAS2013-114	Jennifer McAleer	DCR Strategies	4/30/13		8/21/14	\$0	\$141	\$0	\$141	\$0	\$0	\$0	\$0	\$0
CLLAS2014-004	Howard M. Carr	Lisa Gelb	7/24/13	5/07/09	5/21/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-038	Robert Harrison	Mutual Financial	10/30/13	3/14/13	10/27/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-048	Gerald L.R. Ranking	Donald Best	11/26/13	11/17/09	6/30/16	\$0	\$26,584	\$0	\$26,584	\$0	\$0	\$0	\$0	\$0
CLLAS2014-055	Gideon C. Forrest	The Peter Rothbart Family Trust	12/13/13	6/14/13	11/02/15	\$0	\$3,955	\$0	\$3,955	\$0	\$0	\$0	\$0	\$0
CLLAS2014-057	Keri Gammon	Breakwater Resources Ltd	12/11/13	4/26/13	3/24/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-059	Neil M. Smiley	MTCC 713 (Metropolitan Toronto Condominium Corp	12/18/13	10/26/04	5/01/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-013	JEFFREY KAUFMAN	AAD Investments/Robert Sansone	8/01/14	4/02/14	12/17/14	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-018	Maria Elena (Elena) Hoffstein	Virginia and Stuart Shanker	9/02/14	1/30/14	12/10/15	\$0	\$51,850	\$0	\$51,850	\$0	\$0	\$0	\$0	\$0
CLLAS2015-080	May Cheng	Andrey Pinsky	2/10/15	12/23/13	6/05/15	\$0	\$121	\$0	\$121	\$0	\$0	\$0	\$0	\$0
CLLAS2015-103	Howard Carr	Estate of John Telesphore Bart	4/09/15	2/17/12	9/01/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-109	Jennifer M. McAleer	1104783 Ontario Inc.	4/20/15	1/02/10	9/10/15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-113	Fida Hindi	Shashi and Raj Aggarwal	5/01/15	2/10/15	6/30/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2015-120	(Maria) Elena Hoffstein	Holland Bloorview Kids Rehabilitation Hosptial	5/14/15	8/01/10	1/29/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-016	Howard Carr	David Shiffman	8/24/15	5/22/07	1/15/16	\$0	\$435	\$0	\$435	\$0	\$0	\$0	\$0	\$0
CLLAS2016-019	M. Elena Hoffstein	Antoinette Larizza	9/04/15	9/12/12		\$0	\$10,540	\$43,849	\$54,389	\$0	\$0	\$0	\$0	\$0
CLLAS2016-033	Richard Yolande Cheung	Dimaris Corporation	10/20/15	8/22/15	3/07/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-038	Corina Weigl	Wendy Wargalla,James A. Grierson Estate	10/30/15	9/16/15	6/30/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-042	Allan Beach (not practising)	R.J. McCarthy Limited,Martin McCarthy	11/07/15	12/12/90		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-062	M. Elena Hoffstein	Ellen Remai et al.	12/22/15	9/08/14	1/09/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-065	Anthony Baldanza	Ulma Construction Systems Canada Inc.	12/29/15	1/01/15	3/30/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-102	Neal Smitheman	Solid Gold Resources Corp.	3/14/16	11/07/11	7/11/16	\$0	\$3,105	\$0	\$3,105	\$0	\$0	\$0	\$0	\$0
CLLAS2016-103B	Janice Javier	840501 Alberta Limited,Phoenix Precision Ltd.	3/15/16	1/07/16		\$0	\$0	\$10,000	\$10,000	\$0	\$0	\$0	\$0	\$0
CLLAS2016-107	Allan Beach	Lorne Piett	3/23/16	3/10/04		\$0	\$226,944	\$773,056	\$1,000,000	\$0	\$0	\$1,000,000	\$1,000,000	\$1,000,000
CLLAS2016-138	Howard Carr	Lorie Isreal,Veronica Gold,Karen Gold,Marla Gold,Jason Gold	4/28/16	6/07/05	10/17/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2016-139	John Elias	NATIONAL BANK OF CANADA	5/16/16	5/22/14	6/12/18	\$0	\$11,999	\$0	\$11,999	\$0	\$0	\$0	\$0	\$0
CLLAS2016-146	J. Anthony VanDuzer	Lisa Niblett	5/30/16	9/15/87	7/24/17	\$0	\$1,671	\$0	\$1,671	\$0	\$0	\$0	\$0	\$0
CLLAS2016-147	Brian Graves	Braydon Capital Corporation	6/01/16	1/07/14	6/21/17	\$0	\$6,231	\$0	\$6,231	\$0	\$0	\$0	\$0	\$0
CLLAS2017-026	Allan Beach	Berkshire Funding Initiatives Limited	8/30/16			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-045	Howard Carr	Diane Allison Volgyesi,Estate of Tula Allison	10/06/16	10/17/06	10/24/17	\$0	\$26,908	\$0	\$26,908	\$0	\$0	\$0	\$0	\$0
CLLAS2017-049	Howard Carr	Victoria Sadowski,Estate of Marvin Sadowski	10/31/16		12/07/16	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Bordereau

As at December 31, 2018

LSUC

Firm: Fasken Martineau DuMoulin LLP

CLLAS Claim Number	Insured	Claimant	Report Date	Error Date	Date Closed	Indemnity Pd (LS)	Legal Pd (LS)	Current Reserve (LS)	Incurred Liability (LS)	Indemnity Pd (CLLAS)	Legal Pd (CLLAS)	Reserves Indemnity (CLLAS)	Reserves Legal (CLLAS)	Incurred Liability (CLLAS)
CLLAS2017-061	Alexander D. Cameron	CEDROM	12/01/16	5/06/16	10/03/18	\$0	\$6,359	\$0	\$6,359	\$0	\$0	\$0	\$0	\$0
CLLAS2017-066	Andrew Nunes	Handybook	12/08/16	7/30/16	1/12/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-080	Alexander D. Cameron	Italcan Imports Inc., Villa Di Manno	1/04/17		3/28/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-107	Howard Carr	Estate of Albert Latner	2/21/17	10/23/09	12/05/17	\$0	\$3,248	\$0	\$3,248	\$0	\$0	\$0	\$0	\$0
CLLAS2017-131	May Cheng	Steven J. Rabkin	4/19/17	7/31/14	5/09/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-138	Alan M. Schwartz	Anthony Dempsey	4/24/17			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-140	Anthony Baldanza	Ralph Clausi, Rasbo Holdings Inc.	5/03/17	1/08/04	11/30/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-153	J.W. HUCKLE	Estate of Nellie Maud Butler	6/08/17		11/07/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-157	Pierre Samuel (Peter) Ascheri	Enercon Canada Inc.	6/20/17	1/18/17		\$9,658	\$7,397	\$482,945	\$500,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-007	Barbara Miller	Tiffany Gate Foods Inc.	8/08/17	7/29/17	3/08/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-009	Mark Brennan	Derek Martin	8/17/17	1/28/15	10/03/18	\$0	\$2,848	\$0	\$2,848	\$0	\$0	\$0	\$0	\$0
CLLAS2018-014	Darrell Jarvis	Wiley Rein LLP	9/12/17	3/01/13	11/06/18	\$0	\$6,403	\$0	\$6,403	\$0	\$0	\$0	\$0	\$0
CLLAS2018-019	Corina Weigl	Joel Moreland, Anna Harcourt Brown	9/11/17	9/04/09	10/25/17	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-029	Lynne Golding	The Leukemia & Lymphoma Society, Inc.	10/24/17	10/10/14	2/26/18	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-051	Howard Carr	Chaim Lustig Estate	1/09/18	11/15/16		\$0	\$8,310	\$11,690	\$20,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-055	David C. Rosenbaum	Bank of Nova Scotia Trust Company	1/23/18	12/18/17	12/05/18	\$0	\$5,560	\$0	\$5,560	\$0	\$0	\$0	\$0	\$0
CLLAS2018-072	Sarah J. Armstrong	Automodular Corporation	3/02/18	2/17/18		\$0	\$10,699	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-091	Corina Weigl	Lark Investments Inc.	4/20/18	7/24/15		\$0	\$0	\$20,000	\$20,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-095	Andrew Nunes	Side Effects Software Inc.	5/01/18	4/02/18		\$0	\$0	\$99,000	\$99,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-101	Michael Scott Boehm	Shuming Du, Beijing Hehe Fengye Investment Co. Ltd	5/08/18	6/21/16		\$0	\$0	\$40,000	\$40,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-112	Norman A. Keith	CF+D Custom Fireplace Design Inc.	6/12/18	7/04/16		\$0	\$0	\$15,000	\$15,000	\$0	\$0	\$0	\$0	\$0
CLLAS2018-127	Anil Aggarwal	Echo Bay Advisors Inc.	7/03/18	11/03/17		\$0	\$15,678	\$9,322	\$25,000	\$0	\$0	\$0	\$0	\$0
CLLAS2019-038	Byron Loeppky	3974391 Canada Inc.	10/11/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2019-052	Al Gourley	CuCo Resources Limited	12/19/18			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Total: **\$4,242,565** **\$5,387,321** **\$1,696,540** **\$11,543,252** **\$7,246,420** **\$1,068,306** **\$1,000,000** **\$1,000,000** **\$9,314,726**

CLLAS 2019-063	John Kruk	PIMCO Canada Corp.	1/16/19	12/20/18
CLLAS 2019-081	David Neil Corbett	Rulof et al.	3/07/19	

APPENDIX "F"
FASKEN MARTINEAU - QUEBEC REGION
SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 29, 2019



CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

CLLAS Claim Number	Statut O/F	Date Closed	FARBQ #	Avocat responsable	Nom	Prénom	Réclamant	Commentaires CLLAS	Statut du dossier (Vérificateurs)
CLLAS2017-025	O			DL	Dion	Guy	Joshua Bennet Wallace	March 2016: Notice to insurers is forthcoming 03/29/2018: No further development 03/29/2019: Letter to CLLAS - no developments	Mars 2016: Un avis aux assureurs suivra bientôt 14 juillet 2017: Aucun développement. 29/03/2018: Aucun nouveau développement 29/03/2019: Aucun nouveau développement
CLLAS2017-001	O		16-0304	AY	Béliveau	Nathalie-Anne	Jill Eusano, David Bannon	03/24/2017: Action has been taken again FM re: Reimbursement of Professional Fees. (\$37500). FM is being represented by the Insurance Company so no fees to pay. 03/29/2018: No further development 03/29/2019: A settlement conference is scheduled on September 13, 2019.	24/03/2017: Une action a été intention contre FM au montant de 37 500 \$. FM est représenté par les avocats de la compagnie d'assurance, alors il n'y aura aucun frais à payer. 29/03/2018: Aucun nouveau développement. 29/03/2019: Une conférence de règlement à l'amiable est prévue le 13 septembre 2019.
CLLAS2017-014	O			AY	Gilbert	Frédéric	Pierre Maclure	03/24/2017: No further development. 03/29/2018: No further development. 03/29/2019: No further development. Case no 500-17-096721-165 has been scheduled for trial from November 25, 2019 to December 1, 2019 and Case no 500-17-094400-168 has been scheduled for trial from May 6 to May 10, 2019.	24/03/2017: Aucun nouveau développement. 29/03/2018: Aucun nouveau développement. 29/03/2019: Aucun nouveau développement. Procès pour le dossier numéro 500-17-096721-165 fixé du 25 novembre 2019 au 1er décembre 2019 et le procès pour le dossier numéro 500-17-094400-168 fixé du 6 mai au 10 mai 2019.
CLLAS2018-003	O		17-0395	AY	Auger	Claude	George Patsalis	03/29/2018: No further development. 03/29/2019: Lawsuit that was filed against George Patsalis for unpaid fees. Cross-claim by the plaintiff alleging professional negligence. Trial readiness fixed on June 13, 2019.	29/03/2018: Aucun nouveau développement. 29/03/2019: Recours déposé contre George Patsalis pour réclamer des honoraires impayés. Demande reconventionnelle du réclamant pour faute professionnelle. Date de mise en état a été fixée au 13 juin prochain 2019.
CLLAS2018-010	O		17-0427	AY	Hyuk	Kang Lee	Fenwick & West LLP,Intuit	03/29/2018: No further development. 03/29/2019: No further development.	29/03/2018: Aucun nouveau développement. 29/03/2019: Aucun nouveau développement.
CLLAS2018-022	O		17-0505	AY	Béliveau	Amélie	Fenwick & West LLP,Filld Inc	03/29/2018: No further development. 03/29/2019: No further development.	29/03/2018: Aucun nouveau développement. 29/03/2019: Aucun nouveau développement.
CLLAS2018-034	O			DL	Aylwin, Leblanc, Tacheji	Antoine, Christian, Marc James	Benoit Deschênes	03/29/2018: No further development. 03/29/2019: No further development.	29/03/2018: Aucun nouveau développement. 29/03/2019: Aucun nouveau développement.
CLLAS2018-093	O		18-0238	AY	Aylwin	Antoine	Mercury Terrain & Maison,Judith Rottman,Alan Singer	03/29/2019: No further development.	03/29/2019: Aucun nouveau développement.
CLLAS2018-105	O		18-0282	AY	Ang	Ponora	Michael Coveny	03/29/2019: No further development.	29/03/2019: Aucun nouveau développement
Making inquiries of firm	O			DL	Semerjian	Chris	Kenza Baroudi	03/29/2019: not reported to CLLAS	29/03/2019: Demande de transfert au comité d'arbitrage des comptes d'honoraires.
2018-011 s/be file 2017-117 and not as shown	O			AY	Chabot	Isabelle	Creaform en chine	03/29/2018: No further development. 03/29/2019: No further development.	29/03/2018: Aucun nouveau développement. 29/03/2019: Aucun nouveau développement.
2019-096	O		19-0184	DL	Bélanger	Carl	Banque de développement du Canada	03/29/2019: Notice sent to CLLAS.	29/03/2019: Avis transmis à CLLAS
2019-091	O		19-0199	DL	Retsinas	Elias	Canadian Pacific Railway	03/29/2019: Notice sent to CLLAS.	29/03/2019: Avis transmis à CLLAS

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Fasken Martineau DuMoulin LLP

Please provide a full description of the Firm's most current risk management policies and procedures (including risk management audits) or, if appropriate, an update to your response to Appendix G of last year's renewal application.

(SEE ATTACHED)

Firm Management and Internal Policies and Procedures

1. Firm Background and Governance

Prior to 2007, the firm was the result of the merger on February 1, 2000 of three regional firms: Fasken Campbell Godfrey in Toronto, Martineau Walker in Montreal and Quebec City and Russell and DuMoulin in Vancouver.

We now have additional officer in London, Johannesburg, Calgary, Ottawa and Paris.

Governance

Our Firm is managed through a Partnership Board of elected members led by a Board Chairman.

The guidance of the Partnership Board is to the Firm Managing Partner who in turn oversees the Management Team of the Firm constituted of the Regional Managing Partners and Senior Executives.

The Management Team meets weekly by video conference and at least quarterly in person.

2. Lawyer Management (Training and Supervision)

Partners report to the Regional Managing Partner and are formally evaluated each year in connection with the annual compensation review. As part of this process, all partners are subject to peer review. The criteria and expectations are set by the Regional Compensation Committee which is responsible for the review process.

Associates are evaluated annually under a rigorous review process co-ordinated and managed by a Professional Development Committee comprised of partners and supported by full time professional personnel staff who also administers the recruiting and training programs. Associates come under the direct supervision of partners in the delivery of legal service to clients. Each associate has a partner mentor who monitors their work allocation and client service performance, and serves as an advisor and sounding board for addressing professional issues and any concerns that may arise. Regional Associate Committees are elected in the regions by the associates to represent them collectively in their relationship with the partners, the office and the Firm.

Lawyers are organized into National Practice Groups for the purposes of enhancing cooperation within the Firm, developing new business and ensuring that our service capabilities are well understood within the Firm so that clients can be provided with the best service possible. Large clients also have a Client Team, represented by the various practice groups that might interact with the client, to oversee the delivery of legal services and to enhance the relationship with the client.

Client service is both influenced and observed by the National and Regional Practice Group Leaders and the Team Leaders of Client Teams. Each of these Groups and Teams submit annual written business plans that are reviewed, approved and monitored by the Management Team and include the development of precedents, the implementation of internal professional training and the sponsorship of attendance at external training and professional seminars, as well as, the organized pursuit of business development opportunities. The written firm precedents are available to the lawyers via the office intranet and/or document management system. .

There are various continuing education requirements our lawyers must complete annually in order to maintain their license. There vary somewhat by the region/country upon which they practice.

3. Non-lawyer Practitioners

The Firm is not a Multi-discipline Partnership (MDP) under which non-lawyer professionals are eligible for partnership in a law firm. Non-lawyer professionals are employees of a separate entity, FMD Partners, G.P. Their services are contracted by lawyers in the law partnership, and as such, provide the required supervision within the rules and regulations of the law societies.

4. New Business

New clients and new matters can only be accepted by partners and associates in accordance with work acceptance policies or practices for each Region/office that include guidelines and considerations relating to conflict search requirements, professional issues (legal and ethical standards, expertise and capacity), financial matters (credit assessments, billing arrangements, fee estimates, retainer deposits) and engagement letters.

"Independent legal advice" on a casual basis can only be rendered by lawyers of the Firm in accordance with guidelines which include conflict search requirements.

New clients, new matters and independent legal advice, after conflict search clearance and partner acceptance, require the completion of file opening documentation that populates the Firm's databases, including the conflict database.

New client and matter lists, appropriately masking approved confidential clients and matters, are circulated weekly.

5. Conflicts

The Firm has a Conflicts and Ethics Committee, which is made up of partners from each Region/office with conflict oversight responsibility carried out in accordance with a written national Conflict of Interest Protocol that provides guidelines and considerations relating to conflict review procedures, legal and business conflicts, ethical walls, and file opening and closing procedures. Where appropriate, potential conflicts are referred to an independent member of the Committee and, if necessary, the Firm and/or Regional Managing Partners. When a conflict is seen to exist, the engagement is only accepted if the client is advised. If appropriate, the client is advised in writing to consider consulting another law firm about the conflict and to consider obtaining independent legal advice.

The Firm maintains a national conflicts database which the lawyers access through full time professional conflict search administrators in each Region, who have access to the conflicts database through the Firm's wide area network. The conflicts database includes client and matter name and address, responsible lawyer, opposing party, client subsidiaries, client principals, as well as terrorist groups identified by government authorities.

When deemed appropriate and necessary by the responsible partner, the Firm's voicemail and/or email system is used to forewarn lawyers not to entertain the acceptance of engagements or provision of independent legal advice in connection with parties to certain impending transactions or events when discussions are already taking place that may lead to the acceptance of a file by that partner, who has conducted a conflict search in its connection.

6. Retainer Letters

The Firm policy governing Work Acceptance includes a specimen Engagement Letter for adaptation to the particular circumstance. It encompasses the scope of the services to be performed and Firm's billing rates and policies. The use of an engagement letter is recommended, particularly in the case of new clients, but is not mandatory and its use remains the decision of the partner responsible for the file.

7. Docket and Calendar

Firm-wide computer systems provide for the control of statute dates and other critical deadlines, use specialized systems for certain areas of practice. The patent and trademark practice uses a commercially available web-based due date software system and an external trademark watching service. Data input reports from the centralized systems are provided to the responsible lawyer and date reminder reports are issued to the responsible lawyer weekly.

8. Custody of Client Trust Funds and Assets

The Firm has in its custody, from time-to-time, client trust funds. Detailed accounting records are maintained of the handling and/or investment of such funds. These records satisfy or exceed the regulations of the governing provincial law societies. The policies and procedures for the custody, handling and recording of such trust funds are reviewed in connection with the annual audit by the Firm's auditors and/or rotating audits by the auditors of the respective law societies.

Trust funds are segregated and deposited with financial institutions. Experienced trust clerks are employed to administer the movement of client trust funds. Such transactions require the written requisition of the applicable partner. Trust cheques require dual signatures, one of whom must be a partner, and neither of whom signed the original requisition.

9. Fee Disputes

The Firm recognizes that fee related disputes with clients may lead to claims and/or suits that may or may not be warranted and, accordingly, has in place a number of management processes to minimize the unwarranted escalation of such disputes.

The Firm's work acceptance practices include guidelines for advising clients on fees when taking on an engagement. A summary of the Firm's billing rates and practices is available on the intranet and/or document management system for inclusion in a Retainer Letter. Retainer or client engagement letters are recommended, but the decision to issue one is, in each case, the responsibility of the partner accepting the file.

Clients are billed monthly, with any exceptions requiring the approval of a member of the Finance Committee. Billing formats are selected from a number of Firm standardized formats. Invoices are generally detailed, including a description of the underlying dockets. Detailed aged reports of unbilled work-in-process and accounts receivable are provided to the responsible lawyers managing the file monthly (and in some cases weekly). Finance Committee monitors or FGA's (Finance Group Administrators) regularly review the status of client files making up these aged reports with the responsible lawyers. Financial sanctions are available to the Chair of the Finance Committee and management for reinforcement of perceived unsatisfactory management of billings and collections prior to the annual lawyer performance evaluation and compensation review.

A Collection Department staffed with professional credit and collections administrators, are maintained in each of the Regions. The Collectors work closely with the lawyers to address delinquent accounts and negotiate payment arrangements where necessary. The Collection Department also works with the Finance Committee and the Finance Partner in each office to handle specific problem matters, which may include situations where the client expressed dissatisfaction with the professional service of the Firm and its lawyers. Any circumstance, identified by the Collection Department in this process, that may lead to an unreported claim, is reported to the partner responsible for claims management as well as the partner responsible for the file.

When deemed necessary and valuable to an individual client relationship, management will conduct a formal or informal professional service audit and assessment.

On occasion, clients submit the fees billed to them to the formal judicial legal fee assessment process available to address fee disputes between lawyers and clients. On occasion, the Firm, after assessing the risks and costs, pursues payment of fees through the courts.

10. Management of Client Claims and Suits

Each Region has a partner assigned to manage the reporting and documentation of occurrences that may lead to client claims and suits.

Annually, in connection with the application for renewal of the Firm's Errors and Omissions Insurance, each lawyer and non-lawyer professional is required to report in writing whether they are aware of any facts that may result in a claim, and provide a memorandum on any such circumstances that have not previously been reported and an update of the status of previously reported claims. A database is maintained of these claims and the reporting on the status of such claims.

11. Confidentiality of Client Information and Insider Trading

The Firm has a policy on Confidentiality and Securities Investment that covers all personnel, their spouses, family and other household members. It covers the preservation of the confidentiality of information, and detailed descriptions and preclusions relating to insider trading, investing in the securities of clients and others, and the use of discretionary accounts. All personnel must sign and return a copy of this policy acknowledging that they have read and understand the policy, which indicates among its terms that "failure to adhere to this policy may result in immediate termination".

A list of the Firm's public company clients is maintained on the Firm's intranet and assessable to all members of the Firm.

Where appropriate, the Firm provides professional services behind "ethical walls" to preserve client confidentiality and to preclude ethical and business conflicts.

12. Audit Enquiries

Audit enquiries are circulated by broadcast message on the Firm's email system.

13. Lawyers as Directors and/or Officers

The Firm has a policy governing lawyers becoming directors or officers. Such elections or appointments can only be accepted under the strict criteria set out under the policy and the approval of the Regional Managing Partner or his/her designee. There is an expectation that underlying Officers and Directors Insurance be present and that, in the case of private companies, shareholder indemnities and/or unanimous shareholder agreements be obtained. The remuneration relating to such appointments may be paid directly to the individual, but is accounted for as revenue to the Firm by adjusting the lawyer's income.

A database is maintained of all such directorships.

14. Lawyers Business Affairs

The Firm has a policy addressing the potential for Conflicts of Interest between Lawyer and Client Business Dealings With Clients or Assets Controlled By Clients, and provides instructions for the avoidance of personal business dealings with clients to whom they personally provide professional services.

Lawyers understand that, unless the Firm otherwise expressly consents, they are to devote all of their working time and attention to the Firm's business. Accordingly, if they have personal business to conduct, it is to be done in a fashion that does not imply that it involves the Firm and such personal business is not to be conducted from the Firm's premises or using the Firm's letterhead.

Specific Responses to Section 11

11 A. There are full time office managers administrators in each office, reporting to the Chief Administrative Officer and to the Managing Partner of the office. The Firm also has a full time Chief Financial Officer, a full time Chief Marketing Officer and a full time Chief Technology Officer reporting to the Firm Managing Partner.

11 B. With the exception of the London office, the Firm does not have a formalized Firm-wide Risk Management program. The Firm has, however, the intention to retain a consultant manager to develop a Firm-wide comprehensive program.

11 C. Firm-wide Risk Management Manuel? No manual is available but will be developed in conjunction with the program in 11 B. above

11 D. Risk Manager Partner or Firm's Risk Manager? A Firm-wide Risk Manager Partner has yet to be appointed.

11 E. Independent audit on Risk Management procedures in the last 2 years? YES

11 F. Firm share office or sublet office space to others not listed in 0 5? No.

11 G. Subcontract or refer legal work to other lawyers? Yes, as needed.

11 H. Off-site location for maintenance or storage of duplicate computer records? Yes: - off site storage is arranged for computer records.

11 I. Alternative office space where rendered unusable? No. Offices have arrangement for alternative office space in the event the office space becomes unusable. The approach would be to work remotely or to relocate to one of the other offices until the space became usable.

Specific Responses to Section 16

16 D. Officer or Director of any For-Profit business enterprise: All Firm directors are covered by Outside Director Liability Insurance [ODL] program. This has undergone significant internal policy changes to meet the renewal application process. As soon as the renewal process is complete, a list of the lawyers will be compiled and submitted to underwriters.

APPENDIX H
CYBER LIABILITY

Name of Firm: Fasken Martineau DuMoulin LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

- b) Do you have a Chief Privacy Officer or equivalent? ☐ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☐ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest
☐ Data in transit
☐ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☐ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☐ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☐ yes ☐ no

- e) Do you require that passwords be regularly updated? ☐ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☐ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☐ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☐ no **Not all servers, applies mainly to sensitive systems**

- i) Is the data on your desktop and laptop computers encrypted? ☐ yes ☐ no Laptops only
- j) Is the data on your mobile devices encrypted? ☐ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☐ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☐ yes ☐ no
- n) Are backups stored off-site at a secure location? ☐ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☐ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☐ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☐ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☐ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☐ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☐ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☐ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☐ yes ☒ no

Sylvie Devine

From: Hailey Seo
Sent: Tuesday, March 05, 2019 12:54 PM
To: Sylvie Devine
Cc: Adeola Bosland
Subject: RE: 2019 CLLAS RENEWAL - Professional Liability Coverage - FASKEN - LAW PRO
Attachments: 2019 LAWPRO Listing - for Sylvie.pdf

Hi Sylvie,

Please see attached for the 2019 LawPro listing, separate invoice received for 1 lawyer, and original invoice received by LawPro.

We have processed firm-wide payment for 295 lawyers, \$774,982.13 (after discount). Note that two of them left in January/19, so made partial payments.

On our list, we have total 299 lawyers – 1 lawyer payment made on a separate cheque (ref. invoice attached), and the other 3 lawyers, we are still waiting to receive invoices.

Thank you,

Hailey Seo, CPA, CGA
SUPERVISOR, FINANCIAL ANALYSIS
T. +1 416 865 4565 | F. +1 416 364 7813

From: Allison Lewis
Sent: March-04-19 4:34 PM
To: Sylvie Devine
Cc: Hailey Seo
Subject: FW: 2019 CLLAS RENEWAL - Professional Liability Coverage - FASKEN - LAW PRO
Importance: High

No problem Sylvie!

Hi Hailey,

Would you be able to send over this information for Sylvie when you have a moment?

Thanks so much!

Allison Lewis
EXECUTIVE ASSISTANT
T. +1 416 868 7543 | F. +1 416 364 7813

From: Sylvie Devine
Sent: March-04-19 4:31 PM
To: Allison Lewis
Subject: 2019 CLLAS RENEWAL - Professional Liability Coverage - FASKEN - LAW PRO
Importance: High

Hello Allison,

Following our conversation, please find attached what I need for the renewal of CLLAS coverage. This is a copy of 2017 but the same was given to me in 2018 (just took too long to access my folder – do not know what is going on....)

Thanks for your help.

Sylvie



Sylvie Devine

EXECUTIVE ASSISTANT | ADJOINTE DE DIRECTION DE
Marc Ducharme, Chief Administrative Officer | Chef des services administratifs

FASKEN

Fasken Martineau DuMoulin S.E.N.C.R.L., s.r.l.

T. +1 514 397 7475 | F. +1 514 397 7600

sdevine@fasken.com | www.fasken.com

800, rue du Square-Victoria, bureau 3700, Montréal, Québec H4Z 1E9

From: Marsha Laing-Kerr
Sent: Monday, February 27, 2017 8:04 PM
To: Sylvie Devine
Cc: Carmen Ionescu
Subject: RE: 2017: FASKEN - LAW PRO

Hi Sylvie,

We paid for 265 lawyers \$749,812.95. Details attached.

M.

--

Marsha Laing-Kerr | Manager, Finance & Accounting
T. +1 416 868 7893 | F. +1 416 364 7813

From: Sylvie Devine
Sent: February-27-17 11:56 AM
To: Marsha Laing-Kerr
Subject: RE: 2017: FASKEN - LAW PRO

Thanks

--

Sylvie Devine | Executive Assistant to | Adjointe de direction de
Marc Ducharme | Chief Administrative Officer | Chef des services administratifs

T. +1 514 397 7475 | F. +1 514 397 7600

sdevine@fasken.com | www.fasken.com

Fasken Martineau DuMoulin S.E.N.C.R.L., s.r.l.
800 Place Victoria, Bureau 3700, Montréal, , H4Z 1E9



From: Marsha Laing-Kerr
Sent: February-27-17 11:52 AM
To: Sylvie Devine
Cc: Carmen Ionescu
Subject: Fwd: 2017: FASKEN - LAW PRO

Hi Sylvie,

I will get it to you by end of day tomorrow.

M.

Begin forwarded message:

From: "Sylvie Devine" <sdevine@fasken.com>
To: "Marsha Laing-Kerr" <mlaingkerr@fasken.com>
Cc: "Cecilia Gonsalves" <cgonsalves@fasken.com>
Subject: 2017: FASKEN - LAW PRO

Hello Marsha,

Following my voicemail, this is the time for our CLLAS Insurance Renewal and part of this renewal is the LAW Pro. Find attached what you sent me last year, would it be possible to get it for this year? CLLAS is due March 27th.

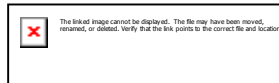
Thanks a million,

Sylvie

--
Sylvie Devine | Executive Assistant to | Adjointe de direction de
Marc Ducharme | Chief Administrative Officer | Chef des services administratifs

T. +1 514 397 7475 | F. +1 514 397 7600
sdevine@fasken.com | www.fasken.com

Fasken Martineau DuMoulin S.E.N.C.R.L., s.r.l.
800 Place Victoria, Bureau 3700, Montréal, , H4Z 1E9



The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
1	40742S	AGGARWAL, ANIL	FMD - Toronto	5,595.75
2	48219I	ALLEYNE, ANDREW CARLISLE	FMD - Toronto	2,895.75
3	74886K	ANAND, HERSIMAR SINGH	FMD - Toronto	1,302.75
4	73119H	ARBABI, NIUSHA	FMD - Toronto	1,729.35
5	47747G	ARMSTRONG, SARAH JANE	FMD - Toronto	2,895.75
6	67409U	ARSENEAULT, SOPHIE	FMD - Ottawa	2,258.55
7	26074W	ASCHERL, PIERRE SAMUEL	FMD - Toronto	5,595.75
8	75348S	AUSTIN, ZACHARY ROMAN JOHN	FMD - Toronto	1,302.75
9	19418F	BALDANZA, ANTHONY FRANK	FMD - Toronto	2,895.75
10	51880K	BARANIAK, ADRIAN WOJTEK	FMD - Toronto	2,895.75
11	22964J	BARLOW, WILLIAM THOMAS	FMD - Toronto	2,895.75
12	65800R	BEAL, MEGAN ELIZABETH	FMD - Toronto	2,895.75
13	40758L	BEARDWOOD, JOHN PAUL	FMD - Toronto	2,895.75
14	66838B	BELLEFONTAINE, CRAIG STEPHEN	FMD - Toronto	2,895.75
15	43421F	BENITAH, ARMAND MANDY	FMD - Toronto	2,895.75
16	33156N	BERRY, MAUREEN YVETTE	FMD - Toronto	3,003.75
17	64320V	BERTOLLO, JESSICA ELLEN	FMD - Toronto	2,895.75
18	15865S	BIES, WILLIAM JOHN	FMD - Toronto	2,895.75
19	14693R	BLAIN, STEPHEN BRUCE	FMD - Toronto	2,895.75
20	49958V	BLITZ, AMANDA REBECCA	SLP - Firmwide	2,895.75
21	48684P	BOEHM, MICHAEL SCOTT	FMD - Ottawa	2,895.75
22	26453V	BOURASSA, MICHAEL JOHN	FMD - Toronto	2,895.75
23	67684S	BOWMAN, MARK ANDREW ARTHUR	FMD - Toronto	2,258.55
24	29393R	BRAITHWAITE, MURRAY JAMES	FMD - Toronto	2,895.75
25	22980N	BRENNAN, MARK STEVEN	FMD - Toronto	3,003.75
26	44955B	BROCK, DANIEL LAWRENCE	FMD - Toronto	2,895.75
27	43430D	BROTMAN, STUART LESLIE	FMD - Toronto	2,895.75
28	24894A	BROWN, MURRAY CRAIG GIBSON	FMD - Toronto	2,895.75
29	72149B	BURASHKO, ZACKARY IRA	FMD - Toronto	1,621.35
30	17353M	BURKETT, BRIAN WILLIAM TIMOTHY	FMD - Toronto	2,895.75
31	49702D	BUTTERFIELD, KATHLEEN ELLIE	FMD - Toronto	2,895.75
32	54709T	CAMERON, ALEXANDER DUGAN	FMD - Toronto	2,895.75
33	48017N	CAMPBELL, IAN MARSHALL	FMD - Toronto	2,895.75
34	68675I	CAPLAN, CALEIGH REBECCA	FMD - Toronto	2,366.55
35	13962V	CARR, HOWARD MARK	FMD - Toronto	5,595.75
36	18709M	CARTER, CRAIG RICHARD	FMD - Toronto	3,003.75
37	46822R	CASUCCIO, PAUL VINCENT	FMD - Toronto	2,895.75
38	57365D	CATTON RINALDI, JESSICA MORGAN	FMD - Toronto	2,895.75
39	41742G	CENTA, ANDREA LISA	FMD - Toronto	3,003.75
40	69931G	CEPLER, ADAM ETHAN	FMD - Toronto	1,939.95
41	11370P	CHAPMAN, ROBERT DOUGLAS	FMD - Ottawa	2,895.75
42	74042P	CHEN, ANNA XI	FMD - Toronto	2,895.75
43	55782K	CHEUNG, RICHARD YOLANDE	FMD - Toronto	2,895.75
44	62137I	CHOCHLA, DYLAN ANTHONY	FMD - Toronto	2,895.75
45	47098T	CHRISTENSEN, KOKER KOLSTEREN	FMD - Toronto	2,895.75
46	58854U	CHWALUK, MYROSLAV THEODORE	FMD - Toronto	2,895.75

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
47	17371I	CLARK, STEPHEN DOUGLAS ALFRED	FMD - Toronto	2,895.75
48	74504E	COLBERT, CHELSEY DAWN	FMD - Toronto	1,302.75
49	62141D	COMEGNA, JOSEPHINE LEANNE	FMD - Toronto	2,895.75
50	63979W	CONNORS, JUSTINE ELLEN	FMD - Toronto	2,895.75
51	24914P	CONOVER, SCOTT DOUGLAS	FMD - Toronto	2,895.75
52	70258N	CONRAD, DANIEL	FMD - Toronto	1,939.95
53	35426A	COOPER, LAURA FLORENCE	FMD - Toronto	2,895.75
54	32193N	COOPER, ROSALIND HOPE	FMD - Toronto	2,895.75
55	14153W	COSMAN, ROBERT WILLIAM HAYDEN	FMD - Toronto	2,895.75
56	41850V	CRAIG, JOHN DAVID RANDALL	FMD - Toronto	2,895.75
57	64362M	CROMBIE, REID CHRISTOPHER MICH	FMD - Toronto	2,895.75
58	73166M	DAT, GOMATIE	FMD - Toronto	1,621.35
59	41657N	DEFILIPPIS, ROSARIA	FMD - Toronto	3,003.75
60	24928Q	DENNIS, JEFFREY MARK	FMD - Toronto	2,895.75
61	33229J	DENYES, MARTIN KENNETH	FMD - Toronto	2,895.75
62	72529T	DEPASQUALE, GIANRICO DANIEL VI	FMD - Toronto	1,621.35
63	74528W	DEVON, RACHEL EMILY	FMD - Toronto	1,302.75
64	52508V	DI DOMENICO, ANTONIO	FMD - Toronto	2,895.75
65	40425C	DO, HUY ANH	FMD - Toronto	2,895.75
66	25754W	DOWNARD, PETER ALEXANDER	FMD - Toronto	2,895.75
67	72196G	DOWNER, MATTHEW JAN DAVID	FMD - Toronto	1,621.35
68	20288G	DUNBAR, LAURENCE JAMES EDWARD	FMD - Ottawa	2,895.75
69	39046D	EASTMAN, NANCY MEGAN	FMD - Toronto	2,895.75
70	70580U	EISEN, VALERIE LYNN	FMD - Toronto	2,047.95
71	29462G	ELIAS, JOHN MARTIN	FMD - Toronto	2,895.75
72	19861J	EMMONS, PAUL MARTIN	FMD - Toronto	5,703.75
73	22582W	ERLICHMAN, STEPHEN IRVING	FMD - Toronto	2,895.75
74	49314G	FABIANO, DANIEL AARON	FMD - Toronto	2,895.75
75	42019I	FELDKAMP, CLAUDIA DOROTHEA	FMD - Toronto	2,895.75
76	60720G	FERGUSON, CHRISTOPHER DONALD F	FMD - Toronto	2,895.75
77	51520H	FERRIS, DAVID HENRY	FMD - Toronto	2,895.75
78	56768J	FETTER, LAURA LYNN	FMD - Toronto	2,895.75
79	24955K	FOGLER, GARY STUART	FMD - Toronto	2,895.75
80	38216N	FORNAZZARI, PAOLO	FMD - Toronto	2,895.75
81	37096U	FORREST, GIDEON CLARE	FMD - Toronto	2,895.75
82	33254L	FOSTER, GARTH JAMES	FMD - Toronto	2,895.75
83	53018H	FREELAN, BRADLEY ALEXANDER	FMD - Toronto	2,895.75
84	55214C	FUKE, DANIEL BUCHANAN	FMD - Toronto	2,895.75
85	40841M	GANNON, PATRICK TERRY JAMES	FMD - Toronto	2,895.75
86	63379N	GARBER, ANDRE ETHAN	FMD - Toronto	2,895.75
87	31065W	GASCHO, ROSS ALLAN	FMD - Toronto	2,895.75
88	41012C	GASTON, MELISSA LORI	FMD - Firmwide	2,895.75
89	64034G	GEFUCIA, NICHOLAS CON	FMD - Toronto	2,895.75
90	18433C	GILBERT, DOUGLAS GARDNER	FMD - Toronto	2,895.75
91	32261G	GIORNO, GUY WILLIAM JAMES	FMD - Ottawa	2,895.75
92	29877J	GOLDING, BARBARA LYNNE	FMD - Toronto	2,895.75

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
93	32860T	GOURLEY, ALBERT CARLISLE	FMD - London	2,895.75
94	39809E	GRAVES, BRIAN CHRISTOPHER	FMD - Toronto	2,895.75
95	57871J	GRAVES, SARAH ELIZABETH THELMA	FMD - Toronto	2,895.75
96	72236J	GREGOIRE, DANA WILLIAM DAVID	FMD - Toronto	1,621.35
97	59409M	GRINTUCH, RHONDA DIANE	FMD - Montreal	1,302.75
98	46320G	HALWAGI, JONATHAN	FMD - Montreal	1,302.75
99	49027S	HAN, SABINA SUNG HYAE	FMD - Toronto	2,895.75
100	61531E	HARPER, JESSE RYAN	FMD - Toronto	2,895.75
101	14046D	HARRISON, ROBERT SMITH	FMD - Toronto	2,895.75
102	32282N	HAUSMAN, DAVID ANDREW	FMD - Toronto	2,895.75
103	34707N	HEERSCHE, TANNEKE BRUNILDE	FMD - Firmwide	2,895.75
104	44570D	HERBER, ALIX PETA	FMD - Toronto	2,895.75
105	57130P	HITCHENS, SIMON DAVID	FMD - Toronto	2,895.75
106	19915N	HOFFSTEIN, MARIA ELENA	FMD - Toronto	5,595.75
107	52550A	HOLDER, MARIA KAVITHA	FMD - Toronto	3,003.75
108	20961W	HOLMSTROM, JON JOSEPH	FMD - Toronto	2,895.75
109	39100S	HOOEY, TRACY LYNNE	FMD - Toronto	2,895.75
110	47490L	HOUSE, ANDREW DIXON	FMD - Ottawa	2,895.75
111	47334S	HOWARD, JANET-LYNN	FMD - Toronto	2,895.75
112	64431B	HUBLING, EMILY SARAH	FMD - Toronto	3,003.75
113	63700F	IACCINO, ROBERT DANIEL	FMD - Toronto	3,003.75
114	29915U	JARVIS, DARRELL ESMOND	FMD - Toronto	3,003.75
115	70014E	JASWAL, AVNEET KAUR	FMD - Toronto	1,939.95
116	40886O	JAVIER, JANICE JOYCE DEL ROSAR	FMD - Toronto	2,895.75
117	28807R	JODOIN, JOSEPH PIERRE EDOUARD	FMD - Montreal	1,302.75
118	45981K	JOHNSON, DAVID IAN	FMD - Toronto	2,895.75
119	22777L	JOHNSTON, RICHARD ELLIOTT	FMD - Toronto	2,895.75
120	41702H	KATZ, JODI	FMD - London	2,895.75
121	18829N	KAUFFMAN, AUBREY ETAN	FMD - Toronto	2,895.75
122	63293M	KAUFMAN, HOWARD JACK	FMD - Toronto	2,895.75
123	22780K	KEITH, NORMAN ALFRED	FMD - Toronto	2,895.75
124	21719F	KELSALL, BRIAN CYRIL	FMD - Toronto	2,895.75
125	58068J	KENNEDY, JULIA MARIE WILLIAMS	FMD - Ottawa	2,895.75
126	57459G	KENNEDY, NUALA LOUISE	FMD - Toronto	2,895.75
127	40512K	KERR, STEPHEN BRUCE	FMD - Toronto	2,895.75
128	41507H	KERR-WILSON, GERALD LEITCH	FMD - Ottawa	2,895.75
129	68468W	KHAROUBA, NORA	FMD - Toronto	2,258.55
130	68135N	KHOURY, TALA	FMD - Toronto	2,258.55
131	62545Q	KIMPTON, STEFAN ANTHONY ALBERT	FMD - Ottawa	2,895.75
132	27648V	KIRBY, PETER EDMOND	FMD - Montreal	1,302.75
133	72614M	KONKEL, LAURA GRACE	FMD - Toronto	1,621.35
134	71744T	KRAMER, KAI CHRISTIAN	FMD - Toronto	1,621.35
135	28100A	KRUK, JOHN THEODORE	FMD - Toronto	2,895.75
136	26965D	LACY, ALISON JANET	FMD - Toronto	2,895.75
137	34754S	LANCASTER, JONATHAN FREDERIC	FMD - Toronto	3,003.75
138	67831R	LAURION, RACHEL MARIE	FMD - Toronto	2,258.55

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
139	28515D	LAW, DANIEL RICHARD	FMD - Toronto	3,003.75
140	72284K	LEBLANC, ALYSSA MARY LILIANE	FMD - Toronto	1,621.35
141	53755R	LEFLER, MARC STEVEN	FMD - Toronto	2,895.75
142	23115L	LEFTON, JAY ARTHUR	FMD - Toronto	2,895.75
143	15253A	LEVIN, JONATHAN ARLEN	FMD - Toronto	2,895.75
144	59861O	LEVY, ZOHAR REBECCA	FMD - Toronto	2,895.75
145	65601K	LEWIS, TASHA AMARA	FMD - Toronto	2,895.75
146	70663K	LIK, MARKUS CAIRNS	FMD - Toronto	1,621.35
147	72293I	LINDZON, RUSSELL MOSES	FMD - Toronto	1,621.35
148	48329P	LINK, MATHIAS	FMD - Toronto	2,895.75
149	27396G	LOEPPKY, BYRON WADE	FMD - Toronto	2,895.75
150	58082V	LOGVIN, ALEXANDRA	FMD - Ottawa	2,895.75
151	52308S	LOIZOS, RACHEL LYNN	FMD - Toronto	3,003.75
152	16932D	LOMAS, RAND ALEXANDER	FMD - Toronto	2,895.75
153	47410N	LORQUET, SEBASTIEN JACQUES DAN	FMD - Ottawa	2,895.75
154	48334G	LUND, DARREN GERARD	FMD - Toronto	2,895.75
155	73896Q	LUO, YU FEI	FMD - Ottawa	1,621.35
156	70666V	MA, SCOTT SHIQIU	FMD - Toronto	2,047.95
157	75128E	MACRAE, SOPHIE ANNE	FMD - Toronto	1,302.75
158	54344J	MAK WATERFALL, KA YAN BONNY	FMD - Toronto	2,895.75
159	58986H	MALADWALA, ZOHAIB IQBAL	FMD - Toronto	2,895.75
160	70972B	MANDEL, JEREMY HAYDEN	FMD - Toronto	1,939.95
161	35269H	MANTAS, PETER NICK	FMD - Ottawa	2,895.75
162	43120Q	MARGISON, CHRISTOPHER DOUGLAS	FMD - Toronto	2,895.75
163	43982H	MARIC, VASO	FMD - Toronto	2,895.75
164	71093R	MARKS, ALLISON SIMA	FMD - Toronto	1,939.95
165	24140B	MARTIN, PAUL JOSEPH	FMD - Toronto	2,787.75
166	74690C	MASSICOTTE, KEVIN ANTHONY	FMD - Ottawa	1,302.75
167	44186N	MBOUTSIADIS, JENNY POLIXENI	FMD - Toronto	2,895.75
168	43312R	MCALEER, JENNIFER	FMD - Toronto	2,895.75
169	25441B	MCCALLUM, MARGARET ANNE	FMD - Toronto	2,895.75
170	12495H	MCCANN, PATRICK FRANCIS DAVID	FMD - Ottawa	2,895.75
171	21778T	MCCORMICK, ROXANNE ELIZABETH	FMD - Toronto	2,895.75
172	13024M	MCDOWELL, ROBERT WARD	FMD - Toronto	2,895.75
173	54177W	MCMINN, VANESSA LEA	FMD - London	2,895.75
174	46036G	MEAGHER, THOMAS MURRAY	FMD - Toronto	2,895.75
175	21046A	MILLER, BARBARA	FMD - Toronto	2,895.75
176	37643C	MILLS, MARCIA LIANNE	FMD - Ottawa	2,895.75
177	20721U	MILNER, DONALD ERIC	FMD - Toronto	2,895.75
178	74701T	MOLDAVER, JESSICA LAUREN	FMD - Toronto	1,302.75
179	68841W	MONEMDJOU, ROXANA	FMD - Toronto	188.21
180	57211N	MONET, DOMINIQUE AUBREY	FMD - Montreal	1,302.75
181	47880A	MOORE, BRAD ROBERT GEORGE	FMD - Toronto	2,895.75
182	39209S	MORLEY, BLAIR SEAN LISLE	FMD - Toronto	2,895.75
183	72010T	MORROW, KYLE MICHAEL	FMD - Ottawa	1,621.35
184	68845G	MUI, VANESSA WING-KUM	FMD - Toronto	2,258.55

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
185	31215J	NERO, RALPH NICHOLAS	FMD - Toronto	2,895.75
186	16085F	NEW, DOUGLAS CHARLES	FMD - Toronto	2,895.75
187	53798E	NIKOLIC, ALEKSANDAR	FMD - Toronto	2,895.75
188	64019V	NOBLE, EOWYNNE LEIGH	FMD - Toronto	2,895.75
189	35289S	NOBREGA, RONALD EUGENE	FMD - Toronto	2,895.75
190	44639E	NUNES, ANDREW ST. AUBIN	FMD - Toronto	2,895.75
191	16089M	O'BYRNE, BRIAN ANDREW THOMAS	FMD - Toronto	2,895.75
192	24806A	O'NEILL, JAMES AIDAN	FMD - Ottawa	2,895.75
193	17889M	ORR, WILLIAM KINGSTON	FMD - Toronto	2,895.75
194	15336N	PALMER, WALTER JAMES	FMD - Toronto	2,895.75
195	74730F	PAPADATOS, DAPHNE SHAN	FMD - Toronto	1,302.75
196	53811B	PAQUETTE, CHRISTIAN FREDERIC M	FMD - Toronto	2,895.75
197	49095H	PARISIEN, JUDITH LORRAINE ANNE	FMD - Ottawa	2,895.75
198	62326V	PARK, NICOLE RENEE	FMD - Toronto	2,895.75
199	72998K	PARKER, JENNIFER JO-ANNE	FMD - Toronto	3,003.75
200	39233B	PENNER, MARK DOUGLAS	FMD - Toronto	2,895.75
201	70417V	PETERS, THOMAS MATTHEW	FMD - Toronto	1,939.95
202	10677P	PETERSON, JAMES SCOTT	FMD - Toronto	2,895.75
203	59036A	PIGOTT, CHRISTOPHER DONALD	FMD - Toronto	2,895.75
204	70718K	PILKINGTON, CHAD DEVLIN	FMD - Toronto	1,939.95
205	29634T	PLISZKA, PETER JOHN	FMD - Toronto	2,895.75
206	36866Q	PLOTKIN, ELLA	FMD - Toronto	2,895.75
207	28605W	POLLOCK, KATHERINE MARY	FMD - Toronto	2,895.75
208	68539D	POLO, FLORIND	FMD - Toronto	2,258.55
209	49648L	PORTER, ERIN LESLIE	FMD - Toronto	2,895.75
210	60557D	POTTER, KIMBERLY ELLEN	FMD - Toronto	2,895.75
211	36577N	PRATT, TRACY ANN	FMD - Toronto	2,895.75
212	38917I	PRESCOTT, SCOTT MORRIS	FMD - Ottawa	2,895.75
213	60250P	RAE, CHRISTOPHER JUDD	FMD - Toronto	2,895.75
214	23855J	RANKING, GERALD LANCASTER REX	FMD - Toronto	2,895.75
215	19664R	RAPUCH, RUBIN	FMD - Toronto	2,895.75
216	67591L	REKLITIS, ANASTASIA	FMD - Toronto	1,939.95
217	75225G	RICHER, DANIEL TERENCE	FMD - Toronto	1,302.75
218	14947B	RICKETT, SAMUEL ROY	FMD - Toronto	2,895.75
219	10611G	ROBINSON, JOHN MICHAEL	FMD - Toronto	2,895.75
220	38427G	RODDEY, ROBIN PETER	FMD - Toronto	2,895.75
221	62626O	RODRIGUE, MARC ALEXANDER BELAN	FMD - Toronto	2,895.75
222	66064K	ROLLAND, MEGAN LANGFORD	FMD - Toronto	2,895.75
223	14953L	ROSE, LESLIE HARRIS	FMD - Toronto	2,895.75
224	25102F	ROSENBAUM, DAVID CHARLES	FMD - Toronto	2,895.75
225	23872J	ROSENHEK, STEVEN FELIX	FMD - Toronto	2,895.75
226	72375C	ROUND, GILLIAN MARY	FMD - Toronto	1,621.35
227	26341W	ROUND, MICHAEL JOHN WYCHERLEY	FMD - Toronto	2,895.75
228	59056L	ROY, ALLYSON LINDSAY	FMD - Toronto	3,003.75
229	43357T	SABETTI, JOHNNY MICHAEL	FMD - Toronto	2,895.75
230	44024V	SALOOJEE-EBRAHIM, MUNIER MUHAM	FMD - Toronto	2,895.75

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

				LAWPRO
	Member Number	Member Name	Location	Amount Remitted
231	679180	SAMADMOTEN, DAANISH BASIL	FMD - Toronto	2,258.55
232	39890J	SARGEANT, KAREN MARIE	FMD - Toronto	2,895.75
233	73037N	SCHNIER, RYAN NATHAN	FMD - Toronto	2,258.55
234	12137T	SCHWARTZ, ALAN MARTIN	FMD - Toronto	5,595.75
235	38927C	SCHWEITZER, VIRGINIA KIM	FMD - Ottawa	2,895.75
236	32448Q	SCOTT, DOUGLAS HUNGERFORD	FMD - Toronto	2,895.75
237	13290M	SCOTT, DOUGLAS ROBERT	FMD - Toronto	2,895.75
238	41023P	SELLS, BERKLEY DORIAN	FMD - Toronto	2,895.75
239	73043A	SERGEYEV, PAVEL	FMD - Toronto	1,621.35
240	60605I	SHAW, WILLIAM DOUGLAS	FMD - Toronto	2,895.75
241	08779J	SHIRRIFF, ROBERT LINDSEY	FMD - Toronto	2,895.75
242	69230L	SHORTT, MICHAEL JAMES	FMD - Montreal	1,248.75
243	34887B	SIMONE, LISA REGINA	FMD - Toronto	1,302.75
244	60287P	SINGH, ANGAD DEV	FMD - Toronto	2,895.75
245	66480U	SINGH, NICOLE RENEE	FMD - Toronto	2,895.75
246	73980N	SKINNER, HARRY VERNON	FMD - Toronto	1,621.35
247	21458P	SMEENK, BRIAN PETER LEONARD JO	FMD - Toronto	2,895.75
248	29683Q	SMILEY, NEIL MORLEY	FMD - Toronto	3,003.75
249	20778I	SMITHEMAN, NEAL JOSEPH	FMD - Toronto	2,895.75
250	74402W	SMYDO, STACEY MARIE	FMD - Ottawa	1,302.75
251	75713Q	SNIDER, TREVOR ALEXANDER	FMD - Toronto	108.56
252	27727E	SOSNOW, CLIFFORD ZANGWILL	FMD - Ottawa	2,895.75
253	39289Q	SQUIRE, TIMOTHY MICHAEL	FMD - Toronto	2,895.75
254	36096J	STEEVES, CHRISTOPHER JOHN	FMD - Toronto	2,895.75
255	46109C	STEFAN, AARON JOSEPH	FMD - Toronto	2,895.75
256	28257B	STEINBERG, RICHARD JON	FMD - Toronto	2,895.75
257	73064H	STEPHENSON, MITCHELL TROY	FMD - Toronto	1,621.35
258	55989I	STERN, GABRIEL MORRIS AYLUIA	FMD - Toronto	2,895.75
259	41774D	STEVENS, GEOFFREY SEAN STEWART	FMD - Toronto	2,895.75
260	23262G	STINSON, JOHN MARK	FMD - Toronto	2,895.75
261	68915O	SUD, BRITTANY SHAY	FMD - Toronto	2,258.55
262	75782B	TAYLOR, KENNETH JAMES	FMD - Toronto	1,302.75
263	38498G	THAW, MITCHELL LYON	FMD - Toronto	2,895.75
264	58470S	THOMAS, ARIEL ALISON	FMD - Ottawa	2,895.75
265	56965B	TODD, SHANE DONALD	FMD - Toronto	2,895.75
266	55683Q	TOPPINGS, VERA	FMD - Toronto	2,895.75
267	28274B	TORREY, JOHN WINTHROP	FMD - Toronto	2,895.75
268	28885A	TOSTO, ANNA MARIA	FMD - Ottawa	2,895.75
269	48428J	TOTH, KRISZTIAN	FMD - Toronto	2,895.75
270	30144R	TURNER, JOHN STEPHEN MORRIS	FMD - Toronto	2,895.75
271	58478J	TURNER, LAURIE MICHELLE	FMD - Toronto	2,895.75
272	59220K	TURNEY, SARAH JANE	FMD - Toronto	3,003.75
273	41174R	VACHON, CLAIRE	FMD - Ottawa	2,895.75
274	19017D	VAIR, PETER WARDLAW	FMD - Toronto	2,895.75
275	66508D	VAN ESCH, PETER CORUM	FMD - Toronto	2,895.75
276	42980E	VANDERELST, INGRID ELAINE	FMD - Toronto	2,895.75

The Law Society of Upper Canada

2019 Annual LAWPRO INSURANCE

FASKEN MARTINEAU DUMOULIN LLP

LAWPRO

Member Number

Member Name

Location

Amount Remitted

277	58482E	VANDERMEULEN, JACQUELINE DENIS	FMD - Toronto	2,895.75
278	73084S	VANDERVEKEN, MARK JAMES	FMD - Toronto	1,621.35
279	66512V	VELAGIC, ELYSE LAUREN	FMD - Toronto	2,895.75
280	32598W	VILLANI, PETER	FMD - Montreal	1,302.75
281	62430G	WANSBROUGH, JONATHAN MARC	FMD - Toronto	2,895.75
282	73647Q	WARD, JENNA LEE	FMD - Toronto	1,621.35
283	37378R	WEIGL, CORINA SUSAN	FMD - Toronto	2,895.75
284	74424C	WELCH, BRENT MATTHEW	FMD - Ottawa	1,302.75
285	20405A	WHITEHEAD, STEPHEN PAUL	FMD - Ottawa	2,895.75
286	30200B	WIENER, NEIL	FMD - Montreal	1,302.75
287	20132B	WRIGHT, BRIAN GREGORY	FMD - Toronto	2,895.75
288	54477P	YIP, KEVIN HO-TUNG	FMD - Toronto	2,895.75
289	67249Q	YODAN, CAROLINE PATRICIA	FMD - Toronto	2,895.75
290	68955N	YOUNAN, RACHEL ELIZABETH	FMD - Toronto	2,258.55
291	64294T	YU, HUA ZHOU	FMD - Toronto	2,895.75
292	73396U	ZHAO, PU YANG	FMD - Toronto	1,621.35
293	67252P	ZIEGLER, DAVID ADAM	FMD - Toronto	2,895.75
294	45870H	Abols, Gesta	FMD - Toronto	2,895.75
295	43666K	McGlaughlin, Grant	FMD - Toronto	2,895.75
296	58513J	Aagaard, Lindsay		
297	45495P	PARACHIN, MICAH ADAM		
298	40626B	Raman, Gordon		
299	71099Q	Walker, Kathryn		

\$790,912.13

Less: Discount for 295 persons (\$50 + 8% tax)

(15,930.00)

774,982.13

*** PROFESSIONAL LIABILITY INSURANCE INVOICE ***

Page 1 of 1

LAW SOCIETY OF ONTARIO

To: LINDSAY SANDRA AAGAARD
FASKEN MARTINEAU DUMOULIN LL
#2400 - 333 BAY ST
PO BOX 20, BAY ADELAIDE CTR
TORONTO, ON M5H 2T6
Attention: Accounts Payable

Invoice Date: 25 FEB 2019
Policy Effective/Due Date: 01 JAN 2019
Total Amount: \$2,922.75
Account: A058513J

*** PREMIUM DETAIL ***

Description	Amount
ADJUSTED BASE-RATED PREMIUM	\$2,706.25
PST	\$216.50
	=====
*** TOTAL ***	\$2,922.75

*** INSTALMENT SUMMARY ***

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2019 **	\$2,922.75	\$0.00	\$2,922.75
	=====	=====	=====
Total	\$2,922.75	\$0.00	\$2,922.75

(Detach and return with payment)

Policy: 1-58513J
Account: A058513J Firm: A034480
AAGAARD, LINDSAY SANDRA

Due Date: 01 JAN 2019
Instalment Total: \$2,922.75
Balance: \$2,922.75
Amount Enclosed: _____

Pay To: Law Society of Ontario
c/o Lawyers' Professional Indemnity Company
250 Yonge Street
Suite 3101, P.O. Box 3
Toronto, ON M5B 2L7

* Please write your POLICY NUMBER and ACCOUNT on the front of your cheque *

***** FIRM SUMMARY *****

LAW SOCIETY OF ONTARIO

Page 1 of 10

To: FASKEN MARTINEAU DUMOULIN LLP
#2400 - 333 BAY ST
PO BOX 20, BAY ADELAIDE CTR
TORONTO, ON M5H 2T6Invoice Date: 03 DEC 2018
Policy Effective/Due Date: 01 JAN 2019
Total Amount: \$802,755.90
Account: A034480

Attention: Accounts Payable

***** PREMIUM DETAIL *****

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$15,000.00
ADJUSTED BASE-RATED PREMIUM	\$728,292.50
PST	\$59,463.40
	=====
*** TOTAL ***	\$802,755.90

***** INSTALMENT SUMMARY *****

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2019 **	\$802,755.90	\$0.00	\$802,755.90
	=====	=====	=====
*** TOTAL ***	\$802,755.90	\$0.00	\$802,755.90

Early payment discount: Before 06 FEB 2019, pay only:	\$786,663.90
After 06 FEB 2019, pay:	\$802,755.90
Save:	\$16,092.00

Addition:	Less:	Am't adjusted
- Ahls, Gestar	- Atkinson, Aaron	- Monemdjou, Roxana (1 with)
- McGlaughlin, Grant	- Ionsen, Kathy	- Snider, Trevor (")
	- Robinson, Julie	- Atschi, Niuscha (premium incr)
	- Steinhauer, David	
	- Lim, Jae Yeon (exempt)	

(Detach and return with payment)Account: A034480
FASKEN MARTINEAU DUMOULIN LLPDue Date: 01 JAN 2019
Instalment Total: \$802,755.90
Balance: \$802,755.90
Amount Enclosed: _____Pay To: Law Society of Ontario
c/o Lawyers' Professional Indemnity Company
250 Yonge Street
Suite 3101, P.O. Box 3
Toronto, ON M5B 2L7

* Please attach a copy of the Firm Summary to your cheque *

*** FIRM SUMMARY ***

Firm: A034480

Page 2 of 10

The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A040742S	AGGARWAL, ANIL	\$5,595.75	\$5,595.75	\$5,595.75
A048219I	ALLEYNE, ANDREW CARLISLE	\$2,895.75	\$2,895.75	\$2,895.75
A074886K	ANAND, HERSIMAR SINGH	\$1,302.75	\$1,302.75	\$1,302.75
A073119H	ARBABI, NIUSHA	\$1,621.35	\$1,621.35	\$1,621.35 1,729.35
A047747G	ARMSTRONG, SARAH JANE	\$2,895.75	\$2,895.75	\$2,895.75
A067409U	ARSENEAULT, SOPHIE	\$2,258.55	\$2,258.55	\$2,258.55
A026074W	ASCHERL, PIERRE SAMUEL	\$5,595.75	\$5,595.75	\$5,595.75
A044490B	ATKINSON, AARON JOSEPH	\$2,895.75	\$2,895.75	\$2,895.75
A075348S	AUSTIN, ZACHARY ROMAN JOHN	\$1,302.75	\$1,302.75	\$1,302.75
A019418F	BALDANZA, ANTHONY FRANK	\$2,895.75	\$2,895.75	\$2,895.75
A051880K	BARANIAK, ADRIAN WOJTEK	\$2,895.75	\$2,895.75	\$2,895.75
A022964J	BARLOW, WILLIAM THOMAS	\$2,895.75	\$2,895.75	\$2,895.75
A065800R	BEAL, MEGAN ELIZABETH	\$2,895.75	\$2,895.75	\$2,895.75
A040758L	BEARDWOOD, JOHN PAUL	\$2,895.75	\$2,895.75	\$2,895.75
A066838B	BELLEFONTAINE, CRAIG STEPHEN	\$2,895.75	\$2,895.75	\$2,895.75
A043421F	BENITAH, ARMAND MANDY	\$2,895.75	\$2,895.75	\$2,895.75
A033156N	BERRY, MAUREEN YVETTE	\$3,003.75	\$3,003.75	\$3,003.75
A064320V	BERTOLLO, JESSICA ELLEN	\$2,895.75	\$2,895.75	\$2,895.75
A015865S	BIES, WILLIAM JOHN	\$2,895.75	\$2,895.75	\$2,895.75
A014693R	BLAIN, STEPHEN BRUCE	\$2,895.75	\$2,895.75	\$2,895.75
A049958V	BLITZ, AMANDA REBECCA	\$2,895.75	\$2,895.75	\$2,895.75
A048684P	BOEHM, MICHAEL SCOTT	\$2,895.75	\$2,895.75	\$2,895.75
A026453V	BOURASSA, MICHAEL JOHN	\$2,895.75	\$2,895.75	\$2,895.75
A067684S	BOWMAN, MARK ANDREW ARTHUR	\$2,258.55	\$2,258.55	\$2,258.55
A029393R	BRAITHWAITE, MURRAY JAMES	\$2,895.75	\$2,895.75	\$2,895.75
A022980N	BRENNAN, MARK STEVEN	\$3,003.75	\$3,003.75	\$3,003.75
A044955B	BROCK, DANIEL LAWRENCE	\$2,895.75	\$2,895.75	\$2,895.75
A043430D	BROTMAN, STUART LESLIE	\$2,895.75	\$2,895.75	\$2,895.75
A024894A	BROWN, MURRAY CRAIG GIBSON	\$2,895.75	\$2,895.75	\$2,895.75
A072149B	BURASHKO, ZACKARY IRA	\$1,621.35	\$1,621.35	\$1,621.35
A017353M	BURKETT, BRIAN WILLIAM TIMOTHY	\$2,895.75	\$2,895.75	\$2,895.75
A049702D	BUTTERFIELD, KATHLEEN ELLIE	\$2,895.75	\$2,895.75	\$2,895.75
A054709T	CAMERON, ALEXANDER DUGAN	\$2,895.75	\$2,895.75	\$2,895.75
A048017N	CAMPBELL, IAN MARSHALL	\$2,895.75	\$2,895.75	\$2,895.75
A068675I	CAPLAN, CALEIGH REBECCA	\$2,366.55	\$2,366.55	\$2,366.55

*** FIRM SUMMARY ***

Firm: A034480

Page 3 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A013962V	CARR, HOWARD MARK	\$5,595.75	\$5,595.75	\$5,595.75
A018709M	CARTER, CRAIG RICHARD	\$3,003.75	\$3,003.75	\$3,003.75
A046822R	CASUCCIO, PAUL VINCENT	\$2,895.75	\$2,895.75	\$2,895.75
A057365D	CATTON RINALDI, JESSICA MORGAN	\$2,895.75	\$2,895.75	\$2,895.75
A041742G	CENTA, ANDREA LISA	\$3,003.75	\$3,003.75	\$3,003.75
A069931G	CEPLER, ADAM ETHAN	\$1,939.95	\$1,939.95	\$1,939.95
A011370P	CHAPMAN, ROBERT DOUGLAS	\$2,895.75	\$2,895.75	\$2,895.75
A074042P	CHEN, ANNA XI	\$2,895.75	\$2,895.75	\$2,895.75
A055782K	CHEUNG, RICHARD YOLANDE	\$2,895.75	\$2,895.75	\$2,895.75
A062137I	CHOCHLA, DYLAN ANTHONY	\$2,895.75	\$2,895.75	\$2,895.75
A047098T	CHRISTENSEN, KOKER KOLSTEREN	\$2,895.75	\$2,895.75	\$2,895.75
A058854U	CHWALUK, MYROSLAV THEODORE	\$2,895.75	\$2,895.75	\$2,895.75
A017371I	CLARK, STEPHEN DOUGLAS ALFRED	\$2,895.75	\$2,895.75	\$2,895.75
A074504E	CLBERT, CHELSEY DAWN	\$1,302.75	\$1,302.75	\$1,302.75
A062141D	COEGNA, JOSEPHINE LEANNE	\$2,895.75	\$2,895.75	\$2,895.75
A063979W	CONNORS, JUSTINE ELLEN	\$2,895.75	\$2,895.75	\$2,895.75
A024914P	CONOVER, SCOTT DOUGLAS	\$2,895.75	\$2,895.75	\$2,895.75
A070258N	CONRAD, DANIEL	\$1,939.95	\$1,939.95	\$1,939.95
A035426A	COOPER, LAURA FLORENCE	\$2,895.75	\$2,895.75	\$2,895.75
A032193N	COOPER, ROSALIND HOPE	\$2,895.75	\$2,895.75	\$2,895.75
A014153W	COSMAN, ROBERT WILLIAM HAYDEN	\$2,895.75	\$2,895.75	\$2,895.75
A041850V	CRAIG, JOHN DAVID RANDALL	\$2,895.75	\$2,895.75	\$2,895.75
A064362M	CROMBIE, REID CHRISTOPHER MICH	\$2,895.75	\$2,895.75	\$2,895.75
A073166M	DAT, GOMATIE	\$1,621.35	\$1,621.35	\$1,621.35
A041657N	DEFILIPPIS, ROSARIA	\$3,003.75	\$3,003.75	\$3,003.75
A024928Q	DENNIS, JEFFREY MARK	\$2,895.75	\$2,895.75	\$2,895.75
A033229J	DENYES, MARTIN KENNETH	\$2,895.75	\$2,895.75	\$2,895.75
A072529T	DEPASQUALE, GIANRICO DANIEL VI	\$1,621.35	\$1,621.35	\$1,621.35
A074528W	DEVON, RACHEL EMILY	\$1,302.75	\$1,302.75	\$1,302.75
A052508V	DI DOMENICO, ANTONIO	\$2,895.75	\$2,895.75	\$2,895.75
A040425C	DO, HUY ANH	\$2,895.75	\$2,895.75	\$2,895.75
A025754W	DOWNARD, PETER ALEXANDER	\$2,895.75	\$2,895.75	\$2,895.75
A072196G	DOWNER, MATTHEW JAN DAVID	\$1,621.35	\$1,621.35	\$1,621.35
A020288G	DUNBAR, LAURENCE JAMES EDWARD	\$2,895.75	\$2,895.75	\$2,895.75
A039046D	EASTMAN, NANCY MEGAN	\$2,895.75	\$2,895.75	\$2,895.75
A070580U	EISEN, VALERIE LYNN	\$2,047.95	\$2,047.95	\$2,047.95

*** FIRM SUMMARY ***

Firm: A034480

Page 4 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A029462G	ELIAS, JOHN MARTIN	\$2,895.75	\$2,895.75	\$2,895.75
A019861J	EMMONS, PAUL MARTIN	\$5,703.75	\$5,703.75	\$5,703.75
A022582W	ERLICHMAN, STEPHEN IRVING	\$2,895.75	\$2,895.75	\$2,895.75
A049314G	FABIANO, DANIEL AARON	\$2,895.75	\$2,895.75	\$2,895.75
A042019I	FELDKAMP, CLAUDIA DOROTHEA	\$2,895.75	\$2,895.75	\$2,895.75
A060720G	FERGUSON, CHRISTOPHER DONALD F	\$2,895.75	\$2,895.75	\$2,895.75
A051520H	FERRIS, DAVID HENRY	\$2,895.75	\$2,895.75	\$2,895.75
A056768J	FETTER, LAURA LYNN	\$2,895.75	\$2,895.75	\$2,895.75
A024955K	FOGLER, GARY STUART	\$2,895.75	\$2,895.75	\$2,895.75
A038216N	FORNAZZARI, PAOLO	\$2,895.75	\$2,895.75	\$2,895.75
A037096U	FORREST, GIDEON CLARE	\$2,895.75	\$2,895.75	\$2,895.75
A033254L	FOSTER, GARTH JAMES	\$2,895.75	\$2,895.75	\$2,895.75
A053018H	FREELAN, BRADLEY ALEXANDER	\$2,895.75	\$2,895.75	\$2,895.75
A055214C	FUKE, DANIEL BUCHANAN	\$2,895.75	\$2,895.75	\$2,895.75
A040841M	GANNON, PATRICK TERRY JAMES	\$2,895.75	\$2,895.75	\$2,895.75
A063379N	GARBER, ANDRE ETHAN	\$2,895.75	\$2,895.75	\$2,895.75
A031065W	GASCHO, ROSS ALLAN	\$2,895.75	\$2,895.75	\$2,895.75
A041012C	GASTON, MELISSA LORI	\$2,895.75	\$2,895.75	\$2,895.75
A064034G	GEFUCIA, NICHOLAS CON	\$2,895.75	\$2,895.75	\$2,895.75
A018433C	GILBERT, DOUGLAS GARDNER	\$2,895.75	\$2,895.75	\$2,895.75
A032261G	GIORNO, GUY WILLIAM JAMES	\$2,895.75	\$2,895.75	\$2,895.75
A029877J	GOLDING, BARBARA LYNNE	\$2,895.75	\$2,895.75	\$2,895.75
A032860T	GOURLEY, ALBERT CARLISLE	\$2,895.75	\$2,895.75	\$2,895.75
A039809E	GRAVES, BRIAN CHRISTOPHER	\$2,895.75	\$2,895.75	\$2,895.75
A057871J	GRAVES, SARAH ELIZABETH THELMA	\$2,895.75	\$2,895.75	\$2,895.75
A072236J	GREGOIRE, DANA WILLIAM DAVID	\$1,621.35	\$1,621.35	\$1,621.35
A059409M	GRINTUCH, RHONDA DIANE	\$1,302.75	\$1,302.75	\$1,302.75
A046320G	HALWAGI, JONATHAN	\$1,302.75	\$1,302.75	\$1,302.75
A049027S	HAN, SABINA SUNG HYAE	\$2,895.75	\$2,895.75	\$2,895.75
A061531E	HARPER, JESSE RYAN	\$2,895.75	\$2,895.75	\$2,895.75
A014046D	HARRISON, ROBERT SMITH	\$2,895.75	\$2,895.75	\$2,895.75
A032282N	HAUSMAN, DAVID ANDREW	\$2,895.75	\$2,895.75	\$2,895.75
A034707N	HEERSCHE, TANNEKE BRUNILDE	\$2,895.75	\$2,895.75	\$2,895.75
A044570D	HERBER, ALIX PETA	\$2,895.75	\$2,895.75	\$2,895.75
A057130P	HITCHENS, SIMON DAVID	\$2,895.75	\$2,895.75	\$2,895.75
A019915N	HOFFSTEIN, MARIA ELENA	\$5,595.75	\$5,595.75	\$5,595.75

*** FIRM SUMMARY ***

Firm: A034480

Page 5 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A052550A	HOLDER, MARIA KAVITHA	\$3,003.75	\$3,003.75	\$3,003.75
A020961W	HOLMSTROM, JON JOSEPH	\$2,895.75	\$2,895.75	\$2,895.75
A039100S	HOOEY, TRACY LYNNE	\$2,895.75	\$2,895.75	\$2,895.75
A047490L	HOUSE, ANDREW DIXON	\$2,895.75	\$2,895.75	\$2,895.75
A047334S	HOWARD, JANET-LYNN	\$2,895.75	\$2,895.75	\$2,895.75
A064431B	HUBLING, EMILY SARAH	\$3,003.75	\$3,003.75	\$3,003.75
A063700F	IACCINO, ROBERT DANIEL	\$3,003.75	\$3,003.75	\$3,003.75
A061555W	IONSON, KATHRYN ROSEMARY	\$2,895.75	\$2,895.75	\$2,895.75
A029915U	JARVIS, DARRELL ESMOND	\$3,003.75	\$3,003.75	\$3,003.75
A070014E	JASWAL, AVNEET KAUR	\$1,939.95	\$1,939.95	\$1,939.95
A040886O	JAVIER, JANICE JOYCE DEL ROSAR	\$2,895.75	\$2,895.75	\$2,895.75
A028807R	JODOIN, JOSEPH PIERRE EDOUARD	\$1,302.75	\$1,302.75	\$1,302.75
A045981K	JOHNSON, DAVID IAN	\$2,895.75	\$2,895.75	\$2,895.75
A022777L	JOHNSTON, RICHARD ELLIOTT	\$2,895.75	\$2,895.75	\$2,895.75
A041702H	KATZ, JODI	\$2,895.75	\$2,895.75	\$2,895.75
A018829N	KAUFFMAN, AUBREY ETAN	\$2,895.75	\$2,895.75	\$2,895.75
A063293M	KAUFMAN, HOWARD JACK	\$2,895.75	\$2,895.75	\$2,895.75
A022780K	KEITH, NORMAN ALFRED	\$2,895.75	\$2,895.75	\$2,895.75
A021719F	KELSALL, BRIAN CYRIL	\$2,895.75	\$2,895.75	\$2,895.75
A058068J	KENNEDY, JULIA MARIE WILLIAMS	\$2,895.75	\$2,895.75	\$2,895.75
A057459G	KENNEDY, NUALA LOUISE	\$2,895.75	\$2,895.75	\$2,895.75
A040512K	KERR, STEPHEN BRUCE	\$2,895.75	\$2,895.75	\$2,895.75
A041507H	KERR-WILSON, GERALD LEITCH	\$2,895.75	\$2,895.75	\$2,895.75
A068468W	KHAROUBA, NORA	\$2,258.55	\$2,258.55	\$2,258.55
A068135N	KHOURY, TALA	\$2,258.55	\$2,258.55	\$2,258.55
A062545Q	KIMPTON, STEFAN ANTHONY ALBERT	\$2,895.75	\$2,895.75	\$2,895.75
A027648V	KIRBY, PETER EDMOND	\$1,302.75	\$1,302.75	\$1,302.75
A072614M	KONKEL, LAURA GRACE	\$1,621.35	\$1,621.35	\$1,621.35
A071744T	KRAMER, KAI CHRISTIAN	\$1,621.35	\$1,621.35	\$1,621.35
A028100A	KRUK, JOHN THEODORE	\$2,895.75	\$2,895.75	\$2,895.75
A026965D	LACY, ALISON JANET	\$2,895.75	\$2,895.75	\$2,895.75
A034754S	LANCASTER, JONATHAN FREDERIC	\$3,003.75	\$3,003.75	\$3,003.75
A067831R	LAURION, RACHEL MARIE	\$2,258.55	\$2,258.55	\$2,258.55
A028515D	LAW, DANIEL RICHARD	\$3,003.75	\$3,003.75	\$3,003.75
A072284K	LEBLANC, ALYSSA MARY LILIANE	\$1,621.35	\$1,621.35	\$1,621.35
A053755R	LEFLER, MARC STEVEN	\$2,895.75	\$2,895.75	\$2,895.75

*** FIRM SUMMARY ***

Firm: A034480

Page 6 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A023115L	LEFTON, JAY ARTHUR	\$2,895.75	\$2,895.75	\$2,895.75
A015253A	LEVIN, JONATHAN ARLEN	\$2,895.75	\$2,895.75	\$2,895.75
A059861O	LEVY, ZOHAR REBECCA	\$2,895.75	\$2,895.75	\$2,895.75
A065601K	LEWIS, TAISHA AMARA	\$2,895.75	\$2,895.75	\$2,895.75
A070663K	LIJK, MARKUS CAIRNS	\$1,621.35	\$1,621.35	\$1,621.35
A065301R	LIM, JAE YEON	\$2,895.75	\$2,895.75	\$2,895.75 exempt
A072293I	LINDZON, RUSSELL MOSES	\$1,621.35	\$1,621.35	\$1,621.35
A048329P	LINK, MATHIAS	\$2,895.75	\$2,895.75	\$2,895.75
A027396G	LOEPPKY, BYRON WADE	\$2,895.75	\$2,895.75	\$2,895.75
A058082V	LOGVIN, ALEXANDRA	\$2,895.75	\$2,895.75	\$2,895.75
A052308S	LOIZOS, RACHEL LYNN	\$3,003.75	\$3,003.75	\$3,003.75
A016932D	LOMAS, RAND ALEXANDER	\$2,895.75	\$2,895.75	\$2,895.75
A047410N	LORQUET, SEBASTIEN JACQUES DAN	\$2,895.75	\$2,895.75	\$2,895.75
A048334G	LUND, DARREN GERARD	\$2,895.75	\$2,895.75	\$2,895.75
A073896Q	LUO, YU FEI	\$1,621.35	\$1,621.35	\$1,621.35
A070666V	MA, SCOTT SHIQIU	\$2,047.95	\$2,047.95	\$2,047.95
A075128E	MACRAE, SOPHIE ANNE	\$1,302.75	\$1,302.75	\$1,302.75
A054344J	MAK WATERFALL, KA YAN BONNY	\$2,895.75	\$2,895.75	\$2,895.75
A058986H	MALADWALA, ZOHAIQ IQBAL	\$2,895.75	\$2,895.75	\$2,895.75
A070972B	MANDEL, JEREMY HAYDEN	\$1,939.95	\$1,939.95	\$1,939.95
A035269H	MANTAS, PETER NICK	\$2,895.75	\$2,895.75	\$2,895.75
A043120Q	MARGISON, CHRISTOPHER DOUGLAS	\$2,895.75	\$2,895.75	\$2,895.75
A043982H	MARIC, VASO	\$2,895.75	\$2,895.75	\$2,895.75
A071093R	MARKS, ALLISON SIMA	\$1,939.95	\$1,939.95	\$1,939.95
A024140B	MARTIN, PAUL JOSEPH	\$2,787.75	\$2,787.75	\$2,787.75
A074690C	MASSICOTTE, KEVIN ANTHONY	\$1,302.75	\$1,302.75	\$1,302.75
A044186N	MBOUTSIADIS, JENNY POLIXENI	\$2,895.75	\$2,895.75	\$2,895.75
A043312R	MCALEER, JENNIFER	\$2,895.75	\$2,895.75	\$2,895.75
A025441B	MCCALLUM, MARGARET ANNE	\$2,895.75	\$2,895.75	\$2,895.75
A012495H	MCCANN, PATRICK FRANCIS DAVID	\$2,895.75	\$2,895.75	\$2,895.75
A021778T	MCCORMICK, ROXANNE ELIZABETH	\$2,895.75	\$2,895.75	\$2,895.75
A013024M	MCDOWELL, ROBERT WARD	\$2,895.75	\$2,895.75	\$2,895.75
A054177W	MCMINN, VANESSA LEA	\$2,895.75	\$2,895.75	\$2,895.75
A046036G	MEAGHER, THOMAS MURRAY	\$2,895.75	\$2,895.75	\$2,895.75
A021046A	MILLER, BARBARA	\$2,895.75	\$2,895.75	\$2,895.75
A037643C	MILLS, MARCIA LIANNE	\$2,895.75	\$2,895.75	\$2,895.75

*** FIRM SUMMARY ***

Firm: A034480

Page 7 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A020721U	MILNER, DONALD ERIC	\$2,895.75	\$2,895.75	\$2,895.75
A074701T	MOLDAVER, JESSICA LAUREN	\$1,302.75	\$1,302.75	\$1,302.75
A068841W	MONEMDJOU, ROXANA	\$2,258.55	\$2,258.55	\$2,258.55
A057211N	MONET, DOMINIQUE AUBREY	\$1,302.75	\$1,302.75	\$1,302.75
A047880A	MOORE, BRAD ROBERT GEORGE	\$2,895.75	\$2,895.75	\$2,895.75
A039209S	MORLEY, BLAIR SEAN LISLE	\$2,895.75	\$2,895.75	\$2,895.75
A072010T	MORROW, KYLE MICHAEL	\$1,621.35	\$1,621.35	\$1,621.35
A068845G	MUI, VANESSA WING-KUM	\$2,258.55	\$2,258.55	\$2,258.55
A031215J	NERO, RALPH NICHOLAS	\$2,895.75	\$2,895.75	\$2,895.75
A016085F	NEW, DOUGLAS CHARLES	\$2,895.75	\$2,895.75	\$2,895.75
A053798E	NIKOLIC, ALEKSANDAR	\$2,895.75	\$2,895.75	\$2,895.75
A064019V	NOBLE, EOWYNNE LEIGH	\$2,895.75	\$2,895.75	\$2,895.75
A035289S	NOBREGA, RONALD EUGENE	\$2,895.75	\$2,895.75	\$2,895.75
A044639E	NUNES, ANDREW ST. AUBIN	\$2,895.75	\$2,895.75	\$2,895.75
A016089M	O'BYRNE, BRIAN ANDREW THOMAS	\$2,895.75	\$2,895.75	\$2,895.75
A024806A	O'NEILL, JAMES AIDAN	\$2,895.75	\$2,895.75	\$2,895.75
A017889M	ORR, WILLIAM KINGSTON	\$2,895.75	\$2,895.75	\$2,895.75
A015336N	PALMER, WALTER JAMES	\$2,895.75	\$2,895.75	\$2,895.75
A074730F	PAPADATOS, DAPHNE SHAN	\$1,302.75	\$1,302.75	\$1,302.75
A053811B	PAQUETTE, CHRISTIAN FREDERIC M	\$2,895.75	\$2,895.75	\$2,895.75
A049095H	PARISIEN, JUDITH LORRAINE ANNE	\$2,895.75	\$2,895.75	\$2,895.75
A062326V	PARK, NICOLE RENEE	\$2,895.75	\$2,895.75	\$2,895.75
A072998K	PARKER, JENNIFER JO-ANNE	\$3,003.75	\$3,003.75	\$3,003.75
A039233B	PENNER, MARK DOUGLAS	\$2,895.75	\$2,895.75	\$2,895.75
A070417V	PETERS, THOMAS MATTHEW	\$1,939.95	\$1,939.95	\$1,939.95
A010677P	PETERSON, JAMES SCOTT	\$2,895.75	\$2,895.75	\$2,895.75
A059036A	PIGOTT, CHRISTOPHER DONALD	\$2,895.75	\$2,895.75	\$2,895.75
A070718K	PILKINGTON, CHAD DEVLIN	\$1,939.95	\$1,939.95	\$1,939.95
A029634T	PLISZKA, PETER JOHN	\$2,895.75	\$2,895.75	\$2,895.75
A036866Q	PLOTKIN, ELLA	\$2,895.75	\$2,895.75	\$2,895.75
A028605W	POLLOCK, KATHERINE MARY	\$2,895.75	\$2,895.75	\$2,895.75
A068539D	POLO, FLORIND	\$2,258.55	\$2,258.55	\$2,258.55
A049648L	PORTER, ERIN LESLIE	\$2,895.75	\$2,895.75	\$2,895.75
A060557D	POTTER, KIMBERLY ELLEN	\$2,895.75	\$2,895.75	\$2,895.75
A036577N	PRATT, TRACY ANN	\$2,895.75	\$2,895.75	\$2,895.75
A038917I	PRESCOTT, SCOTT MORRIS	\$2,895.75	\$2,895.75	\$2,895.75

188.21
(left in Jan 19)

*** FIRM SUMMARY ***

Firm: A034480

Page 8 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A060250P	RAE, CHRISTOPHER JUDD	\$2,895.75	\$2,895.75	\$2,895.75
A023855J	RANKING, GERALD LANCASTER REX	\$2,895.75	\$2,895.75	\$2,895.75
A019664R	RAPUCH, RUBIN	\$2,895.75	\$2,895.75	\$2,895.75
A067591L	REKLITIS, ANASTASIA	\$1,939.95	\$1,939.95	\$1,939.95
A075225G	RICHER, DANIEL TERENCE	\$1,302.75	\$1,302.75	\$1,302.75
A014947B	RICKETT, SAMUEL ROY	\$2,895.75	\$2,895.75	\$2,895.75
A010611G	ROBINSON, JOHN MICHAEL	\$2,895.75	\$2,895.75	\$2,895.75
A063481G	ROBINSON, JULIE MARIE GABRIELL	\$2,895.75	\$2,895.75	\$2,895.75
A038427G	RODDEY, ROBIN PETER	\$2,895.75	\$2,895.75	\$2,895.75
A062626O	RODRIGUE, MARC ALEXANDER BELAN	\$2,895.75	\$2,895.75	\$2,895.75
A066064K	ROLLAND, MEGAN LANGFORD	\$2,895.75	\$2,895.75	\$2,895.75
A014953L	ROSE, LESLIE HARRIS	\$2,895.75	\$2,895.75	\$2,895.75
A025102F	ROSENBAUM, DAVID CHARLES	\$2,895.75	\$2,895.75	\$2,895.75
A023872J	ROSENHEK, STEVEN FELIX	\$2,895.75	\$2,895.75	\$2,895.75
A072375C	ROUND, GILLIAN MARY	\$1,621.35	\$1,621.35	\$1,621.35
A026341W	ROUND, MICHAEL JOHN WYCHERLEY	\$2,895.75	\$2,895.75	\$2,895.75
A059056L	ROY, ALLYSON LINDSAY	\$3,003.75	\$3,003.75	\$3,003.75
A043357T	SABETTI, JOHNNY MICHAEL	\$2,895.75	\$2,895.75	\$2,895.75
A044024V	SALOOJEE-EBRAHIM, MUNIER MUHAM	\$2,895.75	\$2,895.75	\$2,895.75
A067918O	SAMADMOTEN, DAANISH BASIL	\$2,258.55	\$2,258.55	\$2,258.55
A039890J	SARGEANT, KAREN MARIE	\$2,895.75	\$2,895.75	\$2,895.75
A073037N	SCHNIER, RYAN NATHAN	\$2,258.55	\$2,258.55	\$2,258.55
A012137T	SCHWARTZ, ALAN MARTIN	\$5,595.75	\$5,595.75	\$5,595.75
A038927C	SCHWEITZER, VIRGINIA KIM	\$2,895.75	\$2,895.75	\$2,895.75
A032448Q	SCOTT, DOUGLAS HUNGERFORD	\$2,895.75	\$2,895.75	\$2,895.75
A013290M	SCOTT, DOUGLAS ROBERT	\$2,895.75	\$2,895.75	\$2,895.75
A041023P	SELLS, BERKLEY DORIAN	\$2,895.75	\$2,895.75	\$2,895.75
A073043A	SERGEYEV, PAVEL	\$1,621.35	\$1,621.35	\$1,621.35
A060605I	SHAW, WILLIAM DOUGLAS	\$2,895.75	\$2,895.75	\$2,895.75
A008779J	SHIRRIFF, ROBERT LINDSEY	\$2,895.75	\$2,895.75	\$2,895.75
A069230L	SHORTT, MICHAEL JAMES	\$1,248.75	\$1,248.75	\$1,248.75
A034887B	SIMONE, LISA REGINA	\$1,302.75	\$1,302.75	\$1,302.75
A060287P	SINGH, ANGAD DEV	\$2,895.75	\$2,895.75	\$2,895.75
A066480U	SINGH, NICOLE RENEE	\$2,895.75	\$2,895.75	\$2,895.75
A073980N	SKINNER, HARRY VERNON	\$1,621.35	\$1,621.35	\$1,621.35

*** FIRM SUMMARY ***

Firm: A034480

Page 9 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A021458P	SMEENK, BRIAN PETER LEONARD JO	\$2,895.75	\$2,895.75	\$2,895.75
A029683Q	SMILEY, NEIL MORLEY	\$3,003.75	\$3,003.75	\$3,003.75
A020778I	SMITHEMAN, NEAL JOSEPH	\$2,895.75	\$2,895.75	\$2,895.75
A074402W	SMYDO, STACEY MARIE	\$1,302.75	\$1,302.75	\$1,302.75
A075713Q	SNIDER, TREVOR ALEXANDER	\$1,302.75	\$1,302.75	\$1,302.75
A027727E	SOSNOW, CLIFFORD ZANGWILL	\$2,895.75	\$2,895.75	\$2,895.75
A039289Q	SQUIRE, TIMOTHY MICHAEL	\$2,895.75	\$2,895.75	\$2,895.75
A036096J	STEEVES, CHRISTOPHER JOHN	\$2,895.75	\$2,895.75	\$2,895.75
A046109C	STEFAN, AARON JOSEPH	\$2,895.75	\$2,895.75	\$2,895.75
A028257B	STEINBERG, RICHARD JON	\$2,895.75	\$2,895.75	\$2,895.75
A066491K	STEINHAUER, DAVID JOSHUA	\$2,895.75	\$2,895.75	\$2,895.75
A073064H	STEPHENSON, MITCHELL TROY	\$1,621.35	\$1,621.35	\$1,621.35
A055989I	STERN, GABRIEL MORRIS AYLUIA	\$2,895.75	\$2,895.75	\$2,895.75
A041774D	STEVENS, GEOFFREY SEAN STEWART	\$2,895.75	\$2,895.75	\$2,895.75
A023262G	STINSON, JOHN MARK	\$2,895.75	\$2,895.75	\$2,895.75
A0689150	SUD, BRITTANY SHAY	\$2,258.55	\$2,258.55	\$2,258.55
A075782B	TAYLOR, KENNETH JAMES	\$1,302.75	\$1,302.75	\$1,302.75
A038498G	THAW, MITCHELL LYON	\$2,895.75	\$2,895.75	\$2,895.75
A058470S	THOMAS, ARIEL ALISON	\$2,895.75	\$2,895.75	\$2,895.75
A056965B	TODD, SHANE DONALD	\$2,895.75	\$2,895.75	\$2,895.75
A055683Q	TOPPINGS, VERA	\$2,895.75	\$2,895.75	\$2,895.75
A028274B	TORREY, JOHN WINTHROP	\$2,895.75	\$2,895.75	\$2,895.75
A028885A	TOSTO, ANNA MARIA	\$2,895.75	\$2,895.75	\$2,895.75
A048428J	TOTH, KRISZTIAN	\$2,895.75	\$2,895.75	\$2,895.75
A030144R	TURNER, JOHN STEPHEN MORRIS	\$2,895.75	\$2,895.75	\$2,895.75
A058478J	TURNER, LAURIE MICHELLE	\$2,895.75	\$2,895.75	\$2,895.75
A059220K	TURNEY, SARAH JANE	\$3,003.75	\$3,003.75	\$3,003.75
A041174R	VACHON, CLAIRE	\$2,895.75	\$2,895.75	\$2,895.75
A019017D	VAIR, PETER WARDLAW	\$2,895.75	\$2,895.75	\$2,895.75
A066508D	VAN ESCH, PETER CORUM	\$2,895.75	\$2,895.75	\$2,895.75
A042980E	VANDERELST, INGRID ELAINE	\$2,895.75	\$2,895.75	\$2,895.75
A058482E	VANDERMEULEN, JACQUELINE DENIS	\$2,895.75	\$2,895.75	\$2,895.75
A073084S	VANDERVEKEN, MARK JAMES	\$1,621.35	\$1,621.35	\$1,621.35
A066512V	VELAGIC, ELYSE LAUREN	\$2,895.75	\$2,895.75	\$2,895.75
A032598W	VILLANI, PETER	\$1,302.75	\$1,302.75	\$1,302.75
A062430G	WANSBROUGH, JONATHAN MARC	\$2,895.75	\$2,895.75	\$2,895.75

108.56
 (left in Jan 19)

*** FIRM SUMMARY ***

Firm: A034480

Page 10 of 10

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A073647Q	WARD, JENNA LEE	\$1,621.35	\$1,621.35	\$1,621.35
A037378R	WEIGL, CORINA SUSAN	\$2,895.75	\$2,895.75	\$2,895.75
A074424C	WELCH, BRENT MATTHEW	\$1,302.75	\$1,302.75	\$1,302.75
A020405A	WHITEHEAD, STEPHEN PAUL	\$2,895.75	\$2,895.75	\$2,895.75
A030200B	WIENER, NEIL	\$1,302.75	\$1,302.75	\$1,302.75
A020132B	WRIGHT, BRIAN GREGORY	\$2,895.75	\$2,895.75	\$2,895.75
A054477P	YIP, KEVIN HO-TUNG	\$2,895.75	\$2,895.75	\$2,895.75
A067249Q	YODAN, CAROLINE PATRICIA	\$2,895.75	\$2,895.75	\$2,895.75
A068955N	YOUNAN, RACHEL ELIZABETH	\$2,258.55	\$2,258.55	\$2,258.55
A064294T	YU, HUA ZHOU	\$2,895.75	\$2,895.75	\$2,895.75
A073396U	ZHAO, PU YANG	\$1,621.35	\$1,621.35	\$1,621.35
A067252P	ZIEGLER, DAVID ADAM	\$2,895.75	\$2,895.75	\$2,895.75

298 members are listed on the summary

Instalment Amount:	\$802,755.90
Total Premium:	\$802,755.90
Outstanding Amount:	\$802,755.90